



भारतीय प्रतिभूति
और विनियम बोर्ड
**Securities and Exchange
Board of India**

SEBI/NRO/OW/P/2022/52106

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

AP No. 8861 of 2022
Certificate No. 4440 of 2022

The Principal Officer/Chairman & Managing Director / CEO,
All Banks in India

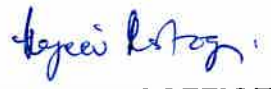
- Whereas the Recovery Officer vide the attachment notice no. 8861 of 2022 dated August 23, 2022 in execution of Certificate No. 4440 of 2022 dated January 13, 2022 directed attachment of bank accounts and lockers of Ocean Share Brokers Pvt. Ltd. [Defaulter] [PAN: AAACO7410K] in the matter of Ocean Share Brokers Pvt. Ltd. for recovery of a sum **Rs. 6,03,411/-** (*Rupees Six Lakh Three Thousand Four Hundred Eleven*) along with further interest and expenditure incurred on the proceedings.
- You are hereby, directed to remit the amount to the extent of **Rs. 6,56,000/-** (*Rupees Six Lakh Fifty Six Thousand*) available in the bank accounts and Term Deposits of Ocean Share Brokers Pvt. Ltd. [Defaulter] [PAN: AAACO7410K] to "SEBI Recovery Proceeds" Account payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. **SEBIRDPEN4440** of ICICI Bank, IFSC code – **ICIC0000106** by October 19, 2022. In this regard, a confirmation mail forwarding UTR no. of the remitted amount in the aforesaid bank account may be sent to recoverynro@sebi.gov.in.
- However, the attachment on said accounts of the Defaulter and all other accounts reported by you would continue till further notice.
- This direction is issued in exercise of powers conferred under **Section 28A of SEBI Act, 1992** read with **Section 226 (3) of the Income Tax Act, 1961**.

Given under my hand and seal at New Delhi this 12th day of October, 2022.

SEAL



Copy to:


RECOVERY OFFICER
राजीव रास्तोगी/RAJEEV RASTOGI
वरुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi

Ocean Share Brokers Pvt. Ltd.
S-565, School Block, Room
No.112, Shakarpur, Delhi-110092

Ocean Share Brokers Pvt. Ltd.
1193 Veersavarkar, Block
Shakarpur, Delhi-110092

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लाक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in