



GENERAL MANAGER & RECOVERY OFFICER
RECOVERY CELL
EASTERN REGIONAL OFFICE

Tel: 033-23023000

E-mail: recoveryero@sebi.gov.in

Notice of Attachment of MF & Demat Account

Attachment Proceeding No. 15634 of 2026

Certificate No. 9224 of 2026

The Managing Director,
National Securities Depository Limited,
Trade World, A wing, 4th & 5th Floors,
Kamala Mills Compound, Lower Parel,
Mumbai - 400013

The Managing Director,
Central Depository Services (India) Limited,
17th Floor, P J Towers, Dalal Street,
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India

1. Whereas a Recovery Certificate No. 9224 of 2026 dated July 16, 2026, has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 5,21,000/- (Rupees Five Lakh Twenty-One Thousand Only)**, as detailed below along with further interest, all costs, charges and expenses etc., against **Rose Securities Limited (PAN: AADCR1312E)** ["Defaulter"] and the same is due from them in respect of the said certificate. A **Notice of Demand dated July 16, 2026** has been issued to the above named.

Description of Dues	Amount (Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/AK/GN/2026-27/32362 dated April 20, 2026 against Rose Securities Limited (PAN: AADCR1312E) in the matter of Illiquid Stock Options	5,00,000/-
Interest from 20/04/2026 to 16/07/2026 @ 1% p.m.	20,000/-
Recovery Cost	1,000/-
Total	5,21,000/-

2. And whereas, there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:



- i. All Demat account/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
 - ii. All Mutual fund folio/s whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **NO Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
- a) Details of all the Accounts/folios held by the defaulter with you,
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said accounts/folios
6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: **recoveryero@sebi.gov.in**.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A(1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 and the Second Schedule of the Income Tax Act, 1961.

Given under my hand and seal at Kolkata this **31st day of July, 2026**.

SEAL



Mitrajeet Dey.

RECOVERY OFFICER

मित्रजीत दे / Mitrajeet Dey
वसुली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata

Copy to:

Rose Securities Limited
Address 1: 32 Ezra Street, 6th Floor, South Block, Kolkata, West Bengal - 700001
Address 2: 18, Giri Babu Lane, 3rd Floor, Room No. 3C, Kolkata, West Bengal - 700012
E-mail: rose.securities@rediffmail.com

With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts.