

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6044 of 2024

Anindya Chakravorty	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated June 08, 2024 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated June 13, 2024 responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/24/00127) dated June 16, 2024. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.

2. **Request in the application** - The appellant, vide his application dated June 08, 2024, sought the following information:

“Copy of SEBI rule or guideline or laws or bye law which allows a stock broker like ICICI Securities Ltd / trading member to change and assign its own symbol and hide the ISN or the NSE symbol of a security. and give 2 different symbol for the same security.”

3. **Reply of the Respondent** - The respondent, in response to the request informed that the information sought pertains to 'G-sec' which is a security falling under the purview of Reserve Bank of India (RBI).

Further, the respondent informed that the request is in the nature of grievance and taking action or resolution of grievance does not come under the provisions of RTI Act. Hence, the information sought does not qualify as information under provisions of section 2(f) of RTI Act. However, the respondent informed that in case of any grievance, the appellant may lodge the same on SEBI Scores portal. The respondent provided the relevant link and information regarding the same.

4. **Ground of appeal** - On perusal of the appeal, it appears that the appellant is not satisfied with the response of the respondent.

5. I have perused the request, the response provided thereto. On consideration, I find that the request of the appellant is in the nature of seeking presumptive documents and hence, his request is vague and not specific. It is an established law that the information sought for in order to be disclosable under the RTI Act, must be clear, specific and available in the records of the public authority. In this context, I note that in the matter of *Mr. T. V. Sundaresan vs. CPIO, Securities and Exchange Board of India* (Decision dated November 24, 2021), the Hon'ble Central Information Commission (hereinafter referred to as "**CIC**") held: *"The framework of the RTI Act, 2005 expects that the information sought is specific and believed to be existing with the public authority in documented or material form as such; which can be shared with the appellant as per the provisions of the RTI Act. Answering to broad, multiple and general queries and presumptive documents that should have been generated as per the expectation of the appellant cannot be furnished under the provisions of the Act."*
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai

Date: June 25, 2024

B RAJENDRAN

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**