

SECURITIES AND EXCHANGE BOARD OF INDIA WESTERN REGIONAL OFFICE

SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad-380006

CERTIFICATE No. 7760/2024

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961

1. एम/एस. लॉफ्टियर इन्वेस्टमेंट एडवाइजर
एंड रिसर्च प्रा. लिमिटेड (पैन: AADCL2283J)

पता 1: दूसरी मंजिल, फ्लैट नंबर 10-बी, अमन
कॉम्प्लेक्स, रायसेन रोड, भोपाल, मध्य प्रदेश-
462020

पता 2: 13, वास्तु विहार कॉलोनी, खजूरी कलां,
भोपाल, मध्य प्रदेश-462022

2. अमित त्रिपाठी (पैन: AXPPT8469C)

पता 1: एस/ओ. उमेश चंद्र त्रिपाठी, 56, राधा कुंज,
खजूरी कलां रोड, अवधपुरी, भोपाल, एमपी-
462010

पता 2: एस/ओ. उमेश चंद्र त्रिपाठी, बेफनी बस्तर,
खनिया, इलाहबाद, यूपी-212307

3. भुवनेश्वर तिवारी (PAN:AGZPT7217K)

पता 1: पुत्र. गिरजा शंकर तिवारी, डी-362, न्यू
मिनाल रेजीडेंसी, जेके रोड, भोपाल, एमपी-
462023

पता 2: 42, भवानी नगर, भोपाल, एमपी-462022

पता 3: 122-रीगल ट्रेजर, अयोध्या बायपास रोड,
भोपाल, एमपी-462022

पता 4: कमरा नंबर 05, पुरानी नवाबाद कॉलोनी,
पुलिस लाइन झाँसी-284003

4. प्रीमिल निगम (पैन: AJTPN4576E)

पता: 296-केएच, मोलाना आज़ाद, वार्ड नंबर 30,
रीवा, एमपी-486001

1. M/S. Loftier Investment Advisor and
Research Pvt. Ltd (PAN: AADCL2283J)

Address 1: 2nd Floor, Flat No. 10-B, Aman
Complex, Raisen Road, Bhopal, Madhya
Pradesh-462020

Address 2: 13, Vastu Vihar Colony,
Khajuri Kalan, Bhopal, Madhya Pradesh-
462022

2. Amit Tripathi (PAN: AXPPT8469C)

Address 1: S/O. Umesh Chandra Tripathi,
56, Radha kunj, Khajuri Kalan Road,
Awadhpuri, Bhopal, MP-462010

Address 2: S/O. Umesh Chandra Tripathi,
Befani Bastar, Khania, Allhabad, UP-
212307

3. Bhuvaneshwar Tiwari (PAN:
AGZPT7217K)

Address 1: S/o. Giraja Shankar Tiwari, D-
362, New Minal Residency, JK Road,
Bhopal, MP-462023

Address 2: 42, Bhawani Nagar, Bhopal,
MP-462022

Address 3: 122-Regal Treasure, Ayodhya
Bypass Road, Bhopal, MP-462022

Address 4: Room No. 05, Purani
Nawabad Colony, Police Line Jhansi-
284003

4. Pramil Nigam (PAN: AJTPN4576E)

Address: 296-KH, Molana Azad, Ward No.
30, Rewa, MP-486001

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE
INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA ACT, 1992



1. This is to certify that a sum of **Rs. 78,02,605/- (Rupees Seventy-Eight Lakhs Two Thousands Six Hundred Five Only)** Jointly & Severally along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

Description of Dues	Amount (Rs.)
Amount directed to be refunded by WTM vide Order No. WTM/SM/WRO/WRO/21683/2022 - 23 dated November 30, 2022 in the matter of M/S. LOFTIER INVESTMENT ADVISOR AND RESEARCH PVT. LTD..	66,68,038.18/-
Interest from December 2022 to April 2024 @ 1% p.m.	11,33,567/-
Recovery Cost	1,000/-
Total	78,02,605/-

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice by way of direct credit through **EFT/NEFT/RTGS to A/c No. SEBIRDCIS7760 of ICICI Bank, IFSC code – ICIC0000106 (or) online payment facility available on the “Recovery Payment” module at <https://siportal.sebi.gov.in> OR the payment link available on the following path: SEBI Website -> Enforcement -> Recovery Proceedings -> Pay Now** failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of **section 28A of the Securities and Exchange Board of India Act, 1992 (“SEBI Act”)** read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.
3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely: -
- (a) Attachment and sale of your movable property;
 - (b) Attachment of your bank accounts;
 - (c) Attachment and sale of your immovable property;
 - (d) Arrest and detention in prison;
 - (e) Appointing a receiver for the management of your movable and immovable properties.
4. Further, as per Explanation 1 to section 28A of the SEBI Act, any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after **November 30, 2022** shall be deemed to be your property or money for the purpose of recovery.



5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.
6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad-380006 or sent by email to recoverywro@sebi.gov.in, rajunu@sebi.gov.in.

Case Name and Recovery Certificate Number :	
Name of Payer :	
Date of Payment:	
Amount Paid :	
Transaction No. :	
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues.

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

Dated: April 10, 2024



Recovery Officer

N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad