

**BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA**

Appeal No. 6201 of 2024

Krishna Kumar Gupta (K.K.Gupta)	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated September 14, 2024 (received by SEBI on September 16, 2024) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated September 26, 2024, responded to the application filed by the appellant. The appellant filed an appeal dated October 08, 2024 (received by the Office of Appellate Authority on October 15, 2024). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.
2. **Request in the application-** The appellant, in his application dated September 14, 2024, has mentioned that he has not been receiving his demat account statement/share statement from the depository participant viz. Bank of India and therefore, he is unable to trace the price and quantity of his shares. The appellant in this regard, has requested SEBI to direct the Bank of India to provide him with demat account statements.
3. **Reply of the Respondent** - The respondent, in response to the said application, informed that the request of the appellant is in the nature of grievance. Therefore, it does not qualify as information under provisions of section 2(f) of RTI Act. Nevertheless, the respondent provided information regarding lodging of grievance, if any, on the SCORES portal of SEBI and tracking the status of the same. The respondent also provided the toll free helpline numbers launched by SEBI to facilitate replies to various queries of the general public on matters related to securities market.
4. **Ground of appeal-** On perusal of the appeal, it appears that the appellant is not satisfied with the response provided by the respondent.

5. I have perused the application and the response provided thereto. On consideration, I concur with the response of the respondent that the request of the appellant is in the nature of grievance. I note that redressal of grievance does not come under the purview of the RTI Act. In this context, I note that the Hon'ble Central Information Commission, in the matter of *Mr. Surendra Vishwakarma vs. President Secretariat* (Order dated April 29, 2016) observed that *"In this context, we note that the RTI Act cannot be used to make the respondent to do certain things or take certain action for the reason that the Act is not the proper law for redressal of grievances/ disputes and there are other appropriate forum(s) for resolving such matters."*
6. Notwithstanding the above, I note that the respondent has also provided information regarding lodging of grievance with SEBI. Hence, I find that there is no need for further intervention of this forum. The appeal is accordingly dismissed.

Place: Mumbai

RUCHI CHOJER

Date: November 11, 2024

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**