



RECOVERY CELL
EASTERN REGIONAL OFFICE

और विनियम बोर्ड
**Securities and Exchange
Board of India**

Tel: 033-23023000

E Mail: recoveryero@sebi.gov.in

Cancellation of Recovery Certificate No. RC4444 of 2022 dated January 17, 2022 issued against Rohan Finance & Securities Ltd. (PAN: AABCR1905P) in the matter of Illiquid Stock Options at BSE.

1. Whereas a Certificate No. RC4444 of 2022 dated January 17, 2022, has been drawn up by the Recovery Officer against Rohan Finance & Securities Ltd. (PAN: AABCR1905P) ["Defaulter"] and the sum of Rs. 5,60,507/- (Rupees Five Lakh Sixty Thousand Five Hundred Seven Only) along with further interest, all costs, charges and expenses incurred for non-payment of penalty imposed by adjudicating officer vide Order No. Order/KS/AS/2020-21/10123 dated January 20, 2021 in the matter of Illiquid Stock Options at BSE.
2. The defaulter has availed the benefit of the SEBI Settlement Scheme, 2022 and the matter was settled vide Settlement Order No. SO/AB/EFD2/2022-23/7162 dated March 08, 2023.
3. Since the defaulter has availed the SEBI Settlement Scheme, 2022 and in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992; read with section 224 of the Income-tax Act, 1961 and Rule 12 of the Second Schedule framed therein, the Recovery Certificate No. RC4444 of 2022 dated January 17, 2022 drawn against Rohan Finance & Securities Ltd. (PAN: AABCR1905P) hereby stands cancelled.

Given under my hand and seal at Kolkata this **14th Day of March, 2023.**

SEAL



RECOVERY OFFICER

राज कुमार कलुरी / Raj Kumar Kaluri
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata

Copy to:

Rohan Finance & Securities Ltd.

Address- Room No C-2, 3rd floor, 29A, Westorn Street, Kolkata – 700012