



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Order Releasing Bank, Demat Accounts and Mutual Funds Folios of Swati Gupta in the
matter of Illiquid Stock Options at BSE

Attachment Proceeding No. 14293 & 14294 OF 2025
Certificate No. 8172 of 2024 dated October 09, 2024

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India

NSDL and CDSL, Mumbai

1. Whereas Recovery Certificate No 8172 of 2024 dated October 09, 2024 was drawn against **SWATI GUPTA [Defaulter] (PAN: AAOPG1125C)** in the matter of Illiquid Stock Options at BSE for sum of **Rs. 5,96,000/- (Rupees Five Lakh Ninety-Six Thousand only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum.
2. And whereas Notices of Attachment dated **February 13, 2025**, under the above proceedings were issued attaching bank accounts/lockers, demat accounts and mutual fund folios of the Defaulter;
3. And whereas SEBI has recovered the amount of **Rs. 6,21,000/- (Rupees Six Lakh Twenty-One Thousand Only)** which includes interest and costs towards full and final settlement of demand raised in the aforesaid recovery certificate.
4. In view of the above, you are hereby directed to release bank accounts/lockers, demat accounts and Mutual Fund Folio etc. of **SWATI GUPTA [Defaulter] (PAN: AAOPG1125C)** attached, if any, pursuant to the above notices of attachment with immediate effect.
5. This is without prejudice to any other order of attachment or freeze by the competent authority under any law.

Given under my hand and seal at New Delhi this 13th day of March, 2025.

SEAL




RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसुली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Officer
नई दिल्ली / New Delhi

“हम हिन्दी में पत्राचार का स्वागत करते हैं।”