



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment Of Bank Accounts

Attachment Proceeding No. 14744 of 2025

Certificate No. 8390 of 2024

**The Principal Officer /Chairman & Managing Director / CEO
All the Banks in India**

1. Whereas a Recovery Certificate No. 8390 of 2024 dated November 28, 2024 was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs.5,91,000.00/- (Rupees Five Lakh Ninety-One Thousands Only)** as given below along with further interest, all costs, charges and expenses etc. against **PANKAJ GOEL (PAN: ACTPG7828D) ["Defaulter"]** and the same is due from him in respect of the said certificate. Notice of Demand dated **November 28, 2024** was issued to the defaulter for the following dues:

Description of Dues	Amount(Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2023-24/27838 dated June 28, 2023 in the matter of ISO at BSE-PANKAJ GOEL.	5,00,000.00
Interest from June 2023 to November 2024 @ 1% p.m.	90,000.00
Recovery Cost	1,000.00
Total	5,91,000.00

2. The aforesaid notice of demand was served on the defaulter on June 05, 2025. However, no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is therefore in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- a) All account/s by whatever name called including lockers of the Defaulter, either singly or jointly with any other person/s, held with your Bank /Post office; and
- b) All other amount/ proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.



“हम हिन्दी में पत्राचार का स्वागत करते हैं।”



Continuation Sheet

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Board of India

A.P. No. 14744 of 2025

4. It is further ordered with immediate effect that No Debit shall be made in the said account/s to the extent of the total dues mentioned above until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately/ within 15 days to the undersigned/ our representative on service of this Notice:
- a) Details of all the Accounts including Lockers held by the defaulter with your Bank/Post Office;
 - b) Copy of the Account Statement(s) for the latest one year in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account(s) and lockers; and;
 - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.

If no response is received within 15 days from the date of receipt of this order, it will be presumed that the defaulter is having no bank account/balance with your bank/post office.

6. If the defaulter is not having any type of account with your bank, then the same need not be informed to SEBI.
7. This Notice of attachment is issued in exercise of powers conferred under Section 28A (1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.

Given under my hand and seal at New this day of July 14, 2025.

SEAL



Recovery Officer

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Office

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)



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Notice of Attachment of Demat Accounts and Mutual Fund Folios

Attachment Proceeding No. 14745 of 2025

Certificate No. 8390 of 2024

National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai - 400013.

Central Depository Services (I) Ltd
P J Towers, 17th floor
Dalal Street
Fort, Mumbai - 400001

The Principal Officer / Chairman & Managing Director / CEO
All Mutual Funds in India

1. Whereas a Recovery Certificate No. 8390 of 2024 dated November 28, 2024 was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.5,91,000.0/- (Rupees Five Lakh Ninety-One Thousands Only) as given below along with interest/ costs/ charges/ expenses, etc. against PANKAJ GOEL (PAN: ACTPG7828D) ["Defaulter"] and the same is due from the Defaulter in respect of the said certificate. A Notice of Demand dated November 28, 2024 was issued to the defaulter for the following dues:

Description of Dues	Amount(in Rs.)
Penalty imposed by the Adjudicating Officer vide Order No. Order/SM/DD/2023-24/27838 dated June 28, 2023 in the matter of ISO at BSE-PANKAJ GOEL.	5,00,000.00
Interest from June 2023 to November 2024 @ 1% p.m.	90,000.00
Recovery Cost	1,000.00
Total	5,91,000.00

2. The aforesaid notice of demand was served on the defaulter on June 05, 2025. However, no amount has been paid by the Defaulter and there is sufficient reason to believe that the Defaulter may dispose of the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:

“हम हिन्दी में पत्राचार का स्वागत करते हैं।”





Continuation Sheet

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Board of India

A.P. No. 14745 of 2025

- i. All Demat Account/s by whatever name called, of the Defaulter, either singly or jointly with any other person/s, held with you.
 - ii. All Mutual fund folio/s by whatever name called, of the Defaulter, either singly or jointly with any other person/s, held with you.
1. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the accounts/folios may be allowed.
 2. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice:
 - i) Details of all accounts/folios held by the Defaulter with you;
 - ii) Copy of the Account Statement/s; and
 - iii) Confirmation of Attachment of the said accounts/folios;
 3. If no response is received within 15 days from the date of receipt of this order, it will be presumed that the defaulter is having no account/folio with you.
 4. If the Defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the Defaulter, the same shall also be informed on the email: recoverynro@sebi.gov.in
 5. This Notice of attachment is issued in exercise of powers conferred **under sections 28A (1) and 11(2)(ia) of SEBI Act, 1992 read with section 226 and the Second Schedule to the Income-tax Act, 1961.**

Given under my hand and seal at New this day of July 14, 2025.

SEAL



Recovery Officer

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Officer
भारत, नई दिल्ली

(With a direction not to deal with securities/instruments held/ to be held in the aforesaid accounts.)