



Recovery & Refund Department
Recovery Division II
Tel: 022-26449354
Email: recoveryho@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Bank Account

Attachment Proceeding No. 6549 of 2021

Certificate No. 3046 of 2020

The Principal Officer /
Chairman & Managing Director / CEO
All the Banks in India.

- Whereas a Recovery **Certificate No.3046 of 2020 dated 24.09.2020** has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.11,07,521/- (Rupees Eleven Lakh Seven Thousand Five Hundred and Twenty One Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **H Bhavesh Securities and Commodities Pvt. Ltd. ["Defaulter"] PAN. AAACB1655R** and the same is due from him in respect of the said certificate. A Notice of Demand dated **24.09.2020** has been issued to **H Bhavesh Securities and Commodities Pvt. Ltd..**

Description of Dues	Amount
Penalty imposed on H Bhavesh Securities and Commodities Pvt. Ltd. (PAN. AAACB1655R) by the Adjudicating Officer vide order no. ORDER/GR/AE/2019-20/5335-5350 dated 05.11.2019 in the matter of Polytex India Ltd..	10,00,000
Interest from 05.11.2019 to 24.09.2020 @ 12% p.a.	1,06,521
Recovery cost	1,000
Total	11,07,521

- And whereas there is sufficient reason to believe that the defaulter may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect **to the extent of above said dues:**
 - All account/s by whatever name called including lockers of the Defaulter **held in single name with your Bank; and**
 - All other amount/proceeds due or may become due to the Defaulter or any money held or may subsequently hold for or on account of the Defaulter.

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



अनुवर्ती :
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भारतीय प्रतिभूति
और विनियम बोर्ड
Securities and Exchange
Board of India

A .P. No.6549 of 2021

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s **to the extent of the total dues mentioned above** until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
 - a) Details of all the Accounts including Lockers of the defaulter, held with your Bank either singly or jointly with any other person/s;
 - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
 - c) Confirmation of Attachment of the said account/s and lockers; and
 - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in /srishtiic@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.

Given under my hand and seal at Mumbai this 9th Day of April, 2021.

SEAL



Copy to:

H Bhavesh Securities and Commodities Pvt. Ltd.
(PAN:AAACB1655R)
196 A, 3rd Floor, Room Number 32, Dr.Viegas Street,
Cavel X Lane No.8,,
Chirabazar,
Mumbai 400002

Srishti Ambekar

RECOVERY OFFICER

Srishti Ambekar

सृष्टी आंबेकर

Dy. General Manager & Recovery Officer

उप महाप्रबंधक एवं वसूली अधिकारी

Securities and Exchange Board of India

भारतीय प्रतिभूती एवं विनियम बोर्ड

Mumbai

मुंबई

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)



Recovery & Refund Department
Recovery Division II
Tel: 022-26449610
Email: recoveryho@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Notice of Attachment of Demat Account and Mutual Fund Folio(s)

Attachment Proceeding No. 6550 of 2021
Certificate No.3046 of 2020

M/s. National Securities Depository Ltd.
4th floor, 'A', Wing, Trade World
Kamala Mills Compound
Senapati Bapat Marg
Lower Parel, Mumbai – 400 013.

M/s. Central Depository Services (I) Ltd.
P J Towers, 17th floor
Dalal Street
Fort, Mumbai – 400001

**The Principal Officer /Chairman & Managing Director / CEO
All the Mutual Funds in India.**

- Whereas a Recovery Certificate No.3046 of 2020 dated 24.09.2020 has been drawn up by the Recovery Officer in the above proceedings for recovery of a sum of Rs.11,07,521/- (Rupees Eleven Lakh Seven Thousand Five Hundred and Twenty One Only) as detailed given below along with further interest, all costs, charges and expenses etc. against **H Bhavesh Securities and Commodities Pvt. Ltd.["Defaulter"] PAN. AAACB1655R** and the same is due from him in respect of the said certificate. A Notice of Demand dated 24.09.2020 has been issued to **H Bhavesh Securities and Commodities Pvt. Ltd..**

Description of Dues	Amount
Penalty imposed on H Bhavesh Securities and Commodities Pvt. Ltd. (PAN No. AAACB1655R) by the Adjudicating Officer vide order no. ORDER/GR/AE/2019-20/5335-5350 dated 05.11.2019 in the matter of Polytex India Ltd..	10,00,000
Interest from 05.11.2019 to 24.09.2020 @ 12% p.a.	1,06,521
Recovery cost	1,000
Total	11,07,521

- And whereas there is sufficient reason to believe that the Defaulter may dispose off the securities/instruments in the Demat account/s or Mutual fund folio/s held with you and realization of amount due under the certificate would in consequence be delayed or obstructed.
- It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect :
 - All Demat Account/s by whatever name called of the Defaulter, **held in single name with you.**

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सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर. एस.), 2644 9000 / 4045 9000 फेक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



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Securities and Exchange
Board of India

A .P. No.6550 of 2021

- ii) All Mutual fund folio/s by whatever name called of the Defaulter, **held in single name with you.**
4. It is further ordered with immediate effect that **No Debit** shall be made in the said accounts/folios until further orders. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned/our representative on service of this Notice.
- a) Details of all the Accounts/folios held by the defaulter with you; either singly or jointly with any other person/s,
 - b) Copy of the Account Statement/s; and
 - c) Confirmation of Attachment of the said accounts/folios
6. If the defaulter is not having any type of account/folios with you/not having any balance in the account/folios of the defaulter, the same shall be also informed on the email: recoveryho@sebi.gov.in. / srishtiic@sebi.gov.in
7. This Notice of attachment is issued in exercise of powers conferred under section 28A(1), 11(2)(ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961

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Mumbai

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(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts).