

Completion of Recovery Certificate No. 6950 of 2023 dated July 24, 2023 issued against Shaswat Stock Brokers Pvt. Ltd. (CIN-U36939GJ1997PTC032767) in the matter of ASE Capital Markets Ltd.

Recovery Certificate No. 6950 of 2023 dated July 24, 2023 was drawn against **Shaswat Stock Brokers Pvt. Ltd. (CIN-U36939GJ1997PTC032767)** for sum of **Rs.17,41,000/- (Rupees Seventeen Lakhs Forty-One Thousands Only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudicating Officer vide Order No. EAD-7/BD/BM/2019-20/5551 dated November 15, 2019 in the matter of ASE Capital Markets Ltd.

In view of the payment of outstanding amount due under the Recovery Certificate, the said **Recovery Certificate no. 6950 of 2023 dated July 24, 2023 is hereby completed** in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and rule 12 of the Second Schedule to the Income-tax Act, 1961.

Dated at Ahmedabad on this **28th day of August 2023.**



RECOVERY OFFICER

N. U. Raju

Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad