

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6593 of 2025

Girish Malviya : Appellant
Vs

CPIO, SEBI, Mumbai : Respondent

ORDER

1. The appellant had filed an application dated September 17, 2025 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated September 30, 2025, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/25/00276) dated October 13, 2025. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Request in the application** - The appellant, in his application dated September 17, 2025, sought the following information:

“I am filing this RTI under Section 6(1) of the RTI Act 2005 regarding the handling and closure of my investor complaints in SCORES and SEBI WRO. The complaints are registered under the following references:

*SEBIE/MP25/UJJA/030712/1, SEBIE/MP25/UJJA/026031/1, SEBIE/MP25/UJJA/034802/1,
SEBIE/MP25/UJJA/022446/1, SEBIE/MP24/UJJA/029874/1, SEBIE/MP25/UJJA/020476/1,
SEBIE/AS24/UJJA/000668/1.*

I request the following information:

Copies of file notings, closure reports and internal remarks of SEBI WRO managers in relation to these complaints.

Copies of correspondence exchanged between SEBI WRO and the concerned Company or RTA regarding examination and closure or pending status of these complaints.

Records showing whether SEBI verified compliance of the Company or RTA with SEBI Circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25.01.2022, Section 56 of Companies Act 2013 and Regulation 40(3) of SEBI LODR Regulations 2015.

If SEBI has verified compliance kindly provide written confirmation. ”

3. **Reply of the Respondent** –The respondent, in response to queries in the application, informed that complaints received against any registered intermediary are only dealt through SCORES. Any communications/noting/internal remarks done regarding any complaint registered in SCORES is already available in the action taken report available in SCORE 2.0 portal and the same can be accessed by logging in with credentials.
4. **Ground of appeal** – On perusal of the appeal, it appears that the appellant is not satisfied with the response of the respondent.
5. I have perused the application and the response provided thereto. On consideration, I find that the respondent has informed that the requested information can be accessed by the appellant by logging into SCORES portal. Therefore, I find that the request has been adequately addressed. Accordingly, I do not find any deficiency in the response.
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The appeal is accordingly dismissed.

Place: Mumbai

Date: November 04, 2025

RUCHI CHOJER

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**