



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/43012/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011-69012998/36, recoverynro@sebi.gov.in

Attachment Proceeding No. 3822 & 3823 of 2018
Recovery Certificate No. 1452 of 2018

The Principal Officer / Chairman & Managing Director / CEO
Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 3822 & 3823 of 2018

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in Recovery Certificate No. **1452 of 2018** dated **July 31, 2018**, had directed attachment of Bank accounts of **Forward Exim Pvt. Ltd. (Formerly Known as Swell International Pvt. Ltd.)** [Defaulter] **CIN: U29220DL1997PTC084476** in the matter of **Sai Info Ltd.** against the total due of **Rs. 9,96,945/- (Rupees Nine Lakh Ninety Six Thousand Nine Hundred Forty Five Only)** with further interest, all costs, charges and expenses, etc.
2. Whereas Notice of Demand dated **July 31, 2018** has been sent to Defaulter and Notice of Attachment of Bank Account and Mutual Funds dated **July 31, 2018** has been issued to you.
3. Whereas the current liability/dues from the Defaulter as on date is an amount of **Rs. 12,96,089/- (Rupees Twelve Lakh Ninety Six Thousand Eighty Nine Only)**.
4. Accordingly, you are hereby directed to redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 3 above lying in the account of the Defaulter(s) with your Mutual Fund, forthwith to SEBI by way of direct credit through **EFT/NEFT/RTGS to A/c No. SEBIRDPEN1452 of ICICI Bank, IFSC code – ICIC0000106) immediately** and intimate these remittance details by email to pallavia@sebi.gov.in, kshamac@sebi.gov.in and recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues.

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“हम हिन्दी पत्राचार का स्वागत करते हैं।”



उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web : www.sebi.gov.in



Continuation Sheet

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5. This direction is issued in exercise of powers conferred under section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014 r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules.

Given under my hand and seal at New Delhi this 20th day of October, 2023.

SEAL



Copy to:

Forward Exim Pvt. Ltd.
5476, South Basti, Harpool Singh,
Sadar Bazaar, New Delhi – 110006


RECOVERY OFFICER

क्षमा प्र. वाघेकर / Kshama P. Wagharkar
वसुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरा प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi