



भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

SEBI/NRO/OW/P/2023/35372/1

RECOVERY DIVISION

NORTHERN REGIONAL OFFICE

Tel: 011-69012998/36, recoverynro@sebi.gov.in

Order Releasing Bank, Demat Accounts and Mutual Funds Folios of 4A Securities Limited in the matter of Trading Members in Illiquid Stock Options: Certificate No. 6848 of 2023.

Attachment Proceeding No. 10773 & 10774 of 2023
Certificate No. 6848 of 2023

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India

NSDL/CDSL, Mumbai

1. Whereas Certificate No. **6848 of 2023** dated **July 12, 2023** was drawn against **4A Securities Limited [Defaulter] PAN: AACCD8482C** in the matter of **Trading Members in Illiquid Stock Options** and a sum **Rs. 2,11,000/- (Rupees Two Lakh Eleven Thousand Only)** was due under the said certificate along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum.
2. And whereas a Notice of Attachment dated **August 04, 2023** under the above proceedings was issued attaching bank, demat accounts/lockers and mutual fund folios of the entity.
3. And whereas an amount **Rs. 2,13,000/- (Rupees Two Lakh Thirteen Thousand Only)** has been recovered towards full and final settlement of the dues from the defaulter under the said certificate.
4. In view of the above, you are hereby directed to release bank, demat accounts/lockers and Mutual Fund Folio etc. of **4A Securities Limited [Defaulter] PAN: AACCD8482C** attached, if any, pursuant to the above notice of attachment with immediate effect.
5. This is without prejudice to any other order of attachment or freeze by the competent authority under any law.

Given under my hand and seal at New Delhi this 31st day of August, 2023.

SEAL

Copy to:

4A Securities Limited
G-3, Sector-3, Noida
Uttar Pradesh-201301

4A Securities Limited
109 DIF Tower-A Jasola
Vihar, New Delhi-110025

RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसुली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

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