



**भारतीय प्रतिभूति  
और विनियम बोर्ड  
Securities and Exchange  
Board of India**

**Recovery Division  
Southern Regional Office**

**Tel: 044-2888 0222  
Email ID: recovery@sro@sebi.gov.in**

**Notice of Attachment of Bank Account**

Attachment Proceeding No. 9852/2023  
Certificate No. RC 4593/2022

**The Principal Officer /  
Chairman & Managing Director / CEO  
All the Banks in India.**

1. Whereas a **Recovery Certificate No. RC 4593/2022 dated March 31, 2022** was drawn up by the Recovery Officer in the above proceedings for recovery of a sum of **Rs. 2,88,20,130/- (Rupees Two Crore Eighty-Eight Lakh Twenty Thousand One Hundred and Thirty Only)** as detailed below along with further interest, all costs, charges and expenses etc. against **Mohd. Tanveer Ahmed (PAN – BAWPA2323M)** and **Sunny Gupta (PAN – BKJPG7330K) ["Defaulters"]** and the same is due from Defaulters in respect of the said certificate. A Notice of Demand dated March 31, 2022 was issued to Defaulters for the following dues:

| Description of Dues                                                                                                                                       | Amount (Rs.)                                                                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| Direction to Refund Money Received from Clients vide Order No. Order/WTM/GM/SRO/SRO/10346/2020-21 dated 05/02/2021 in the matter of M/s Stock Indication. | 2,53,29,856.00                                                                     |
| Interest from February 2021 to March 2022 @ 1% per month.                                                                                                 | 34,89,274.00                                                                       |
| Recovery Cost                                                                                                                                             | 1,000.00                                                                           |
| Further Interest                                                                                                                                          | On Actual Date of Payment                                                          |
| <b>Total</b>                                                                                                                                              | <b>Rs. 2,88,20,130.00/-<br/>+ Further Interest till<br/>Actual date of Payment</b> |

2. And whereas there is sufficient reason to believe that the defaulters may dispose of the amounts/proceeds in the Bank accounts held with your Bank and realization of amount due under Bank and realization of amount due under the certificate would in consequence be delayed or obstructed.
3. It is, therefore, in exercise of powers conferred on me, I hereby order to attach the following with immediate effect:
- All account/s by whatever name called including lockers of the Defaulters, either singly or jointly with any other person/s, held with your Bank; and
  - All other amount/proceeds due or may become due to the Defaulters or any money held or may subsequently hold for or on account of the Defaulters



...2/-

दक्षिणी प्रादेशिक कार्यालय : 'ओवरसीज टॉवर्स' सातवीं मंजिल, 756-एल, अन्ना सालै, चेन्नई - 600 002

Southern Regional Office : Overseas Towers, 7th Floor, 756-L, Anna Salai, Chennai - 600 002. Phone : 044 - 2852 6686, 2888 0222 Fax : 044 - 2888 0333 e-mail : sebisro@sebi.gov.in

सेबी भवन, प्लॉट सं, सी4-ए, 'जी' ब्लॉक, बांद्रा - कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051. "SEBI BHAVAN", Plot No.C4-A, "G" Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051.



अनुवर्ती:  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**

**A.P. No. 9852/2023**

4. It is further ordered with immediate effect that **No Debit** shall be made in the said account/s until further orders from the Recovery Officer of SEBI. However, the credits, if any, into the account may be allowed.
5. You are hereby directed to provide the following immediately to the undersigned on service of this Notice:
- a) Details all the Accounts including Lockers held by the defaulters with your Bank;
  - b) Copy of the Account Statement/s for the latest one year in respect of all the Accounts;
  - c) Confirmation of Attachment of the said account/s; and
  - d) Complete details of all loan/advances accounts along with the details of assets charged for the said loan/advances.
6. If the defaulter is not having any type of account with your bank/not having any balance in the account of the defaulter, the same shall be also informed on the email: [recoverysro@sebi.gov.in](mailto:recoverysro@sebi.gov.in)
7. This Notice of attachment is issued in exercise of powers conferred under **section 28A(1), 11(2) (ia) of SEBI Act, 1992 read with section 226 and the second schedule of the Income Tax Act, 1961.**

Given under my hand and seal at Chennai on this 23<sup>rd</sup> day of March, 2023

SEAL



**RECOVERY OFFICER**

एस के श्रीकांत

**M K SRIKANTH**

वसूली अधिकारी एवं संप्र. महा प्रबंधक  
Recovery Officer & Deputy General Manager  
भारतीय प्रतिभूति और विनिमय बोर्ड, चेन्नई  
Securities and Exchange Board of India, Chennai

Copy to:

|                                                                                                                                                              |                                                                                                                                           |                                                                                                                   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| <b>Mohd. Tanveer Ahmed</b><br><b>(PAN – BAWPA2323M)</b><br>B-55, Near Ishaq Wali Masjid,<br>Sai Kale Khan,<br>Kazrat Nizamuddin S O,<br>South Delhi - 110013 | <b>Mohd. Tanveer Ahmed</b><br><b>(PAN – BAWPA2323M)</b><br>534, 2nd floor, 10th Cross,<br>29th Main BTM, 2nd Stage,<br>Bengaluru - 560076 | <b>Shri Sunny Gupta</b><br><b>(PAN – BKJPG7330K)</b><br>504, Peach Block,<br>HM World City,<br>Bengaluru - 560076 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|

(With a direction not to receive/ recover/ demand the proceeds/ money held / to be held in the aforesaid accounts)