



Recovery & Refund Department
Recovery Division III
Tel: 022-26449610
Email: recoveryho1@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Mutual Fund Folios

Attachment Proceeding No.9479 of 2022

Certificate No.255 of 2014

**The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.**

1. Whereas a Recovery Certificate No.255 of 2014 dated 14.07.2014 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.7,96,068/- (Rupees Seven Lakh Ninety Six Thousand Sixty Eight Only) along with further interest, all costs, charges and expenses etc. against **DPS Shares & Securities Pvt. Ltd. ["Defaulter"] (PAN: AABCD1053A)** in the matter of Zigma Software Ltd. and the same is due from him in respect of the said certificate. A Notice of Demand dated 14.07.2014 has been issued to DPS Shares & Securities Pvt. Ltd. (PAN: AABCD1053A). It may be noted that the amount due under the RC as on date is Rs.12,76,000/- (Rupees Twelve Lakh Seventy Six Thousand Only).
2. And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities in the Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
 - i) All Mutual fund folio/s by whatever name called of the Defaulter, either singly or jointly with any other person/s, held with you.
4. It is further ordered with immediate effect that **No Debit** shall be made in the said folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
 - a) Details of all the Accounts/folios held by the defaulter with you; either singly or jointly with any other person/s,
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said accounts/folios
6. If the defaulter is not having any type of folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryho1@sebi.gov.in/ akhileshs@sebi.gov.in

Contd...2...



सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in



अनुवर्ती :
Continuation :

..2..

भारतीय प्रतिभूति
और विनिमय बोर्ड
*Securities and Exchange
Board of India*

A .P. No.9479 of 2022

7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

Given under my hand and seal at Mumbai this 26th Day of December 2022.

SEAL



Copy to:

DPS Shares & Securities Pvt. Ltd. (PAN:AABCD1053A)
428, Rawal Building No.1, 1st Floor,
Lamington Road
Mumbai - 400004

S. Ambekar
RECOVERY OFFICER

Srishti Ambekar
सुरिटी आंबेकर
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं प्रसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति एवं विनिमय बोर्ड
Mumbai
मुंबई

(With a direction not to deal with the securities/ instruments held/ to be held in the aforesaid accounts/folios)