



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

Recovery Division
Southern Regional Office

Tel: 044-2888 0222
Email ID: recovery@sro.sebi.gov.in

Notice of Attachment of Demat Account (s) and Mutual Fund Folio(s)

Attachment Proceeding No. 9853/2023
Certificate No. RC 4593/2022

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer / Chairman & Managing Director / CEO
All the Mutual Funds in India.

1. Whereas a Recovery Certificate No. **Recovery Certificate No. RC 4593/2022** dated **March 31, 2022** was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of of **Rs. 2,88,20,130/- (Rupees Two Crore Eighty-Eight Lakh Twenty Thousand One Hundred and Thirty Only)** as detailed below along with further interest, all costs, charges and expenses etc. against **Mohd. Tanveer Ahmed (PAN – BAWPA2323M)** and **Sunny Gupta (PAN – BKJPG7330K) ["Defaulters"]** and the same is due from Defaulters in respect of the said certificate. A Notice of Demand dated March 31, 2022 was issued to Defaulters for the following dues:

Description of Dues	Amount (Rs.)
Direction to Refund Money Received from Clients vide Order No. Order/WTM/GM/SRO/SRO/10346/2020-21 dated 05/02/2021 in the matter of M/s Stock Indication.	2,53,29,856.00
Interest from February 2021 to March 2022 @ 1% per month.	34,89,274.00
Recovery Cost	1,000.00
Further Interest	On Actual Date of Payment
Total	Rs. 2,88,20,130.00/- + Further Interest till Actual date of Payment

2. And whereas there is sufficient reason to believe that the defaulters may dispose of the securities/instruments in the Demat account/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. Therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All Demat Account(s), by whatever name called, of the Defaulters, either singly or jointly with any other person(s), held with you.
 - All mutual fund folio(s) by whatever name called of the Defaulters, either singly or jointly with any other person/s, held with you.

...2/-



दक्षिणी प्रादेशिक कार्यालय : 'ओवरसीज टॉवर्स' सातवीं मंजिल, 756-एल, अन्ना सालै, चेन्नई - 600 002

Southern Regional Office : Overseas Towers, 7th Floor, 756-L, Anna Salai, Chennai - 600 002. Phone : 044 - 2852 6686, 2888 0222 Fax : 044 - 2888 0333 e-mail : sebisro@sebi.gov.in

सेबी भवन, प्लॉट सं, सी4-ए, "जी" ब्लॉक, बांद्रा - कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051. "SEBI BHAVAN", Plot No.C4-A, "G" Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051.



अनुवर्ती:
Continuation :

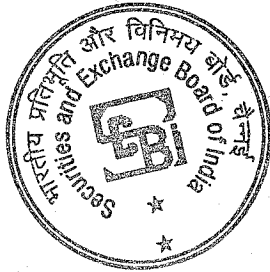
भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

A.P. No. 9853/2023

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a. Details of all the Accounts held by the Defaulters with you;
 - b. Copy of the Account Statement/s; and
 - c. Confirmation of Attachment of the said account/s
6. If the Defaulter does not have any type of account with you/ does not have any balance in such account, the same shall be also informed on the email: recoverysro@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.**

Given under my hand and seal at Chennai on this 23rd day of March, 2023

SEAL




RECOVERY OFFICER

एम के श्रीकांत
M K SRIKANTH.

वसूली अधिकारी एवं उप महा प्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड, चेन्नई
Securities and Exchange Board of India, Chennai

Copy to:

Mohd. Tanveer Ahmed (PAN – BAWPA2323M) B-55, Near Ishaq Wali Masjid, Sai Kale Khan, Kazrat Nizamuddin S O, South Delhi - 110013	Mohd. Tanveer Ahmed (PAN – BAWPA2323M) 534, 2nd floor, 10th Cross, 29th Main BTM, 2nd Stage, Bengaluru - 560076	Shri Sunny Gupta (PAN – BKJPG7330K) 504, Peach Block, HM World City, Bengaluru - 560076
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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)