

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 5721 of 2023

Suneel Tripathi	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated May 19, 2023 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated June 16, 2023, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/23/00137) dated June 16, 2023. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Ground of appeal-** The appellant has filed the appeal on the ground that access to the requested information was refused. On perusal of the appeal, it appears that the appellant is not satisfied with the response provided with respect to query number 2 of the application. In view of the submissions made by the appellant, I am only dealing with the said query and the response provided by the respondent in this order.
3. **Query number 2-** The appellant, vide query number 2 of his application dated May 19, 2023, sought the following information relating to the adjudicating process in the matter of Balasore Alloy Limited (CIN No L27101OR1984PLC001354):

2. Name and Address of Internal Auditor for the period under adjudication.

4. The respondent, in response to the aforesaid query, informed that the requested information is exempt from disclosure under section 8(1)(j) of the RTI Act as the same relates to personal information, the disclosure of which has no relationship to any public activity or interest and may cause unwarranted invasion into the privacy of the individual. However, the respondent informed that M/s Chaturvedi & Shah was the statutory auditor of Balasore Alloy Limited during the IP. The appellant, in his appeal, submitted that the name and contact of the internal auditor was not provided by the respondent. The

appellant also submitted that certain provisions of the Companies Act, 2013 and the Companies (Accounts) Rules, 2014 prescribes internal audit in Public Listed Companies.

5. I have perused the query and the response provided thereto. On consideration, I find that the respondent has informed regarding the statutory auditor of Balasore Alloy Limited during the relevant period. In my opinion, the respondent has adequately addressed the query by providing the information available with him. Accordingly, I do not find any deficiency in the response.
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai

Date: July 17, 2023

ANAND BAIWAR

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**