



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Cancellation of Recovery Certificate No. 4858 of 2022 dated June 10, 2022 issued against Shivanand Dubey [Defaulter] PAN: AGMPD9997R in the matter of Dealings in Illiquid Stock Options at the BSE.

1. Recovery Certificate No. 4858 of 2022 dated June 10, 2022 was drawn against Shivanand Dubey [Defaulter] PAN: AGMPD9997R for sum of Rs. 5,26,000/- (Rupees Five Lakh Twenty Six Thousand) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order dated Order/VV/AK/2021-22/15081 dated February 24, 2022 in the matter of Dealings in Illiquid Stock Options at the BSE.
2. The defaulter has availed the benefit of the SEBI Settlement Scheme, 2022 and the matter was settled vide Settlement Order No. SO/AB/EFD2/2022-23/7162 dated March 08, 2023.
3. Since the defaulter has availed the SEBI Settlement Scheme, 2022 and in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 of the Income-tax Act, 1961 framed therein, the RC4858 of 2022 dated June 15, 2022 issued against Shivanand Dubey (PAN: AGMPD9997R) hereby stand cancelled.

26.04.2023
New Delhi



Rajeev Rastogi

RAJEEV RASTOGI
RECOVERY OFFICER
राजीव रस्तोगी / RAJEEV RASTOGI
वरसूली अधिकारी एवं उपाय महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
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