



भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/0540/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Attachment Proceeding No. 9373 & 9374 of 2022
Recovery Certificate No. 6021 of 2022

The Principal Officer / Chairman & Managing Director / CEO
All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 9373 & 9374 of 2022

- Whereas the Recovery Officer, vide Attachment proceeding nos. 9373 & 9374 of 2022 dated December 06, 2022 in execution of Recovery Certificate No. 6021 of 2022 dated November 14, 2022, has directed attachment of bank accounts/ demat accounts/ mutual fund folios of **Mr. Sanjay Gupta [Defaulter] PAN: ALCPG7419G** in the matter of **Trading activities in the scrip of Swan Energy Limited** for recovery of a sum of **Rs. 5,41,000/- (Rupees Five Lakh Forty One Thousand)** along with further interest, all costs, charges and expenses etc.; and
- Whereas the outstanding dues from the Defaulter as on date amount to **Rs. 5,51,000/- (Rupees Five Lakh Fifty One Thousand)**.
- Accordingly, you are hereby directed to remit the amount to the extent of dues mentioned in para 2 above lying in the account of the Defaulter with your Bank / redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 2 above and remit the amount forthwith to SEBI by way of EFT/NEFT/RTGS to **"SEBI Recovery Proceeds" Account payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRDPEN6021 of ICICI Bank, IFSC code – ICIC0000106) by January 12, 2022** and intimate these remittance details by email to pallavia@sebi.gov.in / recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011-69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022-26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in



Continuation Sheet

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**Securities and Exchange
Board of India**

4. This direction is issued in exercise of powers conferred under **sections 28A(1) and 11(2)(ia) of the SEBI Act, 1992 read with section 226 of the Income Tax Act, 1961 and the Second Schedule to the said Act.**

Given under my hand and seal at New Delhi this 05th day of January, 2022.

SEAL



Copy to:

Rajeev Rastogi

RECOVERY OFFICER

राजीव रस्तोगी / RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

Mr. Sanjay Gupta
House No.231, Ajampur,
Kandharpur, Azamgarh-276125 (U.P.)

Mr. Sanjay Gupta
B-277, G-3, Shallmar Garden,
Ghaziabad-201005 (U.P.)