

**BEFORE THE APPELLATE AUTHORITY  
(Under the Right to Information Act, 2005)  
SECURITIES AND EXCHANGE BOARD OF INDIA**

**Appeal No. 5079 of 2022**

|                    |    |            |
|--------------------|----|------------|
| Girish Verma       | :  | Appellant  |
|                    | Vs |            |
| CPIO, SEBI, Mumbai | :  | Respondent |

**ORDER**

1. The appellant had filed an application dated April 22, 2022 (received by SEBI on April 26, 2022) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated May 09, 2022, responded to the application filed by the appellant. The appellant filed an appeal dated May 26, 2022 (received by the Office of Appellate Authority on June 01, 2022). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.
2. **Queries in the application-** The appellant, in his application dated April 22, 2022, *inter alia*, referred to certain letters received by the appellant from DEA, SEBI and Supreme Court of India. The appellant, vide his application, requested to take action with respect to the said references and also sought the information whether Castrol India Ltd. is a defaulter company with respect to his case.
3. The respondent, in response to the aforesaid query, informed that the query is in the nature of seeking action from SEBI. Accordingly, the same cannot be construed as seeking “information”, as defined under Section 2(f) of the RTI Act, 2005. However, the respondent provided copy of the action taken report pertaining to his complaint lodged on SCORES.
4. **Ground of appeal-** On perusal of the appeal, it appears that the appellant is not satisfied with the response provided by the respondent. The appellant, in his appeal, *inter alia*, mentioned details regarding his case with respect to non-redemption of debentures. Further, the appellant requested to take action under the RTI Act.
5. I have perused the application and the response provided thereto. On consideration, I find that the appellant, vide his application, requested the respondent to take certain action with respect to his case

pertaining to non-redemption of debentures. In this regard, I note that the Hon'ble CIC, in the matter of Mohan Kumar Sharma vs. CPIO, Prime Minister's Office (order dated November 26, 2019), held that *"7. In view of the above, the Commission observes that under the RTI Act the CPIO provides information available with him or held by him. Thus, the appellant cannot expect the respondent to take certain action or initiate action as desired by him."* In view of these observations, I do not find any deficiency in the response.

6. Without prejudice to the above, I note that the respondent has provided the action taken report with respect to his complaint filed on SCORES. In view of the same, no further action is warranted in this regard.
7. Further, on perusal of the appeal, it appears that the appellant has grievance with respect to non-redemption of debentures. In my opinion, if the appellant has any grievance, he may approach the correct grievance redressal forum. I note that redressal of grievance does not fall within the ambit of the RTI Act. Similar observations were made by the Hon'ble CIC in the matter of *Sh. Triveni Prasad Bahuguna vs. LIC of India, Lucknow* (Decision dated September 6, 2012). In view of these observations, I find that the submissions of the appellant do not warrant consideration at this stage.
8. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai

Date: June 29, 2022

ANAND BAIWAR  
APPELLATE AUTHORITY UNDER THE RTI ACT  
SECURITIES AND EXCHANGE BOARD OF INDIA