



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

G Ramar
CHIEF GENERAL MANAGER
Email: ramarg@sebi.gov.in

EAD-4/ADJ/GR/KG/19518/51OW/12019

August 1, 2019

By SPAD

Patel Food Product Limited
71, 1st Floor, Gayatri Chambers, RC Dutt Road,
Alkapuri, Baroda- 390005
Gujarat

Sub: Hearing Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995

Ref: Show Cause Notice No. ILO/AO/AS/5486/2014 dated February 19, 2014

1. Your attention is drawn to the mentioned Show Cause Notice No. ILO/AO/AS/5486/2014 dated February 19, 2014 (**SCN**) issued to you by the erstwhile Adjudicating Officer (**AO**), Shri Achal Singh. The said SCN had returned undelivered from the address stated above with the endorsement "left". As per the available records, it is noted that you have not submitted your reply to the aforesaid SCN.
2. This is to bring to your notice that the undersigned has presently been appointed as the Adjudicating Officer in the present matter. Copy of communication of AO appointment dated July 26, 2019 is enclosed.
3. Copy of the aforesaid SCN is enclosed herewith. You are advised to submit your reply, if any, to the SCN latest by August 20, 2019.
4. In the interest of natural justice and in order to conduct inquiry as per rule 4 of SEBI (Procedure for Holding Inquiry and Imposing Penalties) Rules, 1995, you are hereby being granted an opportunity of personal hearing before the undersigned, as per the details hereunder –

Date : **August 20, 2019**
Day : **Tuesday**
Time : **3 PM.**
Venue : **SEBI Bhavan - II**
4th Floor, C-7, G Block, Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

भारतीय प्रतिभूति
और विनिमय बोर्ड
*Securities and Exchange
Board of India*

5. You or your duly authorized representative may appear on the cited date, time and venue and make necessary submissions along with supporting documents, if any. You are advised to confirm your attendance by letter/email at least 2 days prior to the hearing date.
6. Please note that if you fail to appear before the undersigned on the date, time and venue as aforesaid, then the matter would be decided on the basis of documents available on record.


G Ramar
Adjudicating Officer

Encl: As above.

CC: Patel Food Product Limited
AT & Po Samalya, Taluka Savli,
District: Vadodara
Gujarat- 391770



भारतीय प्रतिभूति
और विनिमय बोर्ड

①

Securities and Exchange
Board of India

DEPUTY GENERAL MANAGER
INDORE LOCAL OFFICE
Telefax No. 91-731-4286407
Email: achals@sebi.gov.in

ILO/AO/AS/ 5486/2014
February 19, 2014

Patel Food Product Ltd.
71, 1st floor, Gayatri Chambers, RC Dutt Road,
Alkapuri, Baroda, (Gujarat)-390005

Sub: Show Cause Notice under Rule 4 of the SEBI (Procedure for Holding Inquiry and Imposing Penalties by Adjudicating Officer) Rules, 1995

1. In terms of SEBI circulars CIR/ OIAE/ 2/ 2011 dated June 03, 2011, Cir/ OIAE/1/2012 dated August 13, 2012 and Cir/OIAE/1/2013 dated April 17, 2013, investor grievances are now being redressed online through the SCORES system. (Copies enclosed).
2. In terms of these circulars, on receipt of the authentication details from you, a user account is to be created on the SCORES system for you and investor grievances to be forwarded to you through SCORES. It has been clearly mentioned in SEBI circular dated April 17, 2013 addressed to all companies whose securities are listed on stock exchanges (through stock exchanges) that *"Failure to obtain the SCORES user ID and password within 30 days of this of this circular would not only be deemed as non redressal of investor grievances but Also indicate wilful avoidance of the same."* However, you have chosen not to provide details for creation of user account on SCORES.
3. Presently, there are 6 investor complaints pending against you as on date in the SCORES system. (copy of status report enclosed).
4. You have not filed any Action Taken Reports duly supported by documentary evidence in respect of the pending complaints as on date.
5. It is therefore alleged that despite being called upon to do so you have failed to redress the pending investor grievances within the time specified by the Board and also failed to furnish the Action Taken Report in this regard resulting in violation of Section 15C and 15HB of the SEBI Act, 1992.

...2/-

स्थानीय कार्यालय-इन्दौर / Local Office - Indore

201, पालिका प्लाज़ा, फेस-II एम.टी.एच. कम्पाउंड, इन्दौर-452 001. दूरभाष : (0731) 4286406/04, फेक्स : 4286407

201, Palika Plaza, Phase-II, M.T.H. Compound, Indore-452 001. Phone : (0731) 4286406/04, Fax : 4286407



:2:

6. Your failure to redress investor complaints as brought out above is liable to penalty under section 15C of the SEBI Act, 1992 which is reproduced below:-

15C. Penalty for failure to redress investors' grievances- If any listed company or any person who is registered as an intermediary, after having been called upon by the Board in writing, to redress the grievances of investors, fails to redress such grievances within the time specified by the Board, such company or intermediary shall be liable to a penalty of one lakh rupees for each day during which such failure continues or one crore rupees, whichever is less.

7. Further, your failure to submit Action Taken reports in respect to the above is liable to penalty under section 15HB of SEBI Act, which is reproduced below:-

"Penalty for contravention where no separate penalty has been provided- Whoever fails to comply with any provision of this Act, the rules or the regulations made or directions issued by the Board thereunder for which no separate penalty has been provided, shall be liable to a penalty which may extend to one crore rupees."

8. In view of the above, you are advised to show cause as to why further Inquiry should not be held against you in terms of Rule 4 of the SEBI (Procedure for holding inquiry and imposing penalties by Adjudicating Officer) Rules, 1995 and why penalty should not be imposed on you under Sections 15C and 15HB of the Act.
9. Your reply, if any, substantiated with documents/records which you may choose to rely in support of your submissions, should reach the undersigned, at the address given below, within 15 days from the date of receipt of this notice, indicating whether you desire a personal hearing in the matter, failing which it shall be presumed that you have no reply to submit and the matter would be further proceeded with exparte, based on material available on record. You are also requested to send a soft-copy (MS Word) of your reply to the under-mentioned email id.

Securities and Exchange Board of India
Indore Local Office
201, Palika Plaza-Phase-II
MTH Compound, Indore (Madhya Pradesh)-452001
Email: achals@sebi.gov.in

...3/-

स्थानीय कार्यालय-ईन्दौर / Local Office - Indore



:3:

10. You are also advised to submit a duly certified photocopy of your PAN Card for our record.
11. As the proceedings as informed above are in progress, you are advised to keep the undersigned informed about the change in your correspondence address, if any, till the proceedings are complete.
12. SEBI, vide its Circular no. EFD/ED/Cir-1/2007 dated April 20, 2007 (available at www.sebi.gov.in), has come out with guidelines for passing of consent orders. If you wish to avail the consent process, you may apply in the prescribed form given at the end of the said Circular. Your application should be forwarded to the address mentioned in the said Circular under intimation to the undersigned.
13. You may also note that the consent circular dated April 20, 2007 has been challenged before the Hon'ble Delhi High Court in the Writ Petition NO. 6949/2011. The Court has passed the following order in its interim order dated October 17, 2011: -

"At this stage only order that can be passed is that depending upon the final outcome of the writ petition and in case the impugned scheme is quashed the appropriate orders can be passed in respect of those cases which have been dealt with by the respondent under the scheme."

In view of the above, the consent order would be subject to the outcome of the said writ petition. You may note that SEBI has decided that notwithstanding any consent application, the adjudication proceedings would be continued and appropriate order would be issued after conclusion / rejection of consent proceedings.

ACHAL SINGH
ADJUDICATING OFFICER

Encl.: as above

स्थानीय कार्यालय-ईन्दौर / Local Office - Indore

201, पालिका प्लाज़ा, फेस-II एम.टी.एच. कम्पाउंड, ईन्दौर-452 001. दूरभाष : (0731) 4286406/04, फेक्स : 4286407

201, Palika Plaza, Phase-II, M.T.H. Compound, Indore-452 001. Phone : (0731) 4286406/04. Fax : 4286407

Securities and Exchange Board of India

Welcome : Achai Singh, DGM, OIAE-WRO

Version 2.0

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 Last Unsuccessful Login on : 30/01/2014, 11:28:07


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Search Result(s)

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Registration No	VIP	Complainant Name / Complaint Lodged by	Complaint Against	Category	Complaint Status	Date of Receipt
SEBIE/MH13/0003574/1		Rajeshbhai R.Shah / Rajeshbhai R.Shah	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Pending	04/02/2013
SEBIP/MH10/9108379/1		SHIRISH U PATEL	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Pending	27/04/2010
SEBIP/MH11/9101366/1		JAGDISH PRASAD AGARWAL	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Pending	13/04/2011
SEBIP/MH11/9102452/1		BHARATKUMAR R GANDHI	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Pending	18/04/2011
SEBIP/MH11/9103189/1		M C INVESTMENTS	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Pending	20/04/2011
SEBIP/MH11/9106469/1		CHHAGANLAL BOTHRA	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Pending	10/05/2011
SEBIP/GJ13/0000768/1		CHHAGANLAL BOTHRA	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Disposed	11/09/2013
SEBIP/GJ13/0000768/2		CHHAGANLAL BOTHRA	Patel Food Product Ltd	Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest -Ahmedabad	Disposed	11/09/2013

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Company Master Details

CIN : U21099GJ1992PLC017625
Company Name : PATEL FOOD PRODUCTS LIMITED
ROC Code :
Registration Number : 017625
Company Category :
Company Subcategory :
Class of Company : ☒ Public ☐ Private
Authorised Capital(in Rs.) : 2,500,000.00
Paid up capital(in Rs.) : 7,000.00
Number of Members(Applicable only in case of company without Share Capital) : 0
Date of Incorporation : (dd/mm/yyyy)
Address 1 : AT&P.O.SAMALYA,TALUKA SAVLI,DIST;BARODA
Address 2 :
City :
State : Gujarat
Country :
Pin :
Email Id :
Whether listed or not : ☐ Listed ☒ Unlisted
Date of Last AGM : (dd/mm/yyyy)
Date of Balance sheet : (dd/mm/yyyy)
Company Status (for eFiling) : Dormant
Charges Registered :
Signatories of the Company :

Prosecution Details

SNo	Name of the Officer in Default	Court Name	Prosecution Section	Date Of Order	Status
1	PATEL FOOD PRODUCTS LIMITED	Additional Chief Metropolitan Magistrate, Ahmedabad	162(1)	1999-10-21	In Progress
2	PATEL FOOD PRODUCTS LIMITED	Additional Chief Metropolitan Magistrate, Ahmedabad	220(3)	1999-10-21	In Progress
3	PATEL FOOD PRODUCTS LIMITED	Additional Chief Metropolitan Magistrate, Ahmedabad	220(3)	1999-10-21	In Progress

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Company Name	:	Patel Food Product Ltd
Company Status	:	LISTED
Company Address	:	71,1ST FLOOR,GAYATRI CHAMBERS R.C.DUTT ROAD ALKAPURI BARODA 390005 GUJARAT
State of Company	:	Gujarat
Exchange Status	:	ASE:LISTED; BSE:Compulsory Delisting; JSE:INACTIVE; VSE:LISTED;

Disclaimer: The above information is provided based on the data collected from the Stock Exchanges or from the website of Ministry of Company affairs as a service to investors. SEBI will not be responsible for any loss to any person/entity caused by any short-coming, defect or inaccuracy inadvertently or otherwise crept in the SEBI's web site. Any discrepancy may be brought to the notice of SEBI at [sebi\[at\]sebi\[dot\]gov\[dot\]in](mailto:sebi[at]sebi[dot]gov[dot]in)