



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Completion of Recovery Certificate No. 7104 of 2023 dated 31.08.2023 issued against Shah Pravin Trikamlal HUF in the matter of trading in Illiquid Stocks Options

Recovery Certificate No. 7104 of 2023 dated 31.08.2023 was drawn against Shah Pravin Trikamlal HUF (PAN: AALHS3690F) for sum of Rs.5,16,000/- (i.e. Rupees Five Lakh Sixteen Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order No. Order/VV/NK/2023-24/27577 dated 20.06.2023 in the matter of trading in Illiquid Stocks Options.

2. In view of the payment of the amount due under the certificate, the said certificate is hereby completed in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and rule 12 of the Second Schedule to the Income-tax Act, 1961.

Dated: 26.09.2023

Place: Mumbai



RECOVERY OFFICER

Deepu Anandan

दीपू आनंदन

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी
Securities And Exchange Board Of India

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Mumbai

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