



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/36, recoverynro@sebi.gov.in

Attachment Proceeding No. 14289 & 14290 of 2025
Certificate No. 8094 of 2024

The Principal Officer / Chairman & Managing Director / CEO
Head Post Master
All the Post Offices
All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 14289 & 14290 of 2025

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in Recovery Certificate No. 8094 of 2024 dated September 30, 2024, had directed attachment of Bank accounts of Gaurav Bhatia and Sons HUF (PAN: AAGHG6952F) in the matter of Trading in Illiquid Stock options at BSE against the total due of Rs. 5,71,000/- (Rupees Five Lakh Seventy-one Thousand Only) along with further interest, all costs, charges and expenses, etc.
2. Whereas Notice of Demand dated September 30, 2024 has been sent to Defaulter and Notice of Attachment of Bank Account and Mutual Funds dated February 13, 2025 has been issued to you.
3. Whereas the current liability/dues from the Defaulter as on date is an amount of Rs. 6,11,000/- (Rupees Six Lakh Eleven Thousand Only).
4. Accordingly, you are hereby directed to remit the amount to the extent of dues mentioned in para 3 above lying in the account of the Defaulter with your Bank / redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 3 above lying in the account of the Defaulter(s) with your Bank, forthwith to SEBI by way of direct credit through EFT/NEFT/RTGS to A/c No. SEBIRDPEN8094 of ICICI Bank, IFSC code - ICIC0000106) immediately and intimate the these remittance details by email to anupams@sebi.gov.in, kschamam@sebi.gov.in and recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues

“हम हिन्दी में पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किववई नगर, नई दिल्ली 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kirti Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998
प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in





Continuation Sheet

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5. This direction is issued in exercise of powers conferred under section 28A of the SEBI Act, 1992 as amended by the Securities Laws (Amendment) Act, 2014 r/w section 220 to 227, 228A, 229, 232, the Second and Third Schedules to the Income-tax Act 1961 (43 of 1961) and the Income-tax (Certificate Proceedings) Rules, 1962 of the Income-tax Rules.

Given under my hand and seal at New Delhi this 22nd day of May, 2025.



RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं महाप्रबंधक
Recovery Officer & General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India
उत्तरी प्रादेशिक कार्यालय / Northern Regional Officer
नई दिल्ली / New Delhi