



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011-69012998/36, recoverynro@sebi.gov.in

Order Releasing Bank, Demat, Post Office Accounts and Mutual Funds Folios of Krishna Swarup Shukla in the matter of Trading in Illiquid Stock Options on BSE.

**Attachment Proceeding No. 14475 & 14476 of 2025
Certificate No. 8598 of 2025**

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India
Post Master of all the Post Offices in India

NSDL and CDSL, Mumbai

1. Whereas Certificate No. **8598 of 2025** dated **February 04, 2025** was drawn against **Krishna Swarup Shukla [Defaulter] PAN: ATQPS5314N** in the matter of **Trading in Illiquid Stock Options on BSE** and a sum **Rs. 5,26,000/- (Rupees Five Lakh & Twenty-Six Thousand Only)** was due under the said certificate along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum.
2. And whereas Notices of Attachment dated **March 17, 2025** under the above proceedings was issued attaching bank, post office, demat accounts/lockers and mutual fund folios of the defaulter.
3. And whereas an amount **Rs. 5,41,000/- (Rupees Five Lakh Fourty One Thousand only)** has been recovered towards full and final settlement of the dues from the defaulter under the said certificate.
4. In view of the above, you are hereby directed to release bank, demat accounts/lockers and Mutual Fund Folio etc. of **Krishna Swarup Shukla [Defaulter] PAN: ATQPS5314N** attached, if any, pursuant to the above notice of attachment with immediate effect.
5. This is without prejudice to any other order of attachment or freeze by the competent authority under any law.

Given under my hand and seal at New Delhi this 21st day of May, 2025.



Copy to:

**Krishna Swarup Shukla
491, Vipul Khand, Gomti Nagar
Lucknow, Uttar Pradesh-226001**

RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तर प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi

हम हिन्दी में पत्राचार का स्वागत करते हैं।

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किववई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kirti Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

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