



Dy. General Manager & Recovery Officer
Recovery Division – Western Regional Office,
Recovery & Refund Department
Email: recoverywro@sebi.gov.in

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

To,
The Principal Officer /
Chairman & Managing Director / CEO
All Banks in India / All Mutual Funds in India

Sub: Remittance of attached amount under Attachment Proceeding No. 11043 of 2023

1. It may be recalled that the Recovery Officer vide the subject Attachment Proceedings in **Recovery Certificate No. 2897/2020 dated March 20, 2020** had directed attachment of Bank Account(s) and Mutual Fund Folio(s) of **Mr. Upendra Hiralal Dalal (PAN: CDRPD3445A) ["Defaulter"]** against him outstanding dues along with further interest, all costs, charges and expenses etc.
2. Whereas aforementioned Notice of Demand have been sent to defaulter and Notices of Attachment of Bank Account and Mutual Funds have been issued to you.
3. Whereas the outstanding dues from the Defaulter as on date in various **Recovery Certificates** is as mentioned in the following table:

RC No.	Outstanding Due	SEBI's Account No.
2897	Rs.11,93,000/-	SEBIRRDPEN2897
7139	Rs.7,64,000/-	SEBIRRDPEN7139
Total	Rs.19,57,000/- (Rupees Nineteen Lakh Fifty Seven Thousands Only)	

4. Accordingly, you are hereby directed to remit the amount to the extent as mentioned in **Para 3 above** lying in the account of the defaulter with your Bank/Mutual Fund, forthwith to SEBI by way of **EFT/NEFT/RTGS to above A/c Nos. of ICICI Bank, IFSC code – ICIC0000106** immediately and intimate the remittance details by email to recoverywro@sebi.gov.in in the format as given in table below:





अनुवर्ती:
Continuation :

भारतीय प्रतिभूति
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Securities and Exchange
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Case Name and Recovery Certificate Number:	
Name of Payee:	
Date of Payment:	
Amount Paid :	
Transaction No. :	
Bank Details from which payment is made:	

Note: In the absence of confirmation of e-payment as per the above format, the Credits made will not be accounted towards the dues.

5. This direction is issued in exercise of powers conferred under Section 28A (1), 11(2)(ia) of SEBI Act, 1992 r/w Section 226 of and the Second Schedule to the Income Tax Act, 1961.

Given under my hand and seal at Ahmedabad this 11th day of December, 2023.



Copy to:(By Email)

N. U. Raju
Recovery Officer

N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad

उपेन्द्र हीरालाल दलाल 15, भाग्यलक्ष्मी रो हाउस, भाविक स्कूल के पास, चांदलोदिया, अहमदाबाद- 382481	Upendra Hiralal Dalal 15, Bhagyalaxmi Row House, Near Bhavik School, Chandlodiya, Ahmedabad- 382481
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