



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Recovery & Refund Department
Recovery Division II
Tel: 022-26449792
recoveryho@sebi.gov.in

Order Releasing of Bank Accounts, Demat Accounts and Mutual Fund Folios
Attachment Proceeding No. 3439 & 3440 of 2018
Certificate No. 1357 of 2018

The Principal Officer /
Chairman & Managing Director/ CEO
All the Banks in India / All the Mutual Funds in India
NSDL/CDSL, Mumbai

Attachment Proceeding No. 3439 & 3440 of 2018 - Release of attachment of bank accounts, lockers, Demat accounts & Mutual Fund folios

1. Whereas a Recovery Certificate No.1357 of 2021 dated 29.01.2018 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.1,62,688/- (Rupees One Lakh Sixty Two Thousand Six Hundred Eighty Eight Only) along with further interest, all costs, charges and expenses etc. against **Ashok Kumar Gupta ["Defaulter"] PAN: AAIPG7033R.**
2. And whereas Notices of Attachment dated 22.02.2018 in the above proceedings were issued attaching the bank accounts, demat accounts, mutual fund folios of the Defaulter;
3. And whereas SEBI has recovered an amount of Rs.1,63,723/- (Rupees One Lakh Sixty Three Thousand Seven Hundred Twenty Three Only), which includes interest and costs, towards full and final settlement of dues under the aforesaid recovery certificate.
4. In view of the above, you are hereby directed to release the bank accounts, lockers, demat accounts, mutual fund folios of the Defaulter attached **only towards RC no. 1357 of 2017**, if any, pursuant to the above said Notices of Attachment.
5. **Whereas, Notices of Attachment issued towards RC nos. 4213 and 3279 of 2021 will continue till further instructions from SEBI.**

Given under my hand and seal at Mumbai this 3rd day of June, 2022

Seal



Copy to:
Ashok Kumar Gupta
(PAN: AAIPG7033R)
Gurukirpa 6, Dixit Road,
Vile Parle (East),
Mumbai- 400057

S. Ambekar

RECOVERY OFFICER

Srishti Ambekar

सुष्टी आंबोकर

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities and Exchange Board of India

भारतीय प्रतिभूति एवं विनिमय बोर्ड

Mumbai

मुंबई

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 26449000 / 40459000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

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