

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 5540 of 2022

Chandra Shekhar Gupta	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated October 27, 2022 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated November 24, 2022, responded to the application filed by the appellant. The appellant filed an appeal (Reg. No. SEBIH/A/E/22/00676) dated December 21, 2022. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Queries in the application** –The appellant, vide query number 1 of his application dated October 27, 2022, sought information regarding action taken on his complaint which was lodged on August 12, 2022 through Speed Post and email. Vide query number 2, the appellant sought date-wise progress/movement (without disclosing internal information about investigation) and/or name of officer/office investigating the complaint, if the complaint was still under consideration/investigation.
3. The respondent, in response to the aforesaid queries, informed that the complaint has been referred to NBCC India Ltd. to ascertain implications of ongoing inquiry proceedings, referred by the appellant, on the financial statements of NBCC India Ltd. for the applicable financial year and to take corrective steps, if any.
4. **Ground of appeal-** The appellant has filed the appeal on the ground that the information provided was incomplete, misleading or false. The appellant, in his appeal, *inter alia*, alleged that the CPIO is silent about the actions initiated/taken by SEBI under various Sections. The appellant also requested to provide information about actions taken against delinquent officers of the company under specific sections of the SEBI Act.

5. I have perused the queries and the response provided thereto. On consideration, I find that the respondent has informed regarding the specific action taken by SEBI with respect to the appellant's complaint lodged on August 12, 2022. Accordingly, I do not find any deficiency in the response.
6. Further, I note that the appellant, in his appeal, has made certain assumptions and allegations with respect to SEBI/respondent. In my opinion, the said submissions are baseless and unsubstantiated. In view of these observations, I do not find any merit in the submissions of the appellant.
7. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai

Date: January 18, 2023

ANAND BAIWAR
APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA