



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/9020

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Order Releasing Bank, Demat Accounts and Mutual Funds Folios of Rajeev Gupta HUF in the matter of Dealings in Illiquid Stock Options at the BSE.

**Attachment Proceeding No. 9807 & 9808 of 2023
Certificate No. 6236 of 2023**

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India

NSDL/CDSL, Mumbai

1. Whereas Certificate No. 6236 of 2023 dated February 06, 2023 was drawn against Rajeev Gupta HUF [Defaulter] PAN: AAKHR8666R in the matter of Dealings in Illiquid Stock Options at the BSE and a sum Rs. 5,71,000/- (Rupees Five Lakh Seventy One Thousand) was due under the said certificate.
2. And whereas a Notice of Attachment dated February 27, 2023 under the above proceedings was issued attaching bank, demat accounts/lockers and mutual fund folios of the entity.
3. And whereas an amount Rs. 5,71,000/- (Rupees Five Lakh Seventy One Thousand) has been recovered towards full and final settlement of the dues from the defaulter under the said certificate.
4. In view of the above, you are hereby directed to release bank, demat accounts/lockers and Mutual Fund Folio etc. of against Rajeev Gupta HUF [Defaulter] PAN: AAKHR8666R attached, if any, pursuant to the above notice of attachment with immediate effect.

Given under my hand and seal at New Delhi this 02nd day of March, 2023.

SEAL

Copy to:



Rajeev Gupta HUF
G-69 Ashok Vihar Ph-1,
New Delhi-110052


RECOVERY OFFICER
राजीव रस्तोगी / RAJEEV RASTOGI
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

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