CERTIFICATE No. 7941 of 2024

Certificate under Section 28A of Securities and Exchange Board of India Act, 1992 and Section 23JB of the Securities Contract (Regulation) Act, 1956 read with section 222 of the Income-tax Act, 1961

Harish Gangaram Agrawal
(PAN: ABUPA3505F)
Address 1: 287, Hari Niketan,
Wardhaman, Nagpur - 440008

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992 AND SECTION 23JB OF THE SECURITIES CONTRACT (REGULATION) ACT, 1956.

1. This is to certify that a sum of **Rs. 3,16,000/-** (Rupee Three Lakh Sixteen Thousand only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

Description of Dues	Amount (in Rs.)
Penalty imposed by Adjudicating Officer vide order no. Order/AS/RM/2024-25/30267-30270 dated 10.04.2024 in the matter of Prabhu Steel Industries Limited.	3,00,000/-
Interest from April, 2024 to August, 2024 @ 1% p.m.	15,000/-
Recovery cost	1,000.00
Total	**3,16,000/-**

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice by way of direct credit through **EFT/NEFT/RTGS to A/c No. SEBIRRDPEN7941 of ICICI BANK, IFSC code – ICIC0000106) (OR) online payment facility available on the "Recovery Payment" module on the website: https://siportal.sebi.gov.in (OR) payment link available on the following path: SEBI Website → Enforcement → Recovery Proceedings → Pay Now)** failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of **Section 28A of Securities and Exchange Board of India Act, 1992 and Section 23JB of the Securities Contract (Regulation) Act, 1956 ("SCRA")** read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.

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3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely:-
    a) attachment and sale of your movable property;
    b) attachment of your bank accounts;
    c) attachment and sale of your immovable property;
    d) arrest and detention in prison;
    e) appointing a receiver for the management of your movable and immovable properties.

4. Further, as per Explanation 1 to Section 28A of Securities and Exchange Board of India Act, 1992 and Section 23JB of the SCRA, any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after April 10, 2024, shall be deemed to be your property or money for the purpose of recovery.

5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with Section 28A of Securities and Exchange Board of India Act, 1992 and Section 23JB of the SCRA.

6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, Recovery Division III, Securities and Exchange Board of India, SEBI Bhavan II, Plot No. C – 7, "G" Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051" or sent by email to tanmayag@sebi.gov.in & recoveryho1@sebi.gov.in

Case Name and Recovery Certificate Number :	
Name of Payer :	
Date of Payment:	
Amount Paid :	
Transaction No. :	
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

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भारतीय प्रतिभूति और विनिमय बोर्ड
SEBI
वसूली विभाग
Recovery Division
Securities and Exchange Board of India

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

भारतीय प्रतिभूति और विनिमय बोर्ड
SEBI
वसूली विभाग
Recovery Division
Securities and Exchange Board of India

Dated: 22.08.2024

RECOVERY OFFICER

DEEPU ANANDAN
दीपू आनंदन
Dy. General Manager & Recovery Officer
उप महाप्रबंधक एवं वसूली अधिकारी
Securities and Exchange Board of India
भारतीय प्रतिभूति और विनिमय बोर्ड
Mumbai
मुंबई