



भारतीय प्रतिभूति और विनिमय बोर्ड

Securities and Exchange Board of India

SEBI/NRO/OW/P/2023/10652/1

RECOVERY DIVISION

NORTHERN REGIONAL OFFICE

Tel: 011-69012998/58, recoverynro@sebi.gov.in

Attachment Proceeding No. 9768 & 9769 of 2023

Recovery Certificate No. 4888 of 2022

The Principal Officer / Chairman & Managing Director / CEO
All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 9768 & 9769 of 2023.

- Whereas the Recovery Officer, vide Attachment proceeding nos. 9768 & 9769 dated February 07, 2023 in execution of Recovery Certificate No. 4888 of 2022 dated June 15, 2022 has directed attachment of bank accounts/ demat accounts/ mutual fund folios of **Shiva Commodities Private Limited [Defaulter] PAN: AAICS6621G** in the matter of **Trading in Illiquid Stock Options on BSE** for recovery of a sum of **Rs. 11,86,600/- (Rupees Eleven Lakh Eighty Six Thousand Six Hundred)** along with further interest, all costs, charges and expenses etc.; and
- Whereas the outstanding dues from the defaulter as on date amount to **Rs. 12,59,400/- (Rupees Twelve Lakh Fifty Nine Thousand Four Hundred)**.
- Accordingly, you are hereby directed to remit the amount to the extent of dues mentioned in para 2 above lying in the account of the Defaulter with your Bank / redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 2 above and remit the amount forthwith to SEBI by way of EFT/NEFT/RTGS to **"SEBI Recovery Proceeds" Account payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRDPEN4888 of ICICI Bank, IFSC code – ICIC0000106) by March 21, 2023** and intimate these remittance details by email to simrank@sebi.gov.in / recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

- This direction is issued in exercise of powers conferred under sections 28A(1) and 11(2)(ia) of the SEBI Act, 1992 read with section 226 of the Income Tax Act, 1961 and the Second Schedule to the said Act.

Given under my hand and seal at New Delhi this 14th day of March, 2023.

SEAL



Copy to:

Shiva Commodities Private Limited
2-3A, Aaravali View, Rail Vihar,
Sector 56, Gurgaon-122002, Haryana

Shiva Commodities Private Limited
K-19, 2nd Floor, Green Park Main,
New Delhi-110016

Rajeev Rastogi
RECOVERY OFFICER
राजीव रस्तोगी / RAJEEV RASTOGI
वरुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in