

SECURITIES AND EXCHANGE BOARD OF INDIA

WESTERN REGIONAL OFFICE

SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad-380006

CERTIFICATE No.RC6364/2023

Certificate under section 28A of the Securities and Exchange Board of India Act, 1992 read with section 222 of the Income Tax Act, 1961

Sr. No.	Name & Address	नाम और पता
1.	Dolphin Universal Rural Development Limited (PAN: AAECD1060C) Udayagiri Apartment, Ground floor Dainik Bhaskar Lane, P S Jayendraganj Gwalior MP - 474002 46/31/1 Garihat road 4th floor Ballygunge C Market Kolkata West Bengal 700029 C/o Arvind Singh Gurjer Vill and P O Itawal Morena 476221 MP Baradeeh Chowk Mukhtiyar Gang Rana Krishna Ashram Satna, MP - 485001	डॉल्फिन यूनिवर्सल रूरल डेवलपमेंट लिमिटेड (PAN: AAECD1060C) उदयगिरि अपार्टमेंट, ग्राउंड फ्लोर दैनिक भास्कर लेन, पीएस जयेंद्रगंज ग्वालियर एमपी - 474002 46/31/1 गरिहाट रोड चौथी मंजिल बालीगंज सी मार्केट कोलकाता पश्चिम बंगाल 700029 C/o अरविंद सिंह गुर्जर गांव व पो इटावल मुरैना 476221 मध्य प्रदेश बाराडीह चौक मुख्तियार गैंग राणा कृष्ण आश्रम सतना, मध्य प्रदेश - 485001
2.	Shri Subham Bose (PAN: AFUPB9577J) S/o Deboasad Bose 47/1 Selimpur Lanedhakuria Kasba, Kolkata, WB- 700031 22A, Gora Chand Road, Kolkata – 700014 Flat 19F, South City Tower, 375 Prince Anwar Shah Road, Kolkata - 700068	श्री सुभम बोस (पैन: AFUPB9577J) पुत्र देबोसाद बोस 47/1 सलीमपुर लनेधाकुरिया कस्बा, कोलकाता, पश्चिम बंगाल-700031 22ए, गोरा चंद रोड, कोलकाता - 700014 फ्लैट 19एफ, साउथ सिटी टॉवर, 375 प्रिंस अनवर शाह रोड, कोलकाता - 700068
3.	Shri Anindyo Paul (PAN: BYZPP3573J) S/o Radharam Paul 162/A Motilal Nehru Road Kolkata WB – 700029 8/6, Kasundi, 2nd Bylane, Anjali Apartment, Horah – 711104	श्री अनिंद्यो पॉल (PAN: BYZPP3573J) पुत्र राधाराम पॉल 162/ए मोतीलाल नेहरू रोड कोलकाता पश्चिम बंगाल - 700029 8/6, कसुंडी, दूसरा बायलेन, अंजलि अपार्टमेंट, होरा - 711104



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	46/31/1 Leela Roy Sarani, 4 th Floor Kolkata - 700029	
4.	Shri Koushik Dutta (PAN: NA) S/o Asish Dutta, B/135, Sonali Park, Bansdroni, Kolkata, WB - 700070	श्री कौशिक दत्ता (पैन: NA) पुत्र आशीष दत्ता, बी/135, सोनाली पार्क, बांसद्रोणी, कोलकाता, पश्चिम बंगाल - 700070
5.	Shri Debraj Mitra (PAN: NA) S/o Prabir Kumar Mitra, Part No. 154, North 24 Parganas, Barackpur, WB- 700122	श्री देबराज मित्रा (पैन: एनए) पुत्र प्रबीर कुमार मित्रा, भाग संख्या 154, उत्तर 24 परगना, बराकपुर, पश्चिम बंगाल- 700122
6.	Shri Saugata Basu (PAN: AFUPB7369C) S/o Sanat Kumar Basu, 44A, Kabi Sukanta Sarani, Kolkata, WB – 700085	श्री सौगत बसु (पैन: AFUPB7369C) पुत्र सनत कुमार बसु, 44ए, कबी सुकांत सरणी, कोलकाता, पश्चिम बंगाल - 700085
7.	Shri Rana Sikdar (PAN: NA) S/o Dulal Sikdar, 23/9 Anjan Garh, Bishar Para, Birati, North 24 Parganas, WB - 700051	श्री राणा सिकदर (पैन: NA) पुत्र दुलाल सिकदर, 23/9 अंजन गढ़, बिशर पारा, बिरती, उत्तर 24 परगना, पश्चिम बंगाल - 700051

NOTICE OF DEMAND UNDER RULE 2 OF THE SECOND SCHEDULE TO THE INCOME-TAX ACT, 1961 READ WITH SECTION 28A OF THE SECURITIES AND EXCHANGE BOARD OF INDIA ACT, 1992

1. This is to certify that a sum of **Rs.2,31,53,440/- (Rupees Two Crore Thirty-One Lakh Fifty-Three Thousand Four Hundred Forty Only)** jointly and severally along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum as detailed below is due to SEBI from you:

Description of Dues	Amount (Rs.)
Amount directed to be refunded by WTM vide Order No. WTM/RKA/EFD/164/2016 dated October 27, 2016 in the matter of Dolphin Universal Rural Development Limited.	99,79,500
Interest from April 2012 to March 2023 @ 15% p.a.	1,31,72,940
Recovery Cost	1,000
Total	2,31,53,440

2. You are hereby directed to pay the total amount as mentioned above within 15 (Fifteen) days of the receipt of this Notice (DD shall be drawn in favour of the "SEBI Recovery Proceeds" Account payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRDCIS6364 of ICICI Bank, IFSC code – ICIC0000106 (or) online payment facility available on the "Recovery Payment" module at <https://siportal.sebi.gov.in> or the payment link



Signature

available on the following path: **SEBI Website-->Enforcement-->Recovery Proceedings-->Pay Now**) failing which the Recovery Officer shall proceed to recover the amount due in accordance with the provisions of **section 28A of the Securities and Exchange Board of India Act, 1992 ("SEBI Act")** read with sections 220 to 227, 228A, 229 and 232 of the Income-tax Act, 1961 and the Second Schedule to the said Act and the rules made thereunder.

3. In the event of non-payment of the dues as above, SEBI shall recover the money by one or more of the following modes, namely: -
 - (a) Attachment and sale of your movable property;
 - (b) Attachment of your bank accounts;
 - (c) Attachment and sale of your immovable property;
 - (d) Arrest and detention in prison;
 - (e) Appointing a receiver for the management of your movable and immovable properties.
4. Further, as per Explanation 1 to section 28A of the SEBI Act, any direct or indirect transfer of your property or monies held in bank accounts to your spouse or minor child or son's wife or son's minor child, otherwise than for adequate consideration, on or after **October 2016** (date of SEBI order) shall be deemed to be your property or money for the purpose of recovery.
5. You are also advised to take note that upon service of this notice, you are not competent to mortgage, charge, lease or otherwise deal with any property belonging to you except with the permission of the Recovery Officer and any such transfer shall be deemed void as per rule 16 of the Second Schedule to the Income-tax Act, 1961 read with section 28A of the SEBI Act.
6. Any confirmation of e-payment(s) made (in the format as given in table below) should be forwarded to "The Recovery Officer, SEBI Bhavan, Panchvati 1st Lane, Gulbai Tekra Road, Ahmedabad-380006 or sent by email to recoverywro@sebi.gov.in, rajunu@sebi.gov.in and kshitijs@sebi.gov.in

Case Name and Recovery Certificate Number:	
Name of Payer:	
Date of Payment:	
Amount Paid:	
Transaction No.:	
Bank Details (Bank Name, Branch Name, IFSC Code and Account No.) from which payment is made:	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards your dues.

7. In addition to the aforesaid dues, you will also be liable for further interest, all costs, charges and expenses incurred in respect of recovery proceedings against you.

Dated: March 28, 2023



Recovery Officer

N. U. Raju
Recovery Officer & Dy. General Manager
Securities and Exchange Board of India
Ahmedabad