SEBI/NRO/OW/P/2022/46303/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Bank Accounts

Attachment Proceeding No. 8905 of 2022
Certificate No. 2428 of 2019

The Principal Officer/ Chairman &
Managing Director / CEO
All the Banks in India

1. Whereas a Recovery Certificate No. 2428 of 2019 dated August 01, 2019 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 5,73,822/-** *(Rupees Five Lakh Seventy Three Thousand Eight Hundred Twenty Two)* towards Penalty imposed by Adjudicating Officer vide order no. BS/AO/33-40/2018-19 dated May 15, 2018 in the matter of **IFL Promoters Limited** along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against **Sham Sunder Gupta** [Defaulter] **PAN: AISPG6871B** and the same is due from the defaulter in respect of the said certificate. A Notice of Demand dated August 01, 2019 has been issued to **Sham Sunder Gupta**.

Description of Dues	**Amount Rs.**
Penalty imposed by the A.O. vide order no. BS/AO/33-40/2018-19 dated May 15, 2018 in the matter of **IFL Promoters Limited.**	**5,00,000/-**
Interest from May 15, 2018 to August 01, 2019 @ 12% p.a.	**72,822/-**
Recovery Cost	**1,000/-**
Total	**5,73,822/-**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/ proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues.
   a) All account/s by whatever name called including lockers of the defaulter, either singly or jointly with any other person/s, held with your Bank; and

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AP No. 8905 of 2022

b) All other amount/ proceeds due or may become due to the defaulter or any money held or may subsequently hold for or on account of the defaulter.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
   a) Details all the Accounts including Lockers held by the defaulter with your Bank;
   b) Copy of the Account Statement/s in respect of all the Accounts;
   c) Confirmation of Attachment of the said account/s;
   d) Complete details of all loan/ advances accounts along with the details of assets charged for the said loan/advances.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall also be informed on the email: recoverynro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

Given under my hand and seal at New Delhi this 31th day of August, 2022.

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India उ.प्र.का. वसूली प्रभाग

Rajeev Rastogi

RECOVERY OFFICER
राजीव रस्तोगी/RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उ॰ प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi

Copy to:

Sham Sunder Gupta
127/F1, Sector 11, Rohini,
New Delhi - 110001

With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

SEBI/NRO/OW/P/2022/46303/2

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Notice of Attachment of Demat Accounts

Attachment Proceeding No. 8906 of 2022
Certificate No. 2428 of 2019

National Securities Depository Ltd.
Trade World, A Wing, 4th & 5th Floors,
Kamala Mills Compound,
Lower Parel, Mumbai - 400 013

Central Depositories Services (I) Ltd.
Marathon Futurex, A-Wing, 25th Floor,
NM Joshi Marg, Lower Parel,
Mumbai-400 013

All Mutual Funds of India

1. Whereas a Recovery Certificate No. 2428 of 2019 dated August 01, 2019 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 5,73,822/-** *(Rupees Five Lakh Seventy Three Thousand Eight Hundred Twenty Two)* towards Penalty imposed by Adjudicating Officer vide order no. BS/AO/33-40/2018-19 dated May 15, 2018 in the matter of **IFL Promoters Limited** along with interest, all costs, charges and expenses in respect of all proceedings for recovering the said sum (details given in table below), against **Sham Sunder Gupta** [Defaulter] **PAN: AISPG6871B** and the same is due from the defaulter in respect of the said certificate. A Notice of Demand dated August 01, 2019 has been issued to **Sham Sunder Gupta**.

Description of Dues	**Amount Rs.**
Penalty imposed by the A.O. vide order no. BS/AO/33-40/2018-19 dated May 15, 2018 in the matter of **IFL Promoters Limited.**	**5,00,000/-**
Interest from May 15, 2018 to August 01, 2019 @ 12% p.a.	**72,822/-**
Recovery Cost	**1,000/-**
Total	**5,73,822/-**

2. And whereas there is sufficient reason to believe that the defaulter may dispose of the securities / instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

''हम हिन्दी पत्राचार का स्वागत करते हैं।''

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998
प्रधान कार्यालय : सेबी भवन, प्लाट सं. सी-4 'अ', जी-ब्लाक, बान्द्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web : www.sebi.gov.in

Continuation Sheet

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और विनिमय बोर्ड
Securities and Exchange Board of India

3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect to the extent of above said dues.
   i. All Demat Account/s by whatever name called of the defaulter, either singly or jointly with any other person/s, held with you;
   ii. All Mutual fund folio/s by whatever name called of the defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that NO Debit shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
   a) Details all the Accounts held by the defaulter with you;
   b) Copy of the Account Statement/s;
   c) Confirmation of Attachment of the said account/s.

6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall also be informed on the email: recoverynro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1)(b), 11(2) (ia) of SEBI Act, 1992 read with Section 226 and the Second Schedule of Income Tax Act, 1961.**

Given under my hand and seal at New Delhi this 31st day of August, 2022.

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उ.प्र.का.
वसूली प्रभाग

Rajeev Rastogi

RECOVERY OFFICER

राजीव रस्तोगी/RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उ॰ प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi

Copy to:

Sham Sunder Gupta
127/F1, Sector 11, Rohini,
New Delhi - 110001

With a direction not to receive/ recover/ demand the proceeds/ money held/ to be held in the aforesaid accounts.