



भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

Deputy General Manager  
Western Regional Office

SEBI/WRO/SJ/OW/32267/4  
Date: August 10, 2023

To

|                                                                                                                                                                              |                                                                                                                                                                                                                 |
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| ४ डब्लू वैलथ मैनेजमेंट प्राइवेट लिमिटेड<br>ऑफिस नंबर ८००-ए & बी, आठवा मंजीत,<br>इंटरनेशनल ट्रेड सेंटर बिल्डिंग, माजूरा गेट, रिंग<br>रोड, सूरत ३९५००२                         | 4W Wealth Management Private Limited<br>Office No. 800-A & B, 8 <sup>th</sup> Floor,<br>International Trade Centre Building,<br>Majura Gate, Ring Road, Surat 395002.<br>(PAN:AAACZ6378D)                       |
| ४ डब्लू वैलथ मैनेजमेंट प्राइवेट लिमिटेड<br>टी एफ / ३२१, सुपरमल कॉम्प्लेक्स, लाल बंगलो के<br>पास, सी जी रोड, अहमदाबाद ३८०००६                                                  | 4W Wealth Management Private Limited<br>TF/321, Supermall Complex, Near Lal<br>Bungalow, C.G.Road, Ahmedabad, Gujarat<br>380006                                                                                 |
| ४ डब्लू वैलथ मैनेजमेंट प्राइवेट लिमिटेड<br>ऑफिस नंबर ए & बी, ग्राउंड फ्लोर, मृदुल टावर,<br>हेच के हाउस के बिपरित, बाटा शोरूम के बिपरित,<br>आश्रम रोड, अहमदाबाद ३८०००९        | 4W Wealth Management Private Limited<br>Office No. A & B, Ground Floor, Mrudul<br>Tower, Opp. H.K.House, Opp. Bata<br>Showroom, Ashram Road, Ahmedabad,<br>Gujarat 380009                                       |
| ✓ श्री भावेश पी भेन्सडाडिया, डाइरेक्टर ४ डब्लू वैलथ<br>मैनेजमेंट प्राइवेट लिमिटेड, १५, ग्रीन लॉड<br>सोसाइटी, सूरत ३९५००४                                                     | Shri Bhavesh P. Bhensdadia (PAN:<br>ARDPB0217G)<br>Director: 4W Wealth Management<br>Private Limited. 15, Greenland Society,<br>Surat 395004, Gujarat                                                           |
| श्री रिकलकुमार मोहनभई चोपड़ा,<br>डाइरेक्टर ४ डब्लू वैलथ मैनेजमेंट प्राइवेट लिमिटेड,<br>तखत गढ़, तालुका प्रांतिज, हिममतनगर,<br>३८३२१०, गुजरात                                 | Shri Rinkalkumar Mohanbhai Chopda<br>(PAN :AJJPC0634))<br>Director: 4W Wealth Management<br>Private Limited. Takhat Gadh, Tal -<br>Prantij, Dist. - Himmatnagar 383210,<br>Gujarat                              |
| श्री विवेक लक्ष्मनभाई पटेल<br>डाइरेक्टर ४ डब्लू वैलथ मैनेजमेंट प्राइवेट लिमिटेड,<br>सिग्नल फार्म, अमीरगढ़, जिल्ला बी के पालनपुर,<br>३८५१३०, गुजरात                           | Shri Vivek Laxmanbhai Patel (PAN :<br>BCXPP6594E)<br>Director: 4W Wealth Management<br>Private Limited. Signal Farm, At -<br>Amirgadh, Dist. B.K. Palanpur, 385130,<br>Gujarat                                  |
| श्री विवेक लक्ष्मनभाई पटेल<br>डाइरेक्टर ४ डब्लू वैलथ मैनेजमेंट प्राइवेट लिमिटेड,<br>सी५-४०४, आर्यन प्राइड, शयोना तिलक -३ के<br>पास, न्यू एस जी रोड, गोटा, अहमदाबाद<br>३८२४८१ | Shri Vivek Laxmanbhai Patel (PAN :<br>BCXPP6594E)<br>Director: 4W Wealth Management<br>Private Limited<br><br>C5-404, Aaryan Pride, Near Shayona<br>Tilak - 3, New S G Road, Gota,<br>Ahmedabad, Gujarat 382481 |



अनुवर्ती:  
Continuation :

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| श्री निलेशभाई चंडुभाई पटेल,<br>डाइरेक्टर ४ डब्लू वैल्य मैनेजमेंट प्राइवेट लिमिटेड,<br>४८२, सरतनपुर, तखत गढ़, तालुका प्रांतिज ,<br>सबरकांठा, गुजरात ३८३२१० | Shri Nileshbhai Chandubhai Patel<br>Director: 4W Wealth Management<br>Private Limited<br>482, Sartanpur, Takhat Gadh Tal-<br>Prantij, Sabarkantha, Gujarat 383210<br>(PAN : CJTPP9131M) |
| श्री पार्थ कानुभाई पटेल,<br>डाइरेक्टर ४ डब्लू वैल्य मैनेजमेंट प्राइवेट लिमिटेड,<br>नवनगर , तालुका हिममतनगर, ३८३००१, गुजरात                                | Shri Parth Kanubhai Patel<br>Director: 4W Wealth Management<br>Private Limited<br>At - Navanagar, Tal-Himatnagar,<br>Gujarat 383001<br>(PAN : CGZPP5636C)                               |

Sir,

**Sub: Notice of Personal Hearing in the matter of 4W Wealth Management Private Limited -Regarding**

1. Please refer to the Show Cause Notice dated September 17, 2021 issued to you under sections 11(1), 11(4), and 11B(1) of SEBI Act, 1992.
2. With reference to the same, I am directed to inform that in terms of principles of natural justice, you have been granted an opportunity of personal hearing, before the Competent Authority, which is scheduled on **September 12, 2023 at 2.30 P.M.** You may avail the opportunity of personal hearing through 'Cisco Webex Portal'. The login details to attend the personal hearing would be provided in due course.
3. Alternatively, you may also avail of the opportunity of personal hearing in person, under intimation to us, as per the details given below:

|       |                                                                                   |
|-------|-----------------------------------------------------------------------------------|
| Date  | September 12, 2023                                                                |
| Time  | 2.30 P.M                                                                          |
| Venue | SEBI Bhavan 2 , C-7 , G Block<br>Bandra Kurla Complex, Bandra East, Mumbai 400051 |

4. In case you fail to appear before the Competent Authority on the said date, it shall be presumed that you have nothing further to state in the matter and the matter will be proceeded with on the basis of material available on record.
5. You may appear for the hearing personally or through your authorized representative. Please ensure that person(s) authorized for hearing bring original as well as photo copy of their Identity Card and the original Authorization letter along with PAN of the Noticee.



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6. You are required to acknowledge the receipt of this notice and confirm the name(s) of official(s)/ representative(s) who will appear, with designation(s), for the hearing, before the hearing date, by way of a letter or e-mail at addresses [satyajitj@sebi.gov.in](mailto:satyajitj@sebi.gov.in), [kshitijs@sebi.gov.in](mailto:kshitijs@sebi.gov.in).

Yours faithfully,

**Satyajit Jaware**