भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

WTM PROCEEDINGS

Assistant General Manager
Indore Local Office

SEBI/WRO/ILO/AN/OW/P/07944 /1/2022
February 23, 2022

To,

CAPITALAIM FINANCIAL ADVISORY PVT. LTD. 801, AIREN HEIGHTS SCHEME NO. 54 VIJAY NAGAR, INDORE MADHYA PRADESH - 452010	ABHIJEET BAJPAI (DIRECTOR) CAPITALAIM FINANCIAL ADVISORY PVT. LTD. FG 33/302, SCHEME NO. 54 VIJAY NAGAR – F.G., INDORE MADHYA PRADESH
ABHILASHA VERMA (DIRECTOR) CAPITALAIM FINANCIAL ADVISORY PVT. LTD. 100, JUNNARDEVA BABULAL GUPTA P HOUSE NO. 91, JUNNARDEV-TEHSIL JUNNARDEO, CHHINDWARA MADHYA PRADESH - 480551	DEBABRATA BHATTACHARJEE (DIRECTOR) CAPITALAIM FINANCIAL ADVISORY PVT. LTD. HOSPITAL ROAD DUTTAPUKUR -1 NO. BARASAT NORTH 24, PARGANAS, BARSAT – I WEST BENGAL - 743248
ANANT TIWARI (DIRECTOR) CAPITALAIM FINANCIAL ADVISORY PVT. LTD. SF-17, SANKALP APARTMENT POLICE THANE KE PASS, HARDA MADHYA PRADESH - 461331	SHEETAL FOUJDAR (DIRECTOR) CAPITALAIM FINANCIAL ADVISORY PVT. LTD. HOUSE NO.223, SCHEME NO.114 PART 2, VIJAY NAGAR BEHIND SHALIMAR TOWNSHIP INDORE, MADHYA PRADESH - 452010

Subject: Notice of personal hearing in the matter of Capitalaim Financial Advisory Pvt. Ltd. and its Directors.

1. In regard to the captioned subject, it is informed that the opportunity of personal hearing before the Whole Time Member is scheduled on **April 26, 2022** at **2:30 P.M.** You may avail of the opportunity to personal hearing through 'Cisco Webex Portal'. The Login details to attend the personal hearing would be provided in due course. Alternatively, you may also avail of the opportunity by being present at the following venue:

SEBI Indore Local Office
104-105, Satguru Parinay
Opposite C-21 Mall
A.B. Road, Indore - 452010

2. In case you fail to appear before the Whole Time Member on the said date, it shall be presumed that you have nothing further to state in the matter and the matter will be proceeded with on the basis of material available on record.

3. You may appear for the hearing personally or through your authorized representative. Please ensure that person(s) authorized for hearing bring original as well as photo copy of their Identity Card and the original Authorization letter along with PAN of the Noticee.

4. You are required to acknowledge the receipt of this notice and confirm the name(s) of official(s)/ representative(s) who will appear, with designation(s), for the hearing, at least three days before the hearing date, by way of a letter or e-mail at address - amitn@sebi.gov.in

Yours faithfully,

Amit Nigam

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