



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India



Completion of Recovery Certificate no. RC4871 of 2022 in respect of Santosh Kumar Saraf HUF (PAN: AAFHS4271Q) in the matter of Illiquid Stock Options at BSE

1. A Recovery Certificate No. RC4871 of 2022 dated June 10, 2023, was drawn up by the Recovery Officer against Santosh Kumar Saraf HUF (PAN: AAFHS4271Q) ["Defaulter"] for a sum of Rs. 5,31,000/- (Rupees Five Lakh Thirty One Thousand Only) along with further interest, all costs, charges and expenses incurred in respect of all the proceedings for recovery of the said sum, for non-payment of penalty imposed by the Adjudicating Officer vide Order No. Order/VV/NK/2021-22/14819 dated January 28, 2022 against Santosh Kumar Saraf HUF (PAN: AAFHS4271Q) in the matter of Illiquid Stock Options at BSE.
2. In view of payment of the amount of Rs. 6,01,000/- (Rupees Six Lakh One Thousand Only), which includes further interest and costs due under the aforesaid recovery certificate, recovery in said matter stands completed in exercise of powers under sub-section (1) of section 28A of the SEBI Act, 1992 read with relevant provisions of the Income-tax Act, 1961.

Date- 06.09.2023
Place- Kolkata




RECOVERY OFFICER
राजकुमार कलुरी / Rajkumar Kaluri
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Dy. General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
कोलकाता / Kolkata