भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice Of Attachment Of Bank Accounts

Attachment Proceeding No. 15327 of 2026
Certificate No. 8599 of 2025

The Principal Officer /Chairman & Managing Director / CEO
All the Banks in India
The Postmaster of all the Post Offices in India

1. Whereas a Recovery **Certificate No. 8599 of 2025** dated **February 4, 2025** was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 68,51,000/- (Rupees Sixty-Eight Lacs Fifty-One Thousand Only)** as given below along with further interest, all costs, charges and expenses etc. against **Awanish Kumar Mishra [Defaulter] (PAN: AGOPM7538H)** and the same is due from him in respect of the said certificate. Notice of Demand dated **February 4, 2025** was issued to the defaulter for the following dues:

Description of Dues	**Amount (in Rs.)**
Penalty imposed by WTM vide Order No. WTM/SM/IVD/ID3/15009/2021-22 dated February 18, 2022 in the matter of Transfer of mutual fund units by Money Mishra Financial Services and others.	50,00,000/-
Interest from February 2022 to February 2025 @ 1% p.m.	18,50,000/-
Recovery Cost	1,000/-
Total	**68,51,000/-**

2. The aforesaid notice of demand was served on the defaulter on April 2, 2026 via email. However, no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.

3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect.
   a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank /Post office; and
   b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

A.P. No. 15327 of 2026

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:

   a) Details of all the Accounts including Lockers held by the defaulter with your Bank/Post Office;
   b) Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
   c) Confirmation of Attachment of the said account(s) and lockers; and;
   d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

   If no response is received within 15 days from the date of receipt of this order, it will be presumed that the defaulter is having no bank account/balance with your bank/post office.

6. **If the defaulter is not having any type of account with your bank, then the same need not be informed to SEBI.**

7. This Notice of attachment is issued in exercise of powers conferred under **Section 28A (1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.**

Given under my hand and seal at New Delhi this day of April 29, 2026.

—Signed

SEAL

भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Recovery Officer

राजन कुमार/Rajan Kumar
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Demat Accounts and Mutual Fund Folios

Attachment Proceeding No. 15328 of 2026
Certificate No. 8599 of 2025

National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400013.

Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

The Principal Officer / Chairman & Managing Director / CEO
All Mutual Funds in India

1. Whereas a Recovery Certificate No. 8599 of 2025 dated February 4, 2025 was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 68,51,000/- (Rupees Sixty-Eight Lacs Fifty-One Thousand Only)** as given below along with further interest, all costs, charges and expenses etc. against **Awanish Kumar Mishra [Defaulter] (PAN: AGOPM7538H)** and the same is due from him in respect of the said certificate. Notice of Demand dated February 4, 2025 was issued to the defaulter for the following dues:

Description of Dues	Amount (Rs.)
Penalty imposed by WTM vide Order No. WTM/SM/IVD/ID3/15009/2021-22 dated February 18, 2022 in the matter of Transfer of mutual fund units by Money Mishra Financial Services and others.	50,00,000/-
Interest from February 2022 to February 2025 @ 1% p.m.	18,50,000/-
Recovery Cost	1,000/-
Total	**68,51,000/-**

2. The aforesaid notice of demand was served on the defaulter on April 2, 2026 via email. However, no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.

"हम हिन्दी में पत्राचार का स्वागत करते हैं।"

Continuation Sheet

भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

A.P. No. 15328 of 2026

3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
   i. All Demat Account/s by whatever name called, of the Defaulter, either singly or jointly with any other person/s, held with you.
   ii. All Mutual fund folio/s by whatever name called, of the Defaulter, either singly or jointly with any other person/s, held with you.

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.

5. You are hereby directed to provide the following immediately to the undersigned/ our representative on service of this Notice:
   i) Details of all accounts/folios held by the Defaulter with you;
   ii) Copy of the Account Statement/s; and
   iii) Confirmation of Attachment of the said accounts/folios;

   If no response is received within 15 days from the date of receipt of this order, it will be presumed that the defaulter is having no account/folio with you.

6. If the Defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the Defaulter, the same shall also be informed on the email: recoverynro@sebi.gov.in

7. This Notice of attachment is issued in exercise of powers conferred **under sections 28A (1) and 11(2) (ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.**

Given under my hand and seal at Delhi this day of April 29, 2026.

— Signed

SEAL

Recovery Officer

राजन कुमार/Rajan Kumar
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi

(With a direction not to deal with securities/instruments held/ to be held in the aforesaid accounts.)