



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2024/5363/1

Recovery Division
Northern Regional Office, New Delhi
Tel: 011-69012998/36, recoverynro@sebi.gov.in

Attachment Proceeding No. 4220 of 2019
Certificate Nos. 1858 of 2018

The Principal Officer/Chairman/MD/CEO
All Mutual Funds in India

- Whereas the Recovery Officer vide above mentioned attachment proceeding in execution of Certificate Nos. 1858 of 2018 dated December 19, 2018 directed attachment of Mutual Fund Folio/s of **Shivalik Cotex Limited (AAICS3938E)** and its directors & promoters **Mr. Subhash Chand Gupta (AGSPG3777G)**, **Mr. Summit Gupta (AIYPG1712B)**, **Ms. Sakshi Gupta (N.A) (DIN: 02858545)**, **Ms. Sunita Gupta (AGSPG3776H)**, **Mr. Saurabh Gupta (AGSPG3778K)**, and **Mr. Jawahar Lal Goel (N.A) (DIN: 00408860)** [Defaulters] against the total due of **Rs.4,53,10,000/- (Rupees Four Crores Fifty Three Lakhs Ten Thousand Only)** along with further interest, all costs, charges and expenses, etc.
- You are hereby directed to remit the entire amount available in the Mutual Fund Folios of the defaulters to SEBI through **EFT/NEFT/RTGS to A/c No. 012210210000013 of Bank of India, Bandra Kurla Complex, Bandra (E) Branch [IFS Code- BKID0000122]**. In this regards, a conformation mail forwarding UTR no. and date for the remitted amount in the aforesaid BoI Bank a/c may be sent to recoverynro@sebi.gov.in in the following format-

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank/MF Details from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues

- However, the attachment on said accounts of the Defaulters and all other accounts/folios/s reported by you would continue till further notice.
- This direction is issued in exercise of powers conferred under **section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014** r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to

“हम हिन्दी पत्राचार का स्वागत करते हैं।”



Continuation Sheet

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the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules.

Given under my hand and seal at New Delhi this 07th day of February 2024.

Seal:



Copy to:

Kshama P. Wagherkar
Dy. General Manager & Recovery Officer

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वरुनी अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तर प्रादेशिक कार्यालय / Northern Regional Office
वाराणसी / Varanasi

Shivalik Cotex Limited 13, Ground Floor, IndraVihar, Near Kingsway Camp, Delhi-110009	Mr. Subhash Chand Gupta Kishore Bagh, Opp. J.V. Jain Degree College, Delhi Road, Sharanpur, UP-247001
Mr. Summit Gupta Kishore Bagh, Near A.K. Verma Hospital, Jain Degree College Road, Saharanpur, UP-247001	Ms. Sakshi Gupta 2B/2871, Kamal Colony-I, NaoPao Parishad, Saharanpur, Tehsil Saharanpur, UP- 247001
Ms. Sunita Gupta W/o Shri Subhash Chand Gupta Kishore Bagh, Near A.K. Verma Hospital, Jain Degree College Road, Sharanpur, UP-247001	Mr. Saurabh Gupta S/o Shri Subhash Chand Gupta Kishore Bagh, Near A.K. Verma Hospital, Jain Degree College Road, Sharanpur, UP- 247001
Mr. Jawahar Lal Goel S/o. Shri Gora Lal, R/O Praduman Nagar, Saharanpur, Uttar Pradesh- 247001	