



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Completion of Recovery Certificate No. 8172 of 2024 dated October 09, 2024 issued against Swati Gupta in the matter of Illiquid Stock Options at BSE.

Recovery Certificate No. 8172 of 2024 dated October 09, 2024 was drawn against Swati Gupta [Defaulter] (PAN: AAOPG1125C) for sum of Rs. 5,96,000/- (Rupees Five Lakh Ninety-Six Thousand only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudicating Officer vide Order No. Order/SM/S./2023-24/25970 dated April 27, 2023 in the matter of Illiquid Stock Options at BSE.

In view of the payment of the amount of **Rs. 6,21,000/- (Rupees Six Lakh Twenty-One Thousand Only)** due under the certificate, the said certificate is hereby completed in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and section 225 and rule 12 of the Second Schedule to the Income Tax Act, 1961.

13.03.2025
New Delhi



RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Officer
नई दिल्ली / New Delhi