

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6506 of 2025

Kapil Maurya : Appellant
Vs

CPIO, SEBI, Mumbai : Respondent

ORDER

1. The appellant had filed an application dated July 09, 2025 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated August 01, 2025, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/25/00197) dated August 01, 2025. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Queries in the application** - The appellant, in his application dated July 09, 2025, sought the following information regarding action taken regarding his complaint bearing Reg. No. SEBIE/MP20/0000691 against Vicky Kamriya Money/Money Market Manthan Financial Services :

“ 1. Record of communications made by SEBI regarding this complaint: Please provide certified copy a detailed log of all communication attempts made by SEBI regarding this complaint. This includes:

- 1. The number of SMS messages sent to me,*
- 2. The number of phone calls made to me,*
- 3. The number of emails sent to me,*
- 4. Dates and times of each communication attempt.*
- 5. Please specify the content of any SMS messages and the subject lines of any emails.*

2. *Actions taken on my emails sent directly to SEBI officials: I had forwarded follow-up emails regarding this complaint from kapiltrading2697@gmail.com to the following official email IDs:*

1. chairman@sebi.gov.in
2. aniketk@sebi.gov.in
3. indore-lo@sebi.gov.in

I request details of the actions taken by SEBI in response to these emails, including dates, actions taken, and the names of any officers involved in processing these emails. If no action was taken, please provide a certified copy explaining the reasons for not taking any action.

3. *Dealing officer information: Name, designation, department, and official contact details (email/phone) of the dealing officer assigned to this complaint.*

4. *Escalation mechanism for officer inaction: I humbly request that if no response (via email/SMS/phone) was ever sent by the dealing officer, please inform:*

1. *The authority where a grievance can be filed against such non-responsiveness or negligence*
2. *Procedure for lodging such a grievance*
3. *Contact details of that authority.*

5. *Final Action Taken Report (ATR):*

1. *I request a certified copy of the full action taken report (ATR) and any related file notes, memos, closure instructions, or communication with the notice that led to the closure of the complaint.*

I believe that the information requested is essential for me to understand the process and outcome of my complaint.

I am a citizen of India. The information sought pertains to my personal grievance and public interest and does not fall under any exemption under Sections 8 or 9 of the RTI Act.”

3. **Reply of the Respondent** –The respondent, in response to the application, informed that complaints received against any registered intermediary are only dealt through SCORES. The information sought is already available in the action taken report available in SCORES 2.0 portal. The respondent also informed

that as mentioned in the ATR, SEBI has already taken enforcement actions against Money Market Manthan Financial Services vide order WTM/ASB/WRO/WRO/27887/2023-24 dated June 18, 2023 and order no. WTM/ASB/WROWRO/28085/2023-24 dated July 14, 2023.

4. **Ground of appeal** – The appellant has filed the appeal on the ground that he was provided incomplete, misleading or false information.
5. I have perused the application and the response provided thereto. On consideration, I find that the requested information (action taken on appellant's SCORES complaint and the dealing officer information) can be accessed by the appellant by logging into SCORES portal. With regard to the complaints sent by him to SEBI by emails, the respondent has informed that the complaints received against any registered intermediary are only dealt through SCORES. In light of the aforesaid, I find that the respondent has adequately addressed the queries by providing the information available with him. Accordingly, I do not find any deficiency in the response of the respondent.
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The appeal is accordingly dismissed.

Place: Mumbai

Date: August 21, 2025

RUCHI CHOJER

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**