

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 5655 of 2023

Harbans Singh Sahni	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated April 04, 2023 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated April 28, 2023, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/23/00081) dated April 28, 2023. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Queries in the application** –The appellant, vide his application dated April 04, 2023, *inter alia*, sought the certified copy of order passed by SEBI in complaint Ref. No. SEBIE/DH23/0000207/1. The appellant also sought information regarding action taken by SEBI NRO against Karvy Computer Share Pvt. Ltd. for not resolving complaint from 2018 within specified period of time.
3. The respondent, in response to the aforesaid queries, informed that the queries raised by the appellant has already been dealt in SCORES complaint no. SEBI/HY22/0000611/1. The appellant was advised to peruse the status of the complaint on the SCORES website. The respondent also provided the link for accessing the SCORES website. Further, the respondent provided the link for accessing enforcement orders passed by SEBI.
4. **Ground of appeal-** The appellant has filed the appeal on the ground that access to the requested information was refused. The appellant, in his appeal, *inter alia*, submitted that his SCORES account has been blocked.
5. I have perused the queries and the response provided thereto. On perusal of query number 1, I find that the appellant has sought information with respect to his complaint registered on SCORES portal. The

respondent has clearly informed regarding the availability of the relevant information on the SCORES Portal. I note that all the complaints which are lodged in the SCORES portal and the related correspondence along with date-wise progress of the complaint, can be accessed online on SCORES website under the tab “View Complaint Status” by providing the complaint registration number and password, which is allotted at the time of registering the complaint. I find that the respondent has adequately addressed the query by guiding the appellant to access the relevant information. Accordingly, I do not find any deficiency in the response.

6. Further, the appellant, in his appeal, has raised grievance regarding his account on SCORES being blocked. I note that the Hon’ble CIC, in the matter of *Sh. Triveni Prasad Babuguna vs. LIC of India, Lucknow* (Decision dated September 6, 2012), held: “*The Appellant is informed that ... redressal of grievance does not fall within the ambit of the RTI Act rather it is up to the Appellant to approach the correct grievance redressal forum...*”. In view of these observations, I find that if the appellant has any grievance, the remedy for the same would not lie under the provisions of the RTI Act.
7. With respect to query number 2, I find that the same is vague and not specific. Accordingly, the query cannot be construed as seeking “information”, as defined under section 2(f) of the RTI Act. Notwithstanding the same, I note that if any regulatory action is taken by SEBI against any entity, the same would be available in the public domain, on the SEBI website under the head: Enforcement. I find that the respondent has already guided the appellant to access the same.
8. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai
Date: May 09, 2023

ANAND BAIWAR
APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA