

**BEFORE THE APPELLATE AUTHORITY**  
**(Under the Right to Information Act, 2005)**  
**SECURITIES AND EXCHANGE BOARD OF INDIA**

**Appeal No. 5012 of 2022**

|                    |    |            |
|--------------------|----|------------|
| Babu Henry         | :  | Appellant  |
|                    | Vs |            |
| CPIO, SEBI, Mumbai | :  | Respondent |

**ORDER**

1. The appellant had filed an application dated April 01, 2022 (received by the respondent through RTI MIS Portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated April 28, 2022, responded to the application filed by the appellant. The appellant filed an appeal dated April 28, 2022. I have carefully considered the application, the response and the appeal and find that the matter can be decided based on the material available on record.
2. **Ground of appeal-** The appellant has filed the appeal on the ground that information provided was incomplete, misleading or false. On perusal of the application, it appears that the appellant is not satisfied with the response provided with respect to query number 2. In view of the submission made by the appellant, I am only dealing with the said query and the response provided thereto, in this order.
3. **Query number 2** –The appellant, vide query number 2, *inter alia*, sought copy of documents/explanations collected from certain SEBI officers with respect to his complaint No. DOEAF/E/2022/....46.
4. The respondent, in response to the aforesaid query, informed that the information regarding copy of documents/explanations and actions taken by SEBI are part of the Action Taken Report for the complaint no. DOEAF/E/2022/00346. The respondent also provided copy of the Action Taken Report. The appellant, in his appeal, *inter alia*, submitted that the documents provided by the respondent does not contain any information with respect to the SEBI officers.
5. I have perused the query and the response provided thereto. I note that Action Taken Report reveals the action taken and the date-wise progress with respect to any complaint. Further, I note that the respondent has already provided the copy of the Action Taken Report pertaining to the appellant’s

complaint. I find that the respondent has adequately addressed the query by providing the information available with him. Therefore, I find no deficiency in the respondent's response to the appellant's application.

6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

**Place: Mumbai**  
**Date: May 25, 2022**

**ANAND BAIWAR**  
**APPELLATE AUTHORITY UNDER THE RTI ACT**  
**SECURITIES AND EXCHANGE BOARD OF INDIA**