

**BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA**

Appeal No. 5865 of 2023

Laxman Mittha	:	Appellant
Vs		
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated October 9, 2023 (received by SEBI on October 16, 2023) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated October 30, 2023, responded to the application filed by the appellant. The appellant filed an appeal dated November 23, 2023 (received by the Office of Appellate Authority on December 05, 2023). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.
2. **Request in the application-** The appellant, in his application dated October 9, 2023, *inter alia*, sought information regarding action taken on his letter dated September 11, 2023 sent to Securities and Exchange Board of India (hereinafter referred to as “**SEBI**”).
3. **Reply of the Respondent** - The respondent, in response to the application, informed that Mutual Fund Distributors are not registered with SEBI and are registered with AMFI. The respondent further informed that as the appellant’s letter dated September 11, 2023 pertains to Mutual Fund Distribution activities, the same was forwarded to AMFI for their information and necessary action.
4. **Ground of appeal-** On perusal of the appeal, it appears that the appellant is not satisfied with the response provided by the respondent. The appellant, in his appeal, *inter alia*, submitted that as the regulator of Mutual Funds, SEBI should take action against AMFI and SBI Mutual Funds w.r.t. his complaint dated September 11, 2023.
5. I have perused the application and the response provided thereto. On consideration, I find that the appellant in his application had sought information pertaining to action taken w.r.t. his letter September 11, 2023 sent to SEBI. I note that the said letter pertains to complaint of the appellant in

respect to his suspension of AMFI registration and ARN by AMFI. As the complaint pertains to registration of Mutual Fund Distributors, I find that the respondent has adequately addressed the request by providing the information available with him. Further, the respondent has also informed the appellant that his complaint has been forwarded to AMFI for information and necessary action. Accordingly, I do not find any deficiency in the response.

6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The Appeal is accordingly dismissed.

Place: Mumbai

Date: December 18, 2023

B RAJENDRAN

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**