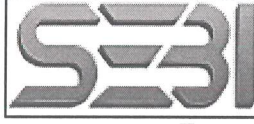




भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

Eastern Regional Office (Kolkata), Recovery Cell

Completion of Recovery Certificate no. RC6878 of 2023 in respect of Ashok Kumar Khater HUF (PAN: AACHA1080N) in the matter of Illiquid Stock Options

1. A Recovery Certificate No. **RC6878 of 2023** dated **July 17, 2023**, was drawn up by the Recovery Officer against **Ashok Kumar Khater HUF (PAN: AACHA1080N) ["Defaulter"]** and the sum of **Rs. 6,01,000/- (Rupees Six Lakh One Thousand Only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings for recovery of the said sum, for non-payment of penalty imposed by the Adjudicating Officer vide Order No. Order/BM/LD/2021-22/14464 dated December 13, 2021 against Ashok Kumar Khater HUF (PAN: AACHA1080N) in the matter of Illiquid Stock Options.
2. In view of payment of the amount of **Rs. 6,17,025/- (Rupees Six Lakhs Seventeen Thousand and Twenty-Five Only)**, which includes further interest and costs due under the aforesaid recovery certificate, recovery in said matter stands completed in exercise of powers under sub-section (1) of section 28A of the SEBI Act, 1992 read with relevant provisions of the Income-tax Act, 1961.

Date- 28/12/2023
Place- Kolkata





RECOVERY OFFICER

राज कुमार कलुरि / Raj Kumar Kaluri
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata