



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/21306/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Order Releasing Bank, Demat Accounts and Mutual Funds Folios of Shubha Agarwal in the matter of Illiquid Stock Options at BSE.

**Attachment Proceeding No. 8483 & 8484 of 2022
Certificate No. 4820 of 2022**

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India

NSDL/CDSL, Mumbai

1. Whereas Certificate No. 4820 of 2022 dated May 31, 2022 was drawn against Shubha Agarwal [Defaulter] PAN: AJOPA9007E in the matter of Illiquid Stock Options at BSE and a sum Rs. 5,31,000/- (Rupees Five Lakh Thirty One Thousand) was due under the said certificate.
2. And whereas a Notice of Attachment dated June 23, 2022 under the above proceedings was issued attaching bank, demat accounts/lockers and mutual fund folios of the entity.
3. The defaulter has availed the benefit of the SEBI Settlement Scheme, 2022 and the matter was settled vide Settlement Order No. SO/AB/EFD2/2022-23/7162 dated March 08, 2023.
4. In view of the above, you are hereby directed to release bank, demat accounts/lockers and Mutual Fund Folio etc. of against Shubha Agarwal [Defaulter] PAN: AJOPA9007E attached, if any, pursuant to the above notice of attachment with immediate effect.

Given under my hand and seal at New Delhi this 24th day of May, 2023.

SEAL



Copy to:

Shubha Agarwal
151, Madhuban Colony,
Bagpat Road, Meerut,
Uttar Pradesh, 250001

Rajeev Rastogi
RECOVERY OFFICER

राजीव रास्तोगी / RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

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