



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011-69012998/58, recoverynro@sebi.gov.in,
pallavia@sebi.gov.in

SEBI/NRO/OW/P/2023/15167/1
April 17, 2023

Big Broker House Stock Ltd
G-12, First Floor,
Anand Niketan,
New Delhi-110021

PAN: AACCB9811B

Big Broker House Stock Ltd
10-11, Tribhuvan Complex,
Ishwar nagar, Mathura Road,
New Delhi-110065

Big Broker House Stock Ltd
7/125, C-2, 2nd Floor,
Swaroop nagar, Kanpur-208002

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.

This is in reference to Notice of Demand dated March 02, 2021 (copy enclosed) issued to Big Broker House Stock Ltd. (Defaulter) in the matter of Rich Capital and Financial Services Ltd Case I vide recovery Certificate No. 3318 of 2021 dated March 02, 2021 wherein the details of dues payable by the defaulter were as mentioned in table 1 below:

Description of Dues	Amount
Penalty imposed by the A.O. vide order no. BM/AO-38/2012 dated July 31, 2012 in the matter of Rich Capital and Financial Services Ltd Case I.	8,00,000/-
Penalty amount paid	4,00,000/-
Penalty amount due	4,00,000/-
Interest on penalty amount due @ 12% from July 31, 2012 to March 02, 2021	4,12,405/-
Recovery Cost	1,000/-
Total	8,13,405/-

“हम हिन्दी पत्राचार का स्वागत करते हैं।”



Continuation Sheet

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

2. The Recovery Certificate no. 3318 of 2021 dated March 02, 2021 has been modified and the details of dues payable as on date are given in the table below:

Description of Dues	Amount
Penalty imposed by the A.O. vide order no. BM/AO-38/2012 dated July 31, 2012 in the matter of Rich Capital and Financial Services Ltd Case I.	8,00,000/-
Interest From July 2012 to February, 2014 @ 1% per month	1,60,000/-
Amount Recovered from the Defaulter (on February 06, 2014)	4,00,000/-
Penalty amount due	4,00,000/-
Interest on penalty amount due @ 1% p.m. from March, 2014 to April 2023	4,40,000/-
Recovery Cost	1,000/-
Total	10,01,000/-

3. You are hereby directed to remit the **current due amount of Rs. 10,01,000/-** (Rupees Ten Lakhs One Thousand) to SEBI by way of **EFT/NEFT/RTGS to SEBI RECOVERY PROCEEDS with ICICI Bank having Virtual Account Code SEBIRDPEN3318, IFSC code – ICIC0000106.**
4. Confirmation regarding any payment made in the format as given above should be forwarded to "The Recovery officer, Northern Regional Office, Securities and Exchange Board of India, NBCC Complex, Office Tower – 1, 8th Floor, Plate B, East Kidwai Nagar, New Delhi – 110023" or sent by email to recoverynro@sebi.gov.in, rajeevr@sebi.gov.in and pallavia@sebi.gov.in .
5. This letter dated April 17, 2023 shall be read with Notice of Demand dated March 02, 2021.


Rajeev Rastogi
Recovery Officer



SECURITIES AND EXCHANGE BOARD OF INDIA
NORTHERN REGIONAL OFFICE,
NBCC COMPLEX, OFFICE TOWER-1,
8TH FLOOR, PLATE B, EAST KIDWAI NAGAR, NEW DELHI – 110023

SEBI/NRO/OW/P/2021/_____

Certificate No. 3318 of 2021

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961

Big Broker House Stock Ltd
G-12, First Floor,
Anand Niketan,
New Delhi-110021

PAN: AACCB9811B

Big Broker House Stock Ltd
10-11, Tribhuvan Complex,
Ishwar nagar, Mathura Road,
New Delhi-110065

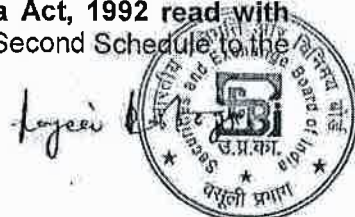
Big Broker House Stock Ltd
7/125, C-2, 2nd Floor,
Swaroop nagar, Kanpur-208002

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992.

1. This is to certify that a sum of **Rs.8,13,405/-** (*Rupees Eight Lakh Thirteen Thousand Four Hundred and Five Only*) as detailed below is due to SEBI from you.

Description of Dues	Amount (Rs.)
Penalty imposed by the A.O. vide order no. BM/AO-38/2012 dated July 31, 2012 in the matter of Rich Capital and Financial Services Ltd Case I.	8,00,000
Penalty amount paid	4,00,000
Penalty amount due	4,00,000
Interest on penalty amount due @ 12% from July 31, 2012 to March 02, 2021	4,12,405
Recovery Cost	1,000
Total	8,13,405

2. You are hereby directed to pay the above sum of **Rs.8,13,405/-** (*Rupees Eight Lakh Thirteen Thousand Four Hundred and Five Only*) along with further interest, all costs, charges and expenses, within 15 (Fifteen) days of the receipt of this Notice (**DD shall be drawn in favour of the "SEBI RECOVERY PROCEEDS" payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. 012210210000013 of Bank of India, Bandra Kurla Complex, Branch**) [IFS Code–**BKID0000122**], failing which the recovery shall be made in accordance with the provisions of **Section 28A of the Securities and Exchange Board of India Act, 1992 read with Section 220 to Section 232 of the Income Tax Act, 1961** and the Second Schedule to the said Act and the rules made thereunder.



3. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Northern Regional Office, Securities and Exchange Board of India, NBCC Complex, Office Tower-1, 8th Floor, Plate B, East Kidwai Nagar, New Delhi - 110023." or sent by email to recoverynro@sebi.gov.in

Case Name and Recovery Certificate Number :	
Name of Payee :	
Date of Payment:	
Amount Paid :	
Transaction No. :	
Bank Details from which payment is made :	

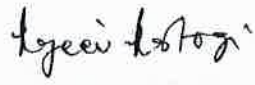
Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

4. In addition to the sum aforesaid, you will also be liable for interest, all costs, charges and expenses incurred in respect of the services of this notice and of warrants and other processes and all other proceedings taken for recovering the said sum.

Given under my hand and seal at New Delhi this 2nd Day of March, 2021

SEAL




**RECOVERY OFFICER &
DEPUTY GENERAL MANAGER**
राजीव रस्तोगी/RAJEEV RASTOGI
पञ्चम अधिकारी/Recovery Officer
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उ० प्रादेशिक कार्यालय/N. Regional Office
नई दिल्ली/New Delhi