भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SPPED POST WITH ACK DUE

DEPUTY GENERAL MANAGER
NORTHERN REGIONAL OFFICE

SEBI/NRO/NRO/NRO/OW/2024/4617/1
February 01, 2024

Mr. Naresh Pal *(Noticee)*
Proprietor of Equity Mantra/www.equitymantra.in
PAN- DPDPP1122R
Tahseel Kasganj,
Nagla Mohan/Badanpur, Goyati,
Kasganj, U.P.-207403

Sub: Supplementary Show Cause Notice under Sections 11 B (1), 11 (4), 11 B (2), 11 (4A) read with Sections 12 (1), 12A, 15 HA, 15HB and 15EB of the Securities and Exchange Board of India Act, 1992 (hereinafter referred to as "SEBI Act") and Regulation 3 (1) of the SEBI (Investment Advisers) Regulations, 2013 (hereinafter referred as "IA Regulations")

1. This has reference to the Show Cause Notice no. SEBI/NRO/NRO/NRO/OW/2023/34479 August 24, 2023 (hereinafter also referred to as "SCN"/ "said SCN") issued inter-alia to you in the captioned matter.

2. In this regard, in addition to above the examination report along with the evidences relied upon is enclosed herewith.

3. The reply of the Noticee, if any, should reach the undersigned, at the address given below, as well as through email at virsahabs@sebi.gov.in and anupams@sebi.gov.in (in MS-Word format), within 21 days from the date of receipt of this notice, failing which it shall be construed that the Noticee have no reply to submit and the matter shall be proceeded on the basis of the material available on record.

Securities and Exchange Board of India
Northern Regional Office,
8th floor, Plate B, Tower 1,
NBCC Complex,
East Kidwai Nagar, New Delhi - 110023.

4. All other paras/details, as mentioned in the SCN dated August 24, 2023, shall remain unchanged.

Vir Sahab Singh

Enc.-As above

SECURITIES AND EXCHANGE BOARD OF INDIA

Northern Regional Office

Examination report in the matter of Mr. Naresh Pal Proprietor of Equity Mantra-Unregistered Investment Adviser

1. Background

1. EQUITY MANTRA, a partnership firm, is SEBI registered Investment Adviser with Registration No.INA100006676 and having registered address as 135, First floor, F.I.E. Patparganj, DELHI-110092 (hereinafter referred to as "Firm/firm") as per records. The validity of its registration is Nov 08, 2016 - Nov 07, 2021 as per list of SEBI registered intermediaries and the email of the contact person is vijayrana2103@gmail.com. As perused from the details submitted while seeking registration Mr. Vijay Kumar, Mr. Nitin Sharma, Mr. Amit Chauhan and Ms. Neha Jain are the partners in the firm (relevant documents in this regard are at pages 1-16. SEBI started receiving frequent complaints in SCORES in respect of the said firm during the period October, 2019-August, 2020 (List of complaints is at page 113). The complaints are forwarded to the firm in the SCORES and repeated reminders were also sent however, the firm failed to file ATRs. Thereafter, emails and letters dated 14.01.2020 and 25.02.2020 were sent to the firm at the said address for resolution of complaints, however, no response received from the firm and the letter returned undelivered. Accordingly, a letter dated 25.06.2020 was sent for hand delivery but it could not be delivered as the firm has left the premises (copies of undelivered letter, email and hand delivery report annexed at pages 17-25).

2. Pursuant to above, letters dated July 10, 2020 were written to all the partners separately on their respective addresses (letters annexed at pages 26-31). Mr. Vijay Kumar *vide* email dated 22.07.2020 (annexed at page 32-35) submitted on behalf of the firm that *"This is in response to your letter dated 5th June 2020 addressed to the Equity Mantra. This is to bring to your notice that Equity Mantra as a company has already been diluted in the Year 2018 and neither company nor any Partner associated is operating in its name by any means. We had also written an intimation mail in this regard to SEBI Office and requested to cancel our Registration No and we have not renewed our license thereafter. To our surprise when we received this letter we tried to investigate the possible reasons for such complaints and found that some Fraud Identity is running a fraud domain on internet with the name same as our company and displaying our SEBI Registration No that has no relation whatsoever either to our original Company or the Partners. Fraud company Domain: equitymantra.in. You are requested to take relevant actions in this regard for impersonating the Identity of Equity Mantra*".

3. In aforementioned response, Mr. Vijay Kumar also submitted copy of an email dated May 19, 2018 written to Ms. Kanchan Yadav (who has processed their registration application) intimating that they have decided to wind up their Investment Adviser affairs as unable to generate revenue from the business and requested for cancellation of their Investment Adviser Registration. He also provided a screenshot of website equitymantra.in. The screenshot mentioned the registration no. and registered address of the firm as mentioned in paragraph 1 above. In this regard, Mr. Amit Chauhan one of the partners in the firm vide email dated 18.08.2020 (annexed at page 40) submitted that *"we have raised the complaint with Cyber Cell against the fraudulent activities committed in our company's name and sebi reg. no. Further we shall try to make the victims of the fraud to understand that we have no association with the Fraudsters and submit the online report accordingly"*.

4. Subsequent to above, the firm filed ATRs in all the SCORES complaint inter-alia submitting that they have raised the complaint with Cyber Cell against the fraudulent activities committed in their firm's name and sebi reg no. under the domain name equitymantra.in that is similar but different from their original domain equitymantra.co.in. They also submitted that they have formally communicated the same to the victims of the fraud to understand they we have no association with the Fraudsters and Equity Mantra has no role in any of the Frauds impersonating its name and Sebi Reg. In this regard, they also provided copies of emails sent to the complainant and complaint lodged with the Cyber Cell (Documents and a copy of filed ATR in one of the complaint are at pages 41-44).

5. Based on the aforesaid ATR and upon perusal of the documents submitted by the complainant, it is prima-facie observed that some entities are providing unregistered investment advisory through the website www.equitymantra.in (hereinafter referred to as "website") impersonating the registration number of the mentioned SEBI registered firm. Accordingly, the matter is examined by NRO and the observations and subsequent, violations of SEBI Act, SEBI (Investment Advisers) Regulations, 2013 (hereinafter referred to as "IA Regulations") and SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 (hereinafter referred to as "PFUTP Regulations") noted in the matter and proposed actions are presented in the subsequent sections of the report.

2. Examination and Observations

2.1. As perused the website was not operational, further as checked from the Whois lookup the domain expires during October 2020. Accordingly, the screenshot provided by the firm and the archived pages of the website obtained from archive.org are perused (annexed at pages 114-127). Different details observed on the website are as follows-

Archived pages-

"



EquityMantra is an emerging Global Business Conglomerate incorporated by the Proficient Stocks, Commodities and Forex Market veterans after huge success in many different models of technical analysis. We provide Accurate Tips to our clients. EquityMantra well known for its vast experience in technical Analysis for many years now has succeeded and performing exceptionally well in all fields of Commodity Market and Share Market Trading. We are a reputed Indian Trading Analysis Providing firm.

Services-Stock Cash, Options, HNI Cash, Stock Future, HNI Options

Our Vission

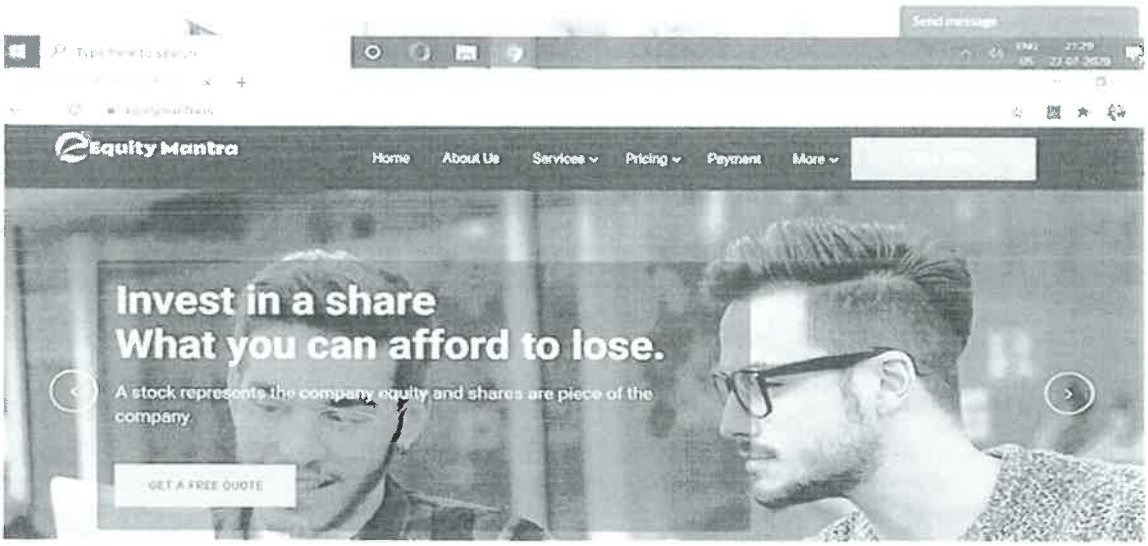
To be the worlds best research house in terms of quality, profitability and client satisfaction.

Corporate Office
Alpha One Mall Sarkari Vasahat Road, Ahmedabad 380006, India
Customer Care+91 7048924477 Support support@equitymantra.in"

Screenshot provided by the firm-

"







135, 1st Floor FIF,
Patparganj Delhi
110095

+91-7838457260
support@equitymantra.in
enquiry@equitymantra.in

Give us a call or drop by anytime, we endeavour to answer all
enquiries within 24 hours on business days.

We are open from 9am – 5pm, mon-fri.

"

2.2. Therefore, from the extracts reproduced above it is noted that at the website the alleged impersonator has inter-alia declared itself as Investment Adviser and research house under SEBI Reg. No. INA100006676 and name Equity Mantra offering technical and trading Analysis and tips in all fields of Commodity Market and Share Market Trading through different products namely Stock Cash, Options, HNI Cash, Stock Future, HNI Options etc. The addresses and emails mentioned on the website are 135, 1st Floor, FIF, Patparganj, Delhi-110095 (the registered address of the firm) and Alpha One Mall Sarkari Vasahat Road, Ahmedabad 380006 and emails-support@equitymantra.in and enquiry@equitymantra.in.

2.3. An analysis of the SCORES complaints is also done (Details of complaints and dcouments submitted by the complainants are at pages 45-113) and the observed details are as follows-

Sl. No.	Name of SCORES Complainant	Complaint Details in brief	Observations
1	Chethan R Naik	This company is a fraud who saw that some other advisory cheated me and then took the advantage and made me to transfer around 1 Lakh to the account in the name of Equity Mantra.	The complainant is allegedly cheated by some other advisory company. Equity Mantra came to know about it and approached the complainant offering services and collected money from the complainant in its account.
2	Sushant Ashok Kadam /	Equity Mantra is advisory company that took fees for 6 months service but 10 months have passed and I am trapped. He provided a bill dated 03.12.2019 issued by the entity for Rs. 100000/- for "Stock Option	Equity Mantra offered advisory services to the complainant, collected fees for same and issued bill to the complainant.

		Primum" service for 6 months duration.	
3	Raj Dharmendra Patel	I am a student and I have taken the service of Equity Mantra where the executive name ASHISH was my portfolio manager and he has told to make a minimal profit but instead he had use my fund very badly and had a made a loss of 80 percent of funds and now is constantly asking me to increase my investment so that he can earn profit. so i want to register complain against him for not managing my fund accurately and making a loss of 80 percent of portfolio in just 2 weeks. So in short he has misused my fund and also not taking any responsibilty and just asking me to increase my investment or to take a higher premium service. So kindly please take necessary actions for the same.	Equity Mantra provided advisory service for management of portfolio of the complainant and the complainant is not satisfied from the performance.
4	prathamesh matondkar	On 13th July one of executive Ajay yadav mob no 8349663708 called me and told me committment plan where in first 20000 plus taxes needs to be paid and then out of the profit generated through tips need to pay balance 105000 through few installments out of the profits. On 14th July he provided me one tip on trial basis and the tip hit the tgt and generated some profits. And on this successful tip basis he connected me to Mr.Karan who was the person guiding me in my trading journey to earn profits.On 15th July I made a payment of	Equity Mantra provided one tip on trial basis to the complainant and on the basis of performance of the tip complainant made a payment of Rs.24157 for their commitment plan. Further fees is to be paid through profit generated from tips. Equity mantra provided tips in Bank Nifty and Wipro to the complainant.

		Rs.24157 towards the same and on 16th July Karan and Ajay said that again additional Rs.10000 is required to avail this commitment plan service. I told then its cheating you said first 20k plus tax and now saying additional 20k to be paid. I told then to refund my money but they didnt agreed and said they will provide tip and generate profits and from that amount I should pay additional 10k. Till date not provided invoice and also not refunding the fees.	
5	Mushtaq Ahmad Gaani	Dear Sir, Please be informed that on 27th Jan2020 I received call from Mr Ashish Jain on behalf of Equity Mantra for assistance with respect to investment in equity portfolio. Knowing Company's investments advisory and their market reputation I responded positively and authorized them to deal my portfolio but he informed me that I have to pay registration fee & service charges to which I agreed to pay for service of Rs3000/-only and promised for registration fee of Rs 3500/ later on after gap of one month after we both agreed I gave details of my Depository A/a and password etc.and Mr.Ashish started instant tradings .However, next day he suggested me to open Depository A/c with Angle broking and facilitated opening of a/c with them in the process of opening of a/c he told me transfer an amount of Rs 55000/-	Equity Mantra called and offered services related to Assistance/advice with respect to investment in equity portfolio and portfolio management to the complainant. Considering that Equity Mantra is offering investment advisory the complainant paid an amount of Rs. 3000/-. Equity Mantra further facilitated opening of a Demat Account for the complainant and collected Rs. 55000/- for depositing the same in the Demat Account but did not do the same.

		(rupee's fifty five thousand to Co's Current a/c for onward transfer to my Trading a/c/Depository a/c with ,Angel broking but till to day neither Mr Ashish Jain nor Equity Mantra Co has transferred an amount of Rs 55000/- to my Depository account despite repeated reminders and phone calls In this respect Securities & Exchange Control Board of India was also informed about their assistance in the refund of amount,but with no positive response. You are as such requested to please help me to redress my grivence by taking up the matter with the said investment advisory Co for refund of amount immediately With Regards (Mushtaq Ahmad)	
6	SURAJIT JOARDAR	Miscommunication and wrong information provided by Equity Mantra Company. This co take 34500 for DEMAT AC opening After payment They are stop the receive call.	Equity Mantra took Rs. 34500/- from the complainant in name of Demat A/c opening.
7	pradeep sitaram verma	hi.. pradeep here.. i invested 1 lakh on name of demat account in equity mantra bank account but after opening my account zero amount is showing in my demat.and now they are which u made is our service charge u have make more payment for investment.and they refused to give my money back and not receving my call. Intially they told me their service charge is 3500 which i have to pay after profit and i have which money i will invest this will show in my demat	Equity Mantra took Rs. 100000/- from the complainant in name of Demat A/c opening and transferring the said amount to the opened Demat Account. They asked for Rs. 3500/- as initial service charge and told the complainant that the same can be paid from the profits earned from investments made.

		account i can withdraw it whenever i want.but now they totally changed.and get 1 lakh from me . none of profit they make for and even not receving my call. i have call recording also in which they are said pls asking for payment on name of demat and saying u can withdraw it from your account and now they asking 25000 rs for their service charge and i refused to make this payment so they not responding my call and take my 1 lakh rs. thats why i logged complaint against them i want my money back pls help me as soon as possible	
8	Sanjay Suman	Respected sir Inki company ke executive ne company ko broker farm aur advisory farm bata kar froud kar mujhse Rs 50000/- jama kara liye hai.aur kisi dusre broker (IIFL)farm se Demat khulwakar pata nahi kaun si service dene ke naam par Rs50000/- deposit kara liye. Jo ki mujhe puri tarah se fraud laga. tab maine inse service na join karne ki bat kahi aur paise refund manga.jise company inkar kar rahi hai. Sir mai mansik rup se bahot pareshan hu. Kripya refund dilane ka kast kare. Transaction details de raha hu Jise maine bahot muskil se udhar lekar diya tha Credit card se 24/1/2020 Your payment(Id-298353475) of Rs 6000.0 Net Banking se 24/1/2020 Your payment(Id-298351823) of Rs 3624.0 24/1/2020 Your payment(Id-298355425) of Rs 1812.0 25/1/2020 Your payment(Id-	Equity Mantra approached the complainant as advisory and broking firm and took Rs. 50000/- from the complainant in name of Demat A/c opening.

		298448111) of Rs 13564.0 29/1/2020 Your payment(Id-299333928) of Rs 25000.0 for EQUITY MANTRA is successful. Sir Bank statement ki copy submit kar raha hu.Sir meri madad kijiye.	
9	Abbasuddin molla	Sir mey abbasuddin molla.Father matabuddin molla.mey ek naya Shiknewala person hu. Mey stock market mey naya hu.stock market ke bare mey jada kuch pata nahi.sir equity mantra sey mujhe phone karke ek ke baad Ek karib 1 lakh 70 hajar rupiya liya gaya hai. A kehkar 3 mahine mey 3 se 4 lakh rupiya kamake dega. A ve bola gaya Ke 2 hafte mey 1.5 lakh rupiya kamake dega. Sir mujhe jhasa diya gaya. Mey is market mey naya hu. Mujhe pata nahi thaa. Ab paise refund magne se de nahi raha hai. Mey sir bohot financially problem mey hu. Please Kuch kijiye sir. Kahi dino sey paise dene ke naam par tal raha hai. Sebi registered company dekhkar mene vorosa kiya thaa. Mere saath cheating hua hai sir. Please Kuch kijiye sir. Mere paise mujhe wapas mil jaye. Yours faithfully abbasuddin molla.	Equity Mantra approached the complainant and assured him of returns, considering its Sebi registration as IA, the complainant paid around Rs. 1.70 Lakhs to Equity Mantra. The complainant inter-alia also provided screenshots of the payment done by him in IndusInd Bank and Safexpay payment Gateway A/cs of Equity Mantra. He also provided details of Equity Mantra account held with Equitas SFB.
10	Nasiruddin	Fake sale done by Equitry Mantra Equity Mantra call me for Account Opening in Zerodha free of cost with Extra margin with account handling 2-3 days Researcher trial trade after satisfaction and profit charge for commission amount 3500Rs Only After transfer my document he ask for	Equity Mantra approached the complainant as advisory and broking firm and took Rs. 10001/- from the complainant in name of Demat A/c opening. They also told him that Rs. 3500/- is their commission for account opening which the

		money for demat balance fake Zerodha agent by Equity Mantra call me for confirmation and say your account are open just final confirmation and for password transfer some Demat amount in behalf of company password will auto sent in your email in just 5 - 10 minuts with 10K balance. when I transfer money to Equity mantra for Demat fund. Sumit (Equity Mantra) Call me and say 10K Slot are not available You need to pay 15K after 10 Minutes your account will Activated with 25K amount. Then you can Pay out 15K to your bank. I have some whatsapp screen shot in attachment with brief description and For voice record link in attached document	complainant can pay after 2-3 days of trial. The complainant provided some whats app screenshots wherein the person approaching the complainant shared the website address and IndusInd bank account details of Equity Mantra. The complainant paid Rs. 10000/- to Equity Mantra. However, subsequent to that Equity Mantra sent a message to the complainant that he has subscribed for Equity Plan costing Rs. 25001/- and asked the complainant to pay a balance amount of Rs. 15000/-. In the same message Equity Mantra assured a profit of Rs. 75000/- with completion of 1 month tenure of the plan.
11	Sandeep Bhingle	equity mantra has given me trade tip without stop loss. I incurred a loss of almost Rs.135,000. If because of this loss anything happens to me. Equity mantra is responsible. If possible.	Equity Mantra provided tips to the complainant due to which he incurred losses.
12	LAXMAN RAM KASANA	Got calls from Equity Mantra wherein the entity promised returns of Rs. 4000/- daily. The complainant deposited an amount of Rs. 1,23,000/- in their account and then the entity stopped calling and blocked the complainant. Pursuant to that some fraudster named Mr. Satish Sharma approached the complainant as SEBI authorized person for getting the money recovered and complainant paid the alleged fraudster.	The complainant was advised to approach police in respect of the fraudster Mr. Satish Sharma after examination (relevant notes and letter are at pages 100-103). Examination in respect of the amount paid by the complainant to Equity Mantra is done in subsequent sections of report.

2.4. KYC and A/c statements associated with accounts details provided by the complainant are obtained (annexed at pages 128-177). Further, it is observed from the documents provided by the complainant and bank statements that Equity Mantra has A/Cs in PayU and Safexpay (Paygate) payment gateways, accordingly, KYC and A/c statements associated with the said payment gateway accounts are also obtained (annexed at pages 195-220). Details of all the said Bank and Payment gateway accounts are as follows-

Sl. No.	Account No. and Bank	KYC Details
1	IndusInd Bank, Account number-257303046719	Account is noted to be in name of EQUITY MANTRA. Mr. Naresh Pal, Proprietor is the authorized signatory and the account is opened on 02.08.2019 and Dormant as on 01.09.2022. A GST registration certificate with no. 07DPDPP1122R2ZK in name of Mr. Naresh Pal Proprietor of EQUITY Mantra is also observed. G/F Old No.-1205, 2602-2603, Naya Bazar, Nai Basti, Chandani Chowk-110006 is the address of Equity Mantra. DPDPP1122R is the PAN of Mr. Naresh Pal and Tahseel Kasganj, Nagla Mohan/Badanpur, Goyati, Kasganj, U.P.-207403 is his address. Last credit entry in the account is of 14.07.2020 and total credit received in A/c is Rs. 62,73,160.3/-
2	Equitas SF Bank, Account number-200001097750	Account is noted to be in name of M/s EQUITY MANTRA Prop Mr NARESH PAL and the account is opened on 07.07.2019 and account is open/regular as on 11.03.2022. A GST registration certificate with no. 07DPDPP1122R2ZK in name of Mr. Naresh Pal Proprietor of EQUITY Mantra is also observed. G/F Old No.-1205, 2602-2603, Naya Bazar, Nai Basti, Chandani Chowk-110006 is the address of Equity Mantra. DPDPP1122R is the PAN of Mr. Naresh Pal and Tahseel Kasganj, Nagla Mohan/Badanpur, Goyati, Kasganj, U.P.-207403 is his address. Last credit entry in the account is of 22.02.2020 and total credit received in A/c is Rs. 5,72,660/-
3	Safexpay Payment gateway	A/c is in the name of Merchant EQUITY MANTRA and is already deactivated as on 04.09.2020. A GST registration certificate with no. 07DPDPP1122R2ZK in name of Mr. Naresh Pal Proprietor of EQUITY Mantra is also observed. G/F Old No.-1205, 2602-2603, Naya Bazar, Nai Basti, Chandani Chowk-110006 is the address of Equity Mantra. DPDPP1122R is the PAN of Mr. Naresh Pal and Tahseel Kasganj, Nagla Mohan/Badanpur, Goyati, Kasganj, U.P.-207403 is his address. Last credit entry in the account is of 05.02.2020 and total credit received in Safexpay is Rs. 1153157/-

4	PayU Payment gateway-	A/c is in the name of EQUITY MANTRA website-https://www.equitymantra.in/. G/F Old No.-1205, 2602-2603, Naya Bazar, Nai Basti, Chandani Chowk-110006 is the address of Equity Mantra. DPDPP1122R is the PAN of Mr. Naresh Pal. Last credit entry in the account is of 16.09.2019 and total credit received in PayU is Rs. 26,68,734/-.

2.5. An analysis of the statements of the aforementioned Banks and payment Gateway accounts is done for finding entries pertaining to amount claimed to be deposited by the complainant and observations in this regard are as following-

Complainant name who claimed to deposit amount in Equity Mantra A/cs	Observations from statements (Bank/Payment Gateway A/c name-Date-Amount)
LAXMAN RAM KASANA	Indus Ind Bank-29/11/2019-Rs. 20000, 03/12/2019-Rs. 20000, 05/12/2019-Rs. 15000, 21/12/2019-Rs.48000/- and 02/01/2020-Rs. 20000, Total-Rs. 123000/-
Chethan R Naik	PayU-04/10/2019-Rs. 8455
Sushant Ashok Kadam /	Safexpay- 31/12/2019- Rs.36000/-, PayU-19/11/2019-Rs. 55000, Total-Rs. 91000/-
prathamesh matondkar	PayU-15/07/2020-Rs. 24157
Mushtaq Ahmad Gaani	Indus Ind Bank- 27/01/2020-Rs. 58000/-
SURAJIT JOARDAR	Indus Ind Bank- 12/02/2020-Rs. 8000/-, Safexpay-10/12/2019- Rs.34500/-
pradeep sitaram verma	Safexpay- 16/12/2019- Rs.25003/-
Sanjay Suman	PayU-24.01.2020-Rs. 11436/-, 25/01/2020-Rs. 13564/- and 29/01/2020-Rs. 25000/-, Total-Rs. 50000/-
Abbasuddin molla	Indus Ind Bank-10/12/2019-Rs. 5000, 16/12/2019-Rs. 10299, 17/12/2019-Rs. 10000/-, 19/12/2019-Rs. 20299, 24/12/2019-Re. 1, 25/12/2019-Rs. 20000, 27/12/2019-Rs. 64651. Safexpay-11/12/2019-Rs. 19326/- Total-149576/-
Nasiruddin	Safexpay- 20/12/2019-Rs. 10001/-
Sandeep Bhingle	Indus Ind Bank-26.09.2019-Rs. 3000/-

2.6. Therefore, from points above it is concluded that all the complainants who have claimed to pay Equity Mantra have indeed paid different amounts in Equity Mantra's Bank and Payment gateway A/cs details of which are shared with them by Equity Mantra. Further, all the aforesaid bank/payment gateway accounts are opened in name of Equity Mantra by its proprietor Mr. Naresh Pal (as checked from list of SEBI registered intermediaries he is not registered with SEBI in any capacity, search result annexed at page 178) and the website of Equity Mantra is www.equitymantra.in (herein after

referred to as "Entity/entity") and hence, the entity is noted to be a different entity from the SEBI Registered Equity Mantra, details of which are mentioned at section 1 above.

2.7. Further, based on the KYC details and address and emails observed from the website, letters dated 28.12.2021 and reminder email dated 08.03.2022 seeking information in respect of the services provided, fees collected etc. were sent to the entity. The said letters and email returned undelivered (annexed at pages 179-193).

2.8. Therefore, from the details of the complaints, archived pages of website and analysis of statements of Banks/Payment Gateway accounts it observed that the entity held themselves out as Investment Adviser and approached the complainants as SEBI registered Investment Advisor. It used and shared the details of website www.equitymantra.in that is having the details of another SEBI Registered firm with similar name and also shared its account details with their prospective clients for payment of fees. As brought out in point 2.2 above, through the website they inter-alia declared itself as Investment Adviser and research house under SEBI Reg. No. INA100006676 and name Equity Mantra offering technical and trading Analysis and tips in all fields of Commodity Market and Share Market Trading through different products namely Stock Cash, Options, HNI Cash, Stock Future, HNI Options etc.

2.9. The website is not operational as on date, further as checked from the Whois lookup the domain expired during October 2020. The last credit entry observed in the bank account is of 24.07.2020 and total amount collected by the entity is at least Rs. 1,06,67,711.30/-.

2.10. The modus operandi of Equity Mantra is prima-facie noted to be that it approaches the prospective clients as SEBI registered Investment Adviser and offers to facilitate opening of Demat A/c and ask an initial upfront fee of Rs. 3000/-, 3500/- etc. as registration fee/service charge/commission for opening of account. Then once this initial amount is paid they ask to deposit a relatively bigger amount viz. Rs. 10,000/-, Rs. 55,000/- etc. in their own accounts for onward depositing in the proposed Demat Account. They assured return to the clients on investments done based on advices given by them through different plans viz. "Equity Plan". Once, the client deposits the amount in their account they either blocks the client or demand more money. In one of the case it is also observed that a big amount is straight away transferred when it is told to the complainant that the initial/registration fee can be paid from the profits earned from investments made on the advice. They provided free/trial as well as paid tips/advices for dealing in securities to the complainants.

2.11. In view of the above, it is prima facie noted that the entity has held itself out and acted as an investment adviser. They also assured returns and impersonated as a SEBI Registered Investment Adviser. Accordingly, the violations of relevant provisions of the SEBI Act, the IA regulations and the PFUTP Regulations noted with regard to the unregistered activities of the entity are presented in the section below.

3. Applicability and Violations-

3.1 Section 12 (1) of the SEBI Act reads as:

"No stock broker, sub-broker, share transfer agent, banker to an issue, trustee of trust deed, registrar to an issue, merchant banker, underwriter, portfolio manager, investment adviser and such other intermediary who may be associated with securities market shall buy, sell or deal in securities except under, and in accordance with, the conditions of a certificate of registration obtained from the Board in accordance with the regulations made under this Act"

3.2 In terms of Regulation 3 (1) of the IA Regulations:

"*On and from the commencement of these regulations, no person shall act as an investment adviser or hold itself out as an investment adviser unless he has obtained a certificate of registration from the Board under these regulations:*

Provided that a person acting as an investment adviser immediately before the commencement of these regulations may continue to do so for a period of six months from such commencement or, if it has made an application for a certificate under sub regulation (2) within the said period of six months, till the disposal of such application".

3.3 Regulation 2 (m) of the IA Regulations defines investment adviser as " *investment adviser means any person, who for consideration, is engaged in the business of providing investment advice to clients or other persons or group of persons and includes any person who holds out himself as an investment adviser, by whatever name called".*

3.4 Regulation 2 (l) of the IA Regulations defines investment advice as, *"advice relating to investing in, purchasing, selling or otherwise dealing in securities or investment products, and advice on investment portfolio containing securities or investment products, whether written, oral or through any other means of communication for the benefit of the client and shall include financial planning:"*

3.5 Considering the examination of the documents and details provided by the complainant, analysis of the Bank account statements and archived extracts of website, it is prima facie noted that the entity has held themselves out as investment adviser and has offered investment advisory services in form of different packages collecting fees/charges for same. The entity is not operational as on date as the website is not operational and as checked from the Whois lookup the domain expired during October 2020. Further, the last credit entry observed in the bank account is of 24.07.2020 and total amount collected by the entity is at least Rs. 1,06,67,711.30/-.

3.6 Therefore, with regard to above, the aforementioned entities have prima facie also violated Section 12 (1) of the SEBI Act, 1992 read with Regulation 3 (1) of the SEBI IA Regulations.

3.7 Further, to above in order to sale their services the entity has also misled and misrepresented to the proposed clients by assuring returns and thereby concealing the associated risks in dealing in securities and impersonating as another SEBI Registered Investment Adviser through website and Whatsapp Chats whereas the fact is that it was not registered with SEBI while disseminating such claims and was concealing the same. These deliberate false and misleading claims and representations of the entity have influenced and induced the decisions of its clients as evident from the details of complaints.

3.8 In view of the point above and fraudulent nature of the activities/dealings of the entities as per Regulation 2 (1) (c) of the PFUTP Regulations, the entities are found to be violating Regulations 3 (a), (b), (c) and (d), 4 (1) and 4 (2) (k), (o) and (s) of the PFUTP Regulations read with sections 12 A (a), (b) and (c) of the SEBI Act, 1992.

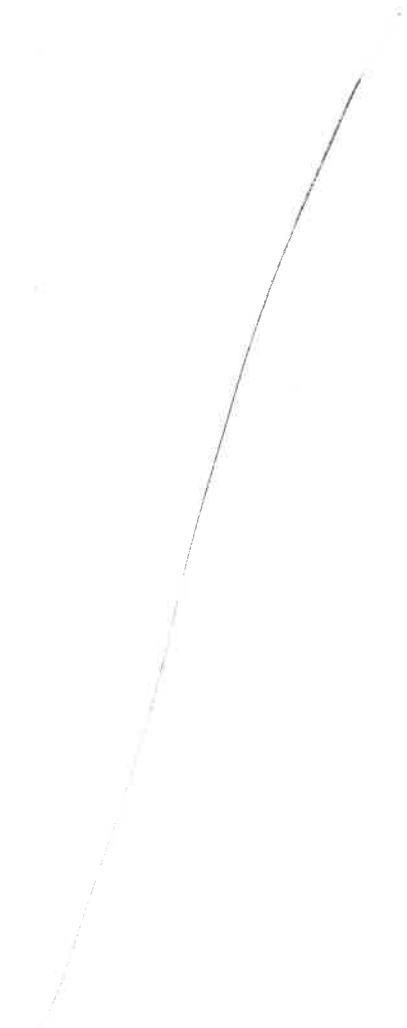
4. Proposal

4.1. In view of the point 5.2.1 of the enforcement policy dated March 15, 2021 (relevant part of policy annexed at pages 233-240) and point 7 of office noted dated 02.11.2021 of OWTM (AB) (annexed at pages 222) wherein it is approved that *"as a general rule in cases of UIA proceedings under Sections 11 B for issuing directions under section 11 B (1) and imposition of penalty under section 11 B (2) be initiated*", the proposed action matrix in the matter is as following-

Details of the Entities	**Nature of Violations**	**Nature of Evidence**	**Violations made**	**Recommended Actions**
1. Mr. Naresh Pal Proprietor of Equity Mantra/www.equitymantra.in. PAN-DPDPP1122R	1. Considering the examination of the documents and details provided by the complainant, analysis of the Bank account statements and archived extracts of website, it is noted that the entity has held themselves out as investment adviser and has offered investment advisory services in form of different packages collecting	1. Submissions of SEBI registered firm Equity Mantra in respect at pages 35-44. 2. Details and Documents submitted by the	Section 12 (1) of the SEBI Act, 1992 read with Regulation 3 (1) of the SEBI IA Regulations and Sections 12 A (a), (b) and (c) of the SEBI Act, 1992 r/w	**SCN u/s 11 B (1)/11 (4)/11 B (2)/11 (4A) r/w sections 15 HA (for PFUTP violations) and 15 HB (for unregistered IA activities) of the SEBI Act,**

	fees/charges for same. Total amount collected by the entity is at least Rs. 1,06,67,711.30/- through such operations. 2. In order to sale its services the entity has misled and misrepresented to the proposed clients by assuring returns and thereby concealing the associated risks in dealing in securities and impersonating as another SEBI Registered Investment Adviser through website and Whatsapp Chats whereas the fact is that it was not registered with SEBI while disseminating such claims and was concealing the same. These deliberate false and misleading claims and representations of the entity have influenced and induced the decisions of its clients to deal in securities.	complainant at pages 45-113. 3. Archived pages and extracts of website at pages 114-127. 4. Bank and Payment Gateway statements and KYC documents at pages 128-177 and 195-220. 5. Letters and email written to entity at pages 179-193.	Regulations 3 (a), (b), (c) and (d), 4 (1) and 4 (2) (k), (o) and (s) of the PFUTP Regulations.	**1992 for passing of final directions including debarment and refund and imposition of monetary penalty (after opportunity of hearing).**

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(240)

SUSHMITA SETHI

From:	SARIKA KATARIA
Sent:	31/03/2021 12:50 PM
To:	RAJ KUMAR KALURI; SUSHMITA SETHI; ABHISHEK PANDYA; Ritesh Kumar Chhaparia
Subject:	FW: Internal Processes on Selection of Cases for Enforcement Actions - Working Group Report
Attachments:	Internal Processes on Selection of Cases for Enforcement Actions - Working Group Report.pdf
Importance:	High

Dear all,

Enclosed is the revised approved Working Group Report for information and implementation please.

Regards
Sarika Kataria
Deputy General Manager
Investment Management Department (IMD) – II SEBI Bhawan Bandra-Kurla Complex, Bandra (E) Mumbai – 400051
022-26449411 (D)

-----Original Message-----
From: ANNE LEWIS
Sent: 30/03/2021 15:05
To: BITHIN MAHANTA <bithinm@sebi.gov.in>; DIPANJAN MITRA <dipanjanm@sebi.gov.in>; SARIKA KATARIA <sarikak@sebi.gov.in>; LAMBER SINGH <lambers@sebi.gov.in>
Cc: BIRANCHI NARAYAN SAHOO <biranchins@sebi.gov.in>
Subject: Internal Processes on Selection of Cases for Enforcement Actions - Working Group Report
Importance: High

Dear All,

Attached please find scanned pdf document on "Internal Processes on Selection of Cases for Enforcement Actions - Working Group Report".

Regards,

Anne Glenn Lewis
Sec B to CGM (BNS)
IMD - II

Note: To protect against computer viruses, e-mail programs may prevent sending or receiving certain types of file attachments. Check your e-mail security settings to determine how attachments are handled.

220

Vinayak

From:	reportfraud@payu.in
Sent:	14/09/2020 8:08 PM
To:	Vinayak
Cc:	DINESH JOSHI; compliance@payu.in
Subject:	RE: Details in respect of Equity Mantra-SEBI [ref:_00D7F7CcNE._5002u7ReeF:ref]
Attachments:	PayUMoney Admin.pdf 6792474 business.pdf; PayUMoney Admin.pdf 6792474 bank.pdf; 6792474MerchantTransactionFile20200914135059.csv

Dear Sir,

Kindly accept our sincere apologies for the delay in response.

This is in reference to your email, wherein certain set of information was required in relation to Equity Mantra.

Kindly find enclosed the required details available in our records in complete compliance of your instructions.

With Regards,
PayU Fraud Prevention Team

---------- Original Message ----------
From: Vinayak [vinayak@sebi.gov.in]
Sent: 9/9/2020 3:00 pm
To: compliance@payu.in; reportfraud@payu.in
Cc: dineshj@sebi.gov.in
Subject: RE: Details in respect of Equity Mantra-SEBI

Dear Madam/Sir,

We are yet to receive the information as requested vide the trailing mail, in this regard it is once again requested to provide the below mentioned information on an urgent basis appreciably by 11.09.2020.

Thanks and regards

Vinayak

219

From: Vinayak
Sent: 01/09/2020 5:42 PM
To: 'compliance@payu.in'; 'care@safexpay.com'; 'riskops@safexpay.com'; 'chargebackops@safexpay.com'; 'merchantsupport@safexpay.com'
Cc: DINESH JOSHI
Subject: Details in respect of Equity Mantra-SEBI

Dear Madam/Sir,

This is in respect of complaints received by SEBI against the entity **Equity Mantra (Proprietorship firm of Naresh Pal-PAN-DPDPP1122R)** which appears to be your client, it is hereby requested to provide all the transactions statement in Excel Format having the detailed narrations of all the transactions done at your gateway since inception till date for the said entity latest by September 08, 2020.

Also, provide scanned copies of all the available KYC and client information related documents viz. Client information forms, Address/ID proofs, copy of PAN etc. and details of the attached bank accounts with your gateway of the aforementioned entity.

Regards/???????????,

Vinayak / ??????

Assistant Manager(????? ???????),

Northern Regional Office (?????? ????????? ????????)

Securities and Exchange Board of India/?????? ????????? ?? ?????? ?????

e-mail: vinayak@sebi.gov.in;9650988399



ref:_00D7F7CcNE._5002u7ReeF:ref

PayUmoney (/)

Welcome Saumitra chaturvedi(Logout (https://onboarding.payu.in/account/service_logout)) Help

Payment List (/admin/paymentList) | Merchant List (/admin3/#/merchantList) | MerchantPayoutOptions (/admin3/payouts/#/) | Disputes (/admin/dispute) | Refunds | PM Tools (/admin/storeList) | Users

Settlements (/admin3/settlement) | Aggregator Panel | Merchant Settings | Recon | Admin Setting | Api | Vouchers (/admin3/vouchers/#/) | Admin Downloads (/admin3/downloads/#/) | Farming

GCM (/admin3/GCM/#/) | Recharge/Bill Payments | Reports (/admin3/reports/#/) | Bharat QR | Marketing Box (/admin3/#/marketingBox) | Care Panel (/admin3/#/carePanel)

Merchant Application Form

Please fill this application form. Your application will be reviewed by the PayUMoney team within 24 hours of receiving the complete application.

Business Information

Please enter information for your organization or business

------Update Merchant Type-----

Current Merchant Type: TP

Change Merchant Type

◉ **TP**

○ **PP**

Set Merchant Type

Application Summary

Contact Details	Verified
Business Details	Approved
Bank Account Details	Approved
Redmine Ticket ID	Generate

Your application is Approved

2/3

------Filing Status-----

Business Filling Status : Select Type

------Business Details-----

Business Name: EQUITY MANTRA

Business Name should be same as the name in the PAN Card and the bank account name

Business Category : Select Type

Business Mobile No: 9202228742

Done

------Business Address-----

Business Registered Address : G/F OLD NO.-1205, 2602-2603, NAYA BAZAR, NAI BAST

Pin Code : 110006

State : DELHI

City : North Delhi

Is your business operating address same as your business registered address ?

Business Operating Address :	G/F OLD NO.-1205, 2602-2603, NAYA BAZAR, NAI BAST
Pin Code :	110006
State :	DELHI
City :	North Delhi

Save



PayUmoney (/)

Welcome Saumitra chaturvedi(Logout (https://onboarding.payu.in/account/service_logout)) Help

Payment List (/admin/paymentList) | Merchant List (/admin3/#/merchantList) | MerchantPayoutOptions (/admin3/payouts/#/) | Disputes (/admin/dispute) | Refunds | PM Tools (/admin/storeList) | Users

Settlements (/admin3/settlement) | Aggregator Panel | Merchant Settings | Recon | Admin Setting | Api | Vouchers (/admin3/vouchers/#/) | Admin Downloads (/admin3/downloads/#/) | Farming

GCM (/admin3/GCM/#/) | Recharge/Bill Payments | Reports (/admin3/reports/#/) | Bharat QR | Marketing Box (/admin3/#/marketingBox) | Care Panel (/admin3/#/carePanel)

Merchant Application Form

PAN/Bank Details

To collect money in your bank account, you will need to update your bank account/pan details.
You can give these details right now or later.

Integration Type Full Integration

☐ Android App

☐ IOS App

☑ Website https://www.equitymantra.in/

Save

Application Summary

Contact Details	Verified
Business Details	Approved
Bank Account Details	Approved
Redmine Ticket ID	Generate

Your application is Approved

Name on the PAN Card :	Naresh Pal
	Individual name should be the same as the name in the PAN Card and bank account name
PAN Card No:	DPDPP1122R
Account Holder Name :	EQUITY MANTRA
Bank Account No:	257303046719
IFSC Code:	INDB0000044
Bank Name:	IndusInd Bank
Branch State:	
Branch City:	
Branch Name:	
Branch Address:	B 23, COMMUNITY CENTRE, JANAKPURI, NEW DELHI - 110 058

Save Download Addendum

Courier Description:

Courier Tracking ID:

Save Courier Details



(211)

Payment Id	Amount	SucceededOn Date	Merchant Transaction ID	Customer Name	Customer Email	Customer Phone
336844985	24157	'2020-07-15 17:26:08.0	'1594814107	Prathamesh	pra**********@	992*****25
299333928	25000	'2020-01-29 19:00:27.0	'1580304488	Sanjay	san**********@gmai	999*****32
298448111	13564	'2020-01-25 12:05:12.0	'1579933991	Sanjay	san**********@gmai	999*****32
298355425	1812	'2020-01-24 20:01:29.0	'1579876205	Sanjay	san**********@gmai	999*****32
298353475	6000	'2020-01-24 19:54:34.0	'1579875657	Sanjay	san**********@gmai	999*****32
298351823	3624	'2020-01-24 19:45:14.0	'1579875178	Sanjay	san**********@gmai	999*****32
286193211	55000	'2019-11-19 12:39:42.0	'1574147205	sushant	sus**********@gmail.	797*****75
277549686	8455	'2019-10-04 13:16:00.0	'1570175060	Chethan	che*********@gmail.co	808*****27

Payment Id	SucceededOn Date	Merchant Transaction ID	Customer Name	Customer Email	Customer Phone	Amount	Settlement Amoun	Product Info	UTR number
337405562	'2020-07-17 12:46:22.0	'1594970062	devi	dev**********'	978*****32	5013	4894.69	Equity mantra services	
337374372	'2020-07-17 11:31:20.0	'1594965649	Sarthak	man**********'	917******07	4227	4127.24	Equity mantra services	
337115055	'2020-07-16 14:24:59.0	'1594889586	Pratik	pra**********'	702*****88	2416	2358.98	Equity mantra services	
336844985	'2020-07-15 17:26:08.0	'1594814107	Prathamesh	pra**********'	992*****25	24157	23586.89	Equity mantra services	
336752938	'2020-07-15 13:06:20.0	'1594798456	devi	dev**********'	978*****32	12078	11792.96	Equity mantra services	
336743110	'2020-07-15 12:41:05.0	'1594796962	devi	dev**********'	978*****32	14494	14151.94	Equity mantra services	
336720448	'2020-07-15 11:46:12.0	'1594793562	devi	dev**********'	978*****32	4227	4127.24	Equity mantra services	
336432664	'2020-07-14 13:17:58.0	'1594712794	Ravi	bma**********'	942*****77	24157	23586.89	Equity mantra services	CITIN20099582766
336381052	'2020-07-14 11:04:44.0	'1594704752	Ravi	bma**********'	942*****77	6039	5896.48	Equity mantra services	CITIN20099582766
321116968	'2020-05-13 17:59:56.0	'1589372954	Ashok	ash**********(	800*****29	10025	9788.41	Equity mantra services	CITIN20069138699
317583120	'2020-04-27 16:30:03.0	'1587985152	ASHOK	ash**********(	800*****29	4227	4127.24	Equity mantra services	CITIN20061332307
316881454	'2020-04-24 10:11:52.0	'1587703264	Dipesh	sab**********'	987*****15	10000	9764	Equity mantra services	CITIN20060211217
316293464	'2020-04-21 13:16:00.0	'1587455107	ASHOK	ash**********(	800*****29	1812	1769.24	Equity mantra services	CITIN20059061807
310947950	'2020-03-27 14:18:49.0	'1585298678	Ravi	ra7****@gmail.co	701*****21	6039	5896.48	Equity mantra services	CITIN20049446675
310563676	'2020-03-25 16:10:07.0	'1585132684	Arif	roy*********@gn	902*****92	12078	11792.96	Equity mantra services	CITIN20047993036
310527252	'2020-03-25 13:10:36.0	'1585121771	Arif	roy*********@gn	902*****92	12078	11792.96	Equity mantra services	CITIN20047993036
310524180	'2020-03-25 12:54:25.0	'1585120961	Sandeep	par**********(	777*****55	1	0.98	Equity mantra services	CITIN20047993036
307838315	'2020-03-11 08:05:48.0	'1583893992	Rajkamal	raj**********'	945*****56	483	471.6	Equity mantra services	CITIN20041537058
306860810	'2020-03-06 14:54:31.0	'1583486589	Faridul	far********@gma	700*****88	7851	7665.72	Equity mantra services	CITIN20038978484
306212626	'2020-03-03 14:21:51.0	'1583225425	Heeralal	Hee**********'	886*****72	41000	40032.4	Equity mantra services	CITIN20036843733
306028654	'2020-03-02 17:11:02.0	'1583149194	SANJAY	san*******@gma	983*****93	12078	11792.96	Equity mantra services	CITIN20036087997
306013251	'2020-03-02 16:09:16.0	'1583145459	SANJAY	san*******@gma	983*****93	10000	9764	Equity mantra services	CITIN20036087997
305330313	'2020-02-28 10:32:41.0	'1582866089	Heeralal	Hee**********'	886*****72	50000	48820	Equity mantra services	CITIN20034663423
305166021	'2020-02-27 13:00:19.0	'1582788534	Heeralal	Hee**********'	886*****72	50000	48820	Equity mantra services	CITIN20034663423
305124158	'2020-02-27 10:20:14.0	'1582778908	Shiva	Shi**********@	993*****94	1993	1945.97	Equity mantra services	CITIN20034663423
304789566	'2020-02-25 15:38:12.0	'1582625213	Sourabh	sou**********'	887*****26	1	0.98	Equity mantra services	CITIN20031234861
304739431	'2020-02-25 12:05:10.0	'1582612380	sarbattan	ban**********'	898*****26	4000	3905.6	Equity mantra services	CITIN20031234861
304726942	'2020-02-25 11:19:45.0	'1582609652	Durgesh	tha**********@	975*****03	12078	11792.96	Equity mantra services	CITIN20031234861
304581629	'2020-02-24 15:45:27.0	'1582539215	Sarbattam	Ban**********'	898*****26	1000	976.4	Equity mantra services	CITIN20030130163
304157952	'2020-02-22 10:58:19.0	'1582349183	Rakesh	Sin**********@	880*****63	4831	4716.99	Equity mantra services	CITIN20028753699
303155490	'2020-02-17 09:29:58.0	'1581911858	Laxman	lax**********'	971*****15	6039	5896.48	Equity mantra services	CITIN20027455834
302712868	'2020-02-14 18:57:03.0	'1581686655	Rajat	Cha**********@	964*****56	30196	29483.37	Equity mantra services	CITIN20026019952
302689785	'2020-02-14 17:12:45.0	'1581680497	Rajat	Cha**********@	964*****56	10001	9764.98	Equity mantra services	CITIN20026019952
302685698	'2020-02-14 16:57:03.0	'1581679438	Rajat	Cha**********@	964*****56	991	967.61	Equity mantra services	CITIN20026019952
301718908	'2020-02-10 11:37:18.0	'1581314778	Natwar	nat*********@ya	919******87	2999	2928.22	Equity mantra services	CITIN20024127225
301139280	'2020-02-07 10:11:45.0	'1581050341	Ravi	rav**********'	997*****63	50005	48824.88	Equity mantra services	CITIN20022938183
300490507	'2020-02-04 10:26:21.0	'1580792109	Pradip	Pra**********'	987*****39	5000	4882	Equity mantra services	CITIN20021100640
300489292	'2020-02-04 10:20:38.0	'1580791756	Rajaram	vsr******@gmail.	984*****42	4227	4127.24	Equity mantra services	CITIN20021100640
300355256	'2020-02-03 16:03:18.0	'1580725848	Anup	anu****@gmail.co	992*****30	6039	5896.48	Equity mantra services	CITIN20020373444
300275259	'2020-02-03 11:04:49.0	'1580707976	Asha	ash**********(	998*****23	45053	43989.75	Equity mantra services	CITIN20020373444
299946750	'2020-02-01 13:35:16.0	'1580544262	Parag	par********@gm	904*****61	5000	4882	Equity mantra services	CITIN20019016470
299738767	'2020-01-31 14:57:07.0	'1580462699	Sanjay	san**********@	971*****42	6039	5896.48	Equity mantra services	CITIN20019016470
299733966	'2020-01-31 14:35:38.0	'1580461401	Anup	anu****@gmail.co	992*****30	2416	2358.98	Equity mantra services	CITIN20019016470
299705584	'2020-01-31 12:55:43.0	'1580455430	Kirtankumar	Pat**********@	966*****44	12078	11792.96	Equity mantra services	CITIN20019016470
299687539	'2020-01-31 12:09:11.0	'1580452675	Anup	anu****@gmail.co	992*****30	3624	3538.47	Equity mantra services	CITIN20019016470
299671670	'2020-01-31 11:29:12.0	'1580450217	Sanjay	San**********@	971*****42	5435	5306.73	Equity mantra services	CITIN20019016470
299660088	'2020-01-31 10:58:37.0	'1580448245	Sanjay	San**********@	971*****42	604	591.92	Equity mantra services	CITIN20019016470

299542302	'2020-01-30 18:26:13.0	'1580388844	Pradip	Pra**********'	987*****39	1208	1183.84	Equity mantra services	CITIN20019016470
299460564	'2020-01-30 12:56:07.0	'1580368903	Anup	anu****@gmail.cc	992*****30	5073	4953.28	Equity mantra services	CITIN20019016470
299333928	'2020-01-29 19:00:27.0	'1580304488	Sanjay	san**********(	999*****32	25000	24410	Equity mantra services	CITIN20017302584
299299155	'2020-01-29 16:36:27.0	'1580295831	Mahaling	lma******@gmail	997*****38	8939	8728.04	Equity mantra services	CITIN20017302584
298490928	'2020-01-25 15:01:09.0	'1579944470	Ajrudeen	ajr**********@	967*****67	6039	5896.48	Equity mantra services	CITIN20013359752
298474202	'2020-01-25 13:38:40.0	'1579939663	bharat	bar*******@gmai	780*****43	1	0.98	Equity mantra services	CITIN20013359752
298448111	'2020-01-25 12:05:12.0	'1579933991	Sanjay	san**********(	999*****32	13564	13243.89	Equity mantra services	CITIN20013359752
298355425	'2020-01-24 20:01:29.0	'1579876205	Sanjay	san**********(	999*****32	1812	1769.24	Equity mantra services	CITIN20013359752
298353475	'2020-01-24 19:54:34.0	'1579875657	Sanjay	san**********(	999*****32	6000	5858.4	Equity mantra services	CITIN20013359752
298351823	'2020-01-24 19:45:14.0	'1579875178	Sanjay	san**********(	999*****32	3624	3538.47	Equity mantra services	CITIN20013359752
292713617	'2019-12-26 13:53:50.0	'1577348563	HEERALAL	Hee**********	967*****27	48314	47173.79	Equity mantra services	CITIN19084746223
292688201	'2019-12-26 12:10:18.0	'1577342186	Amir	drh**********(	848*****79	7851	7665.72	Equity mantra services	CITIN19084746223
292683399	'2019-12-26 11:48:34.0	'1577341052	Rajaram	vsr******@gmail.	984*****42	7851	7665.72	Equity mantra services	CITIN19084746223
292551321	'2019-12-25 15:03:11.0	'1577266158	MEHULI	VAI**********(	993*****65	10001	9764.98	Equity mantra services	CITIN19083706397
292223573	'2019-12-23 14:47:39.0	'1577092584	HEERALAL	Hee**********	967*****27	30000	29292	Equity mantra services	CITIN19082900646
291949023	'2019-12-21 17:07:01.0	'1576928131	HEERALAL	Hee**********	967*****27	50000	48820	Equity mantra services	CITIN19081207539
291504966	'2019-12-19 10:13:16.0	'1576730484	Hare	har**********	790*****23	60	58.58	Equity mantra services	CITIN19081207539
291406187	'2019-12-18 16:39:24.0	'1576667211	Heeralal	Hee**********	967*****27	18118	17690.42	Equity mantra services	CITIN19080433079
291321043	'2019-12-18 10:58:58.0	'1576646825	Sarabjeet Kaur	skg*****@gmail.c	788*****50	14000	13669.6	Equity mantra services	CITIN19080433079
290917830	'2019-12-16 09:57:14.0	'1576470075	Heeralal menariya	Hee**********	886*****72	5000	4882	Equity mantra services	CITIN19079324578
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275134776	'2019-09-23 13:17:52.0	'1569224801	Joginder Singh	jog*******@yaho	971*****75	60392	58966.75	Equity mantra services	CITIN19036228643
275108739	'2019-09-23 11:56:23.0	'567607-275108739	SIDDHANTLIME@G	SID*********@GI	982*****16	45000	43938		CITIN19036228643
275091187	'2019-09-23 11:04:03.0	'567607-275091187		ash**********(	947*****66	1	0.98		CITIN19036228643
274649152	'2019-09-20 19:43:58.0	'1568988775	SATYA PRAKASH	sat*********@gr	950*****76	4500	4393.8	Equity mantra services	CITIN19035304770
274604080	'2019-09-20 16:36:16.0	'1568977331	Naresh	Nar*******@gma	723*****61	1208	1179.49	Equity mantra services	CITIN19035304770
274585667	'2019-09-20 15:13:31.0	'1568972388	Puran	dha******@gmail	981*****00	60392	58966.75	Equity mantra services	CITIN19035304770
274577681	'2019-09-20 14:30:39.0	'1568969968	SATYA PRAKASH	sat*********@gr	950*****76	5778	5641.64	Equity mantra services	CITIN19035304770
274358871	'2019-09-19 14:14:07.0	'1568882471	BHAGABAT	bha**********	700*****32	5073	4953.28	Equity mantra services	CITIN19035304770
274080163	'2019-09-18 11:43:14.0	'1568786930	p laxminarayana.	pla****@rediffma	948*****51	5073	4953.28	Equity mantra services	CITIN19034540222
273730508	'2019-09-16 18:22:34.0	'1568638244	Jagdeep	jag**********	869*****84	18118	17690.42	Equity mantra services	CITIN19033596039
273596674	'2019-09-16 10:18:35.0	'1568609248	Jagdeep	jag**********	869*****84	12078	11792.96	Equity mantra services	CITIN19033596039
						2668734			

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सत्यमेव जयते

Annexure B

GSTIN	07DPDPP1122R2ZK
Legal Name	NARESH PAL
Trade Name, if any	EQUITY MANTRA

Details of Proprietor

1



Name	NARESH PAL
Designation/Status	PROPRIETOR
Resident of State	Uttar Pradesh

For EQUITY MANTRA

Prop.

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सत्यमेव जयते

Annexure A

GSTIN	07DPDPP1122R2ZK
Legal Name	NARESH PAL
Trade Name, if any	EQUITY MANTRA

Details of Additional Places of Business

Total Number of Additional Places of Business in the State	0

For EQUITY MANTRA

07/2/2141m Prop.

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(263)

Government of India

Form GST REG-06

[See Rule 10(1)]

Registration Certificate

Registration Number : 07DPDPP1122R2ZK

1.	Legal Name	NARESH PAL			
2.	Trade Name, if any	EQUITY MANTRA			
3.	Constitution of Business	Proprietorship			
4.	Address of Principal Place of Business	G/F OLD NO.-1205, 2602-2603, NAYA BAZAR, NAI BASTI, CHANDANI CHOWK, North Delhi, Delhi, 110006			
5.	Date of Liability				
6.	Period of Validity	From	20/12/2018	To	NA
7.	Type of Registration	Regular			
8.	Particulars of Approving Authority				
Signature		Signature valid Digitally signed by DG GOODS AND SERVICES TAX NETWORK(2) Date: 2018.12.20 00:30:28 IST			
Name					
Designation					
Jurisdictional Office					
9. Date of issue of Certificate		20/12/2018			
Note: The registration certificate is required to be prominently displayed at all places of business in the State.					

This is a system generated digitally signed Registration Certificate issued based on the deemed approval of application on 20/12/2018 .

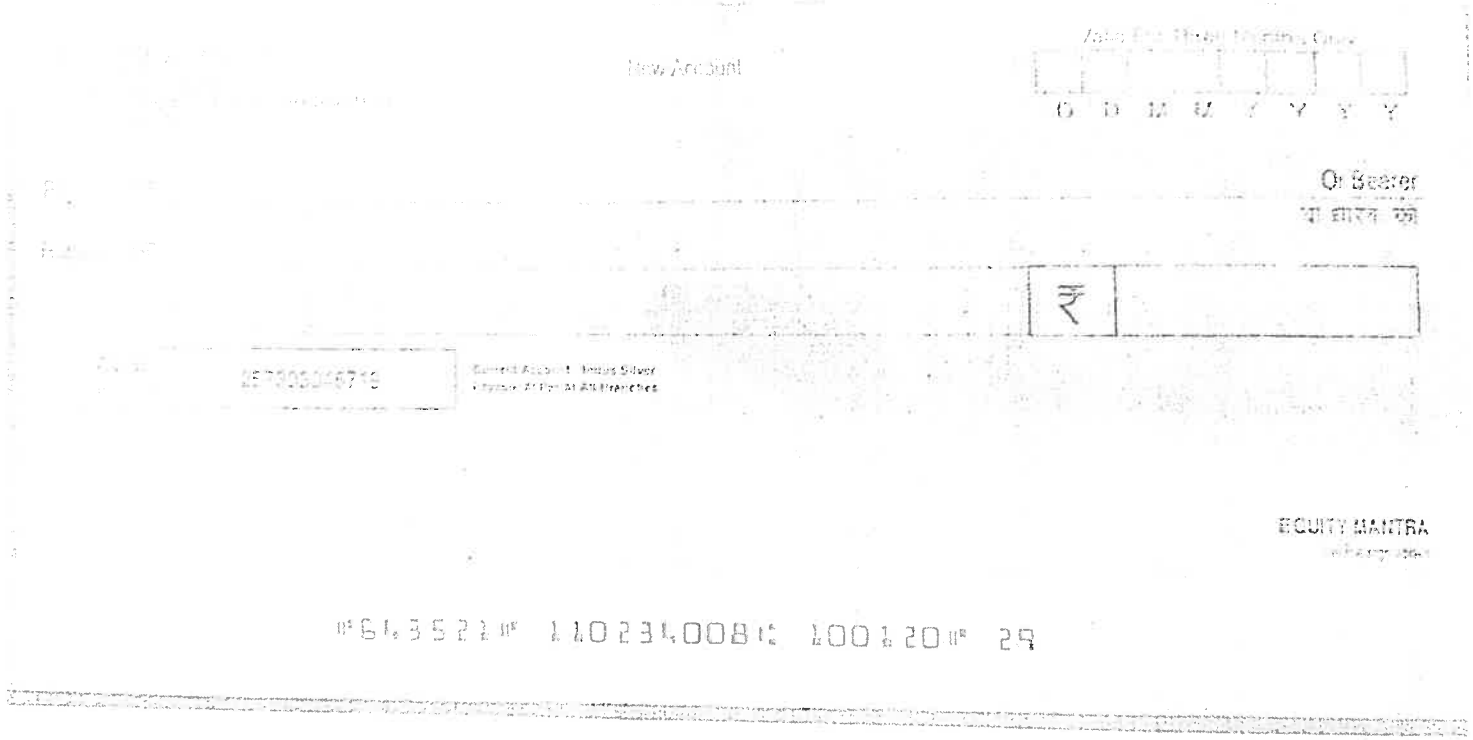
For EQUITY MANTRA

07221413

Prop.

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2-2



For EQUITY MANTRA

Prop.

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(20)

आयकर विभाग
INCOME TAX DEPARTMENT

भारत सरकार
GOVT. OF INDIA

स्थायी लेखा संख्या कार्ड
Permanent Account Number Card

DPDPP1122R

नाम/ Name
NARESH PAL

पिता का नाम/ Father's Name
DHANI RAM

जन्म की तारीख/ Date of Birth
15/07/1983

नरेश पाल
हस्ताक्षर/ Signature



12042017

For [illegible] RA

Prop.

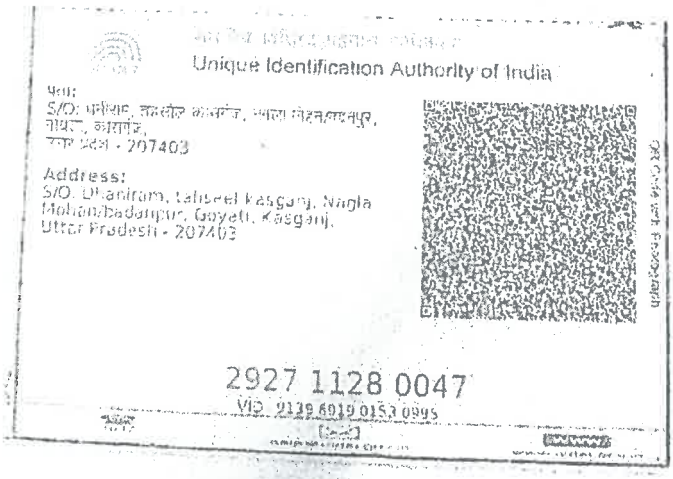
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भारत सरकार
Government of India
Naresh Pal
MALE
2927 1128 0047

For EQUITY MANTRA

Prop.


Unique Identification Authority of India
Address:
S/O: Dharamram, Tahseel Kasganj, Nagla Mohanbadampur, Gayeti, Kasganj, Uttar Pradesh - 207403
2927 1128 0047

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On Wed, 2 Sep at 7:09 PM , Risk Operations <riskops@safexpay.com> wrote:
Dear Sir,

We shall provide you with the required information shortly.

Juliet
Deputy Manager - Risk Team
Email: juliet@safexpay.com
Visit us at https://www.safexpay.com

On Tue, 1 Sep at 5:42 PM , Vinayak <vinayak@sebi.gov.in> wrote:
Dear Madam/Sir,

This is in respect of complaints received by SEBI against the entity **Equity Mantra (Proprietorship firm of Naresh Pal-PAN-DPDPP1122R)** which appears to be your client, it is hereby requested to provide all the transactions statement in Excel Format having the detailed narrations of all the transactions done at your gateway since inception till date for the said entity latest by September 08, 2020.

Also, provide scanned copies of all the available KYC and client information related documents viz. Client information forms, Address/ID proofs, copy of PAN etc. and details of the attached bank accounts with your gateway of the aforementioned entity.

Regards/सादरधन्यवाद,

Vinayak / विनायक
Assistant Manager(सहायक प्रबंधक),
Northern Regional Office (उत्तरी प्रादेशिक कार्यालय)
Securities and Exchange Board of India/भारतीय प्रतिभूति और विनिमय बोर्ड
e-mail: vinayak@sebi.gov.in;9650988399

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Vinayak

From: Risk Operations <riskops@safexpay.com>
Sent: 08/09/2020 4:45 PM
To: Vinayak
Cc: karan@safexpay.com; juliet@safexpay.com
Subject: Re: Details in respect of Equity Mantra-SEBI
Attachments: Transaction Data.xlsx

Dear Sir,

As required, please find the detailed data of transactions gone through our platform, which may help for your further investigation.

Regards,
Juliet
Risk Management Team

On Mon, 7 Sep at 11:54 AM , Vinayak <vinayak@sebi.gov.in> wrote:
Dear Madam,

As requested earlier also please send statement of transactions of the entity since inception till date on an urgent basis appreciably by tomorrow, i.e., 08.09.2020, EOD.

Thanks and Regards
Vinayak,9650988399

From: Risk Operations [mailto:riskops@safexpay.com]
Sent: 04/09/2020 6:58 PM
To: Vinayak
Cc: karan@safexpay.com; juliet@safexpay.com
Subject: Re: Details in respect of Equity Mantra-SEBI

Dear Sir,

Merchant EQUITY MANTRA is already deactivated at our end.

Further, as required please find KYC details attached herewith.

Juliet
Deputy Manager - Risk Team
Email: juliet@safexpay.com
Visit us at https://www.safexpay.com

AG REF	MERCHANT NAME	ORDER NO	TRANSACTION DATE	AMOUNT	CUST NAME	EMAIL ID	MOBILE NO
1011936029897416704	EQUITY MANTRA 1	1577783039	2019-12-31 14:34:01.0	11000	sushant	sushantkadam95@gmail.com	9860572330
1011899310342955008	EQUITY MANTRA 1	1577774285	2019-12-31 12:08:06.0	26000	sushant	sushantkadam95@gmail.com	9860572330
1007888921951232000	EQUITY MANTRA 1	1576818134	2019-12-20 10:32:15.0	10001	nasir	ph9716942965@gmail.com	9716942965
1006514091540635648	EQUITY MANTRA 1	1576490349	2019-12-16 15:29:10.0	15002	Pradeep	pradeep4596@gmail.com	8683802246
1006459051257913344	EQUITY MANTRA 1	1576477224	2019-12-16 11:50:27.0	10001	Pradeep	pradeep4596@gmail.com	8693802246
1004651957592547328	EQUITY MANTRA 1	1576046381	2019-12-11 12:09:43.0	19326	abbasuddin	mollaa980@gmail.com	9153524872
1004375660760948736	EQUITY MANTRA 1	1575980506	2019-12-10 17:51:48.0	24500	Surajit	surajitxl.2008@gmail.com	9804066126
1004358346082639872	EQUITY MANTRA 1	1575976379	2019-12-10 16:43:00.0	10000	SURAJIT	surajitxl.2008@gmail.com	9804066126



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AG REF	MERCHANT NAME	ORDER NO	TRANSACTION DATE	AMOUNT	CUST NAME	PG REF
1099282652923322368	EQUITY MANTRA 1	1574766234	2019-11-26 16:34:01.0	1812	Anirudho	193301109041
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1097808788657692672	EQUITY MANTRA 1	1574414837	2019-11-22 14:57:24.0	50000	Naresh	193261095662
1097418048400154624	EQUITY MANTRA 1	1574321683	2019-11-21 13:04:44.0	25001	Naresh	193251090476
1097394526785265664	EQUITY MANTRA 1	1574316075	2019-11-21 11:31:16.0	14000	Naresh	193251089912
1097087202929565696	EQUITY MANTRA 1	1574242803	2019-11-20 15:10:05.0	42052	Anthony Mario	193241086679
1097072510991491072	EQUITY MANTRA 1	1574239300	2019-11-20 14:11:42.0	6039	Sanjeet kumar	193241086236
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1096373413800206336	EQUITY MANTRA 1	1574072623	2019-11-18 15:53:44.0	10000	Naresh	193221078428
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1004258333356744704	EQUITY MANTRA 1	1575952533	2019-12-10 10:05:35.0	6039	Ayush	9344 10145763
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1030753824890183680	EQUITY MANTRA 1	1582269551	2020-02-21 12:49:13.0	10000	MOHD	200521580554
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				1153157		

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Vinayak

From: Mail Delivery System <MAILER-DAEMON@MUSBDSTMIMS2.sebi.gov.in>
To: Enquiry@equitymantra.in; support@equitymantra.in
Sent: 08/03/2022 4:35 PM
Subject: Undeliverable: Examination in the matter of Complaints against Equity Mantra

Delivery has failed to these recipients or groups:

Enquiry@equitymantra.in
A problem occurred and this message couldn't be delivered. Check to be sure the e-mail address is correct. If the problem continues, please contact your helpdesk.

support@equitymantra.in
A problem occurred and this message couldn't be delivered. Check to be sure the e-mail address is correct. If the problem continues, please contact your helpdesk.

Diagnostic information for administrators:

Generating server: MUSBDSTMIMS2.sebi.gov.in

Enquiry@equitymantra.in
#< #5.4.4 X-Postfix; Host or domain name not found. Name service error for name=equitymantra.in type=A: Host not found> #SMTP#

support@equitymantra.in
#< #5.4.4 X-Postfix; Host or domain name not found. Name service error for name=equitymantra.in type=A: Host not found> #SMTP#

Original message headers:

```
Return-Path: <Vinayak@sebi.gov.in>
Received: from MUSBDSTMIMS2.sebi.gov.in (unknown [127.0.0.1])
    by IMSVA (Postfix) with ESMTP id 0F3D78C1F1;
    Tue,  8 Mar 2022 16:35:01 +0530 (IST)
DKIM-Signature: v=1; a=rsa-sha256; c=simple/simple; d=sebi.gov.in;
    s=5EB1_MSS_OCT2019; t=1646737501;
    bh=/x13hW9vCxI6trHIP387yNuRbYkffQHrt7mjRYLZVGU=; h=From:To:Date;
    b=dzxjJRlsPOoCqVir3OAF6FPCFcCokgXaiXpMKJw35odxu+SuCPH6r5Sk3r4PV+St
     TslHqOzrcgb5xnxr3R3sYKYQVs4XO4EFSRhX13sMNkoD0u43Ch17grtdJBGD0Unl+1
     UWsUcE7HA92JauTGtuSp7GPlD7Bo3dtV9m6CrGk4=
Received: from MUSBDSTMIMS2.sebi.gov.in (unknown [127.0.0.1])
    by IMSVA (Postfix) with ESMTP id F3B698C1E4;
    Tue,  8 Mar 2022 16:35:00 +0530 (IST)
Received: from MUSBDSTMIMS2.sebi.gov.in (unknown [10.201.7.106])
```

192

by MUSBDSTMIMS2.sebi.gov.in (Postfix) with ESMTP;
Tue, 8 Mar 2022 16:35:00 +0530 (IST)
Received: from MUSBDSDLPMAIL2 (MUSBDSDLPMAIL2 [127.0.0.1])
by MUSBDSDLPMAIL2 (Service) with ESMTP id 90B702008F59;
Tue, 8 Mar 2022 16:35:02 +0530 (IST)
Received: from webmail.sebi.gov.in (unknown [10.201.7.107])
by MUSBDSDLPMAIL2.localdomain (Service) with ESMTP id 90B702008F59;
Tue, 8 Mar 2022 16:34:36 +0530 (IST)
From: Vinayak <vinayak@sebi.gov.in>
To: "Enquiry@equitymantra.in" <Enquiry@equitymantra.in>,
"support@equitymantra.in" <support@equitymantra.in>
CC: GYANENDRA NIRAJ <gyanendran@sebi.gov.in>
Subject: Examination in the matter of Complaints against Equity Mantra
Thread-Topic: Examination in the matter of Complaints against Equity Mantra
Thread-Index: Adgy2hPmhrM+DeNcS66qhIZtd2+I/A==
Date: Tue, 8 Mar 2022 11:04:18 +0000
Message-ID: <F9D4DD86E9DF1F4486A053045FDE36E50165859CCA@SEBIDCEXMB02>
Accept-Language: en-IN, en-US
Content-Language: en-US
X-MS-Has-Attach: yes
X-MS-TNEF-Correlator:
x-classification: SEBI-INTERNAL
x-originating-ip: [172.16.14.110]
Content-Type: text/plain
MIME-Version: 1.0
X-TM-AS-GCONF: 00

191

Vinayak

From:	Vinayak
Sent:	08/03/2022 4:34 PM
To:	Enquiry@equitymantra.in; support@equitymantra.in
Cc:	GYANENDRA NIRAJ
Subject:	Examination in the matter of Complaints against Equity Mantra
Attachments:	SKM_558e22030816300.pdf

Sir,

You are advised to submit the information thru return email sought *vide* attached letters in respect of captioned subject along with supporting documents within 07 days from the date of receipt of this communication failing which we will proceed based on available information and necessary action will be taken as deemed fit.

Vinayak

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

S. Post



Naresh Pal, Prop. Equity Mantra
G/F Old No.-1205, 2602-2603,
Naya Bazaar, Nai Basti,
Chandni Chowk, Delhi-110006

AM(V)

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन.बी.सी.सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi - 110023

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

S.Post

Naresh Pal, Prop. Equity Mantra
S/o Dhaniram Tahseel Kasganj,
Nagla Mohan/Badanpur, Goyati,
Kasganj, Uttar Pradesh-207403

(681)

EMS SPEED POST
ED 00484845 0IN

AM(V)

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi - 110023

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

Speed Post

Insufficient Address

Equity Mantra
Alpha One Mall
Sarkari Vasahat Road,
Vastrapur, Ahmedabad,
Gujarat-380006



AM(V)

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवई नगर, नई दिल्ली - 110023
Northern Regional Office : Plate-I th Floor, Office Tower-1, NBCC Complex, Eas idwai Nagar, New Delhi - 110023

(187)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

By Speed Post AD / Email

39982
SEBI/NRO/OW/P/ /2021

28/12/2021

Naresh Pal, Prop. Equity Mantra
G/F Old No.-1205, 2602-2603,
Naya Bazaar, Nai Basti
Chandani Chowk, Delhi-110006

Sir,

For attention of- Naresh Pal, Prop. Equity Mantra

Sub.: Examination of products/services offered by www.equitymantra.in/Equity Mantra/Naresh Pal

1. Under the SEBI Act 1992 read with SEBI (Investment Advisers) Regulations, 2013 and SEBI (Portfolio Managers) Regulations, 2020 no person shall act as an Investment Advisers and Portfolio Manager unless the entity has obtained a certificate of registration from SEBI under the said Regulations. Acting as an Investment Advisers and/or Portfolio Manager without SEBI registration may entail to initiation of action, as deemed fit, under the SEBI Act 1992.

2. SEBI has observed that you are offering various financial products / services in the nature of Investment Advisory and/or Portfolio Manager in the name of **www.equitymantra.in/Equity Mantra/Naresh Pal**. In this regard, you are advised to provide the following information along with the supporting documents, if any:

   i. Registered corporate address of **www.equitymantra.in/Equity Mantra/Naresh Pal** along with the phone numbers, email ids, legal structure (Individual/Proprietorship/Partnership/LLP/ Company etc.), PAN and date of incorporation.

   ii. Name, address, PAN, email ids and phone numbers of Proprietor /all Promoters / Directors / Partners of **www.equitymantra.in/Equity Mantra/Naresh Pal** along with their educational qualifications, experience in activities relating to advice in relating to various financial products.

iii. With respect to point (ii) above, provide details of association of the aforesaid Proprietor / all Promoters / Directors / Partners of **www.equitymantra.in/Equity Mantra/Naresh Pal** with any other proprietorship / partnership / LLP / company/ SEBI registered Intermediary along with the nature of the association and the date of inception of such association.

iv. Brief note on all activities / services / products offered by **www.equitymantra.in/Equity Mantra/Naresh Pal** since inception till date along with the date of commencement of the aforesaid activities/services/products.

v. With respect to above point, provide the information year-wise since inception till date in the following format:

Sr. No.	F.Y.	Client Name	Name of the Package/Service offered	Total amount of fee charged from the client during the F.Y.	Mode of receipt of payment from Client (cash deposit/ NEFT/ Payment gateway etc.)	Details of the advise

vi. List of all bank accounts maintained and highlight the bank accounts where advisory fees collected.

vii. List of payment gateways, the arrangement and copy of all the documents.

viii. Scheme details, pamphlets, brochures, promotional materials, etc., related to advisory activities.

ix. Details of any arrangement / agreement in connection with the advisory related activities and other relevant documents available.

x. Whether **www.equitymantra.in/Equity Mantra/Naresh Pal** ever been registered with any of the recognized Stock Exchanges / SEBI / other regulators in any capacity whatsoever? If yes, furnish the details thereof.

xi. A copy of the audited Annual Accounts of **www.equitymantra.in/Equity Mantra/Naresh Pal** for the last three financial years.

xii. A copy of account statement of all the bank accounts of **www.equitymantra.in/Equity Mantra/Naresh Pal** pertaining to last three financial years.

xiii. A copy of account statement of all the payment gateways used by **www.equitymantra.in/Equity Mantra/Naresh Pal** for receiving fees from clients for advisory/portfolio management services) from the date of operating to till date.

xiv. Details of all the websites of **www.equitymantra.in/Equity Mantra/Naresh Pal** and entity/persons responsible for hosting and maintaining the said websites.

xv. Any other relevant information that **www.equitymantra.in/Equity Mantra/Naresh Pal** may wish to submit.

3. You are advised to submit the aforesaid information along with supporting documents within 15 days from the date of receipt of this communication. The same information shall also be submitted through email to the undersigned.

Vinayak
email-vinayak@sebi.gov.in

(184)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

By Speed Post AD / Email

39983
SEBI/NRO/OW/P/ /2021

28/12/2021

Naresh Pal, Prop. Equity Mantra
S/o Dhaniram Tahseel Kasganj,
Nagla Mohan/Badanpur, Goyati,
Kasganj, Uttar Pradeesh-207403

Sir,

For attention of- Naresh Pal, Prop. Equity Mantra

Sub.: Examination of products/services offered by www.equitymantra.in/Equity Mantra/Naresh Pal

1. Under the SEBI Act 1992 read with SEBI (Investment Advisers) Regulations, 2013 and SEBI (Portfolio Managers) Regulations, 2020 no person shall act as an Investment Advisers and Portfolio Manager unless the entity has obtained a certificate of registration from SEBI under the said Regulations. Acting as an Investment Advisers and/or Portfolio Manager without SEBI registration may entail to initiation of action, as deemed fit, under the SEBI Act 1992.

2. SEBI has observed that you are offering various financial products / services in the nature of Investment Advisory and/or Portfolio Manager in the name of **www.equitymantra.in/Equity Mantra/Naresh Pal**. In this regard, you are advised to provide the following information along with the supporting documents, if any:

   i. Registered corporate address of **www.equitymantra.in/Equity Mantra/Naresh Pal** along with the phone numbers, email ids, legal structure (Individual/Proprietorship/Partnership/LLP/ Company etc.), PAN and date of incorporation.

   ii. Name, address, PAN, email ids and phone numbers of Proprietor /all Promoters / Directors / Partners of **www.equitymantra.in/Equity Mantra/Naresh Pal** along with their educational qualifications, experience in activities relating to advice in relating to various financial products.

iii. With respect to point (ii) above, provide details of association of the aforesaid Proprietor / all Promoters / Directors / Partners of **www.equitymantra.in/Equity Mantra/Naresh Pal** with any other proprietorship / partnership / LLP / company/ SEBI registered Intermediary along with the nature of the association and the date of inception of such association.

iv. Brief note on all activities / services / products offered by **www.equitymantra.in/Equity Mantra/Naresh Pal** since inception till date along with the date of commencement of the aforesaid activities/services/products.

v. With respect to above point, provide the information year-wise since inception till date in the following format:

Sr. No.	F.Y.	Client Name	Name of the Package/Service offered	Total amount of fee charged from the client during the F.Y.	Mode of receipt of payment from Client (cash deposit/ NEFT/ Payment gateway etc.)	Details of the advise

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vii. List of payment gateways, the arrangement and copy of all the documents.

viii. Scheme details, pamphlets, brochures, promotional materials, etc., related to advisory activities.

ix. Details of any arrangement / agreement in connection with the advisory related activities and other relevant documents available.

x. Whether **www.equitymantra.in/Equity Mantra/Naresh Pal** ever been registered with any of the recognized Stock Exchanges / SEBI / other regulators in any capacity whatsoever? If yes, furnish the details thereof.

xi. A copy of the audited Annual Accounts of **www.equitymantra.in/Equity Mantra/Naresh Pal** for the last three financial years.

xii. A copy of account statement of all the bank accounts of **www.equitymantra.in/Equity Mantra/Naresh Pal** pertaining to last three financial years.

xiii. A copy of account statement of all the payment gateways used by **www.equitymantra.in/Equity Mantra/Naresh Pal** for receiving fees from clients for advisory/portfolio management services) from the date of operating to till date.

xiv. Details of all the websites of **www.equitymantra.in/Equity Mantra/Naresh Pal** and entity/persons responsible for hosting and maintaining the said websites.

xv. Any other relevant information that **www.equitymantra.in/Equity Mantra/Naresh Pal** may wish to submit.

3. You are advised to submit the aforesaid information along with supporting documents within 15 days from the date of receipt of this communication. The same information shall also be submitted through email to the undersigned.

Vinayak

email-vinayak@sebi.gov.in

(181)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

By Speed Post AD / Email

39990
SEBI/NRO/OW/P/ /2021

28/12/2021

Equity Mantra
Alpha One Mall
Sarkari Vasahat Road,
Vastrapur, Ahmedabad,
Gujarat-380006

Sir,

For attention of- Prop. Equity Mantra

Sub.: Examination of products/services offered by www.equitymantra.in/Equity Mantra

1. Under the SEBI Act 1992 read with SEBI (Investment Advisers) Regulations, 2013 and SEBI (Portfolio Managers) Regulations, 2020 no person shall act as an Investment Advisers and Portfolio Manager unless the entity has obtained a certificate of registration from SEBI under the said Regulations. Acting as an Investment Advisers and/or Portfolio Manager without SEBI registration may entail to initiation of action, as deemed fit, under the SEBI Act 1992.

2. SEBI has observed that you are offering various financial products / services in the nature of Investment Advisory and/or Portfolio Manager in the name of **www.equitymantra.in/Equity Mantra**. In this regard, you are advised to provide the following information along with the supporting documents, if any:

   i. Registered corporate address of **www.equitymantra.in/Equity Mantra** along with the phone numbers, email ids, legal structure (Individual/Proprietorship/Partnership/LLP/ Company etc.), PAN and date of incorporation.

   ii. Name, address, PAN, email ids and phone numbers of Proprietor /all Promoters / Directors / Partners of **www.equitymantra.in/Equity Mantra**

along with their educational qualifications, experience in activities relating to advice in relating to various financial products.

iii. With respect to point (ii) above, provide details of association of the aforesaid Proprietor / all Promoters / Directors / Partners of **www.equitymantra.in/Equity Mantra** with any other proprietorship / partnership / LLP / company/ SEBI registered Intermediary along with the nature of the association and the date of inception of such association.

iv. Brief note on all activities / services / products offered by **www.equitymantra.in/Equity Mantra** since inception till date along with the date of commencement of the aforesaid activities/services/products.

v. With respect to above point, provide the information year-wise since inception till date in the following format:

Sr. No.	F.Y.	Client Name	Name of the Package/Service offered	Total amount of fee charged from the client during the F.Y.	Mode of receipt of payment from Client (cash deposit/ NEFT/ Payment gateway etc.)	Details of the advise

vi. List of all bank accounts maintained and highlight the bank accounts where advisory fees collected.

vii. List of payment gateways, the arrangement and copy of all the documents.

viii. Scheme details, pamphlets, brochures, promotional materials, etc., related to advisory activities.

ix. Details of any arrangement / agreement in connection with the advisory related activities and other relevant documents available.

x. Whether **www.equitymantra.in/Equity Mantra** ever been registered with any of the recognized Stock Exchanges / SEBI / other regulators in any capacity whatsoever? If yes, furnish the details thereof.

xi. A copy of the audited Annual Accounts of **www.equitymantra.in/Equity Mantra** for the last three financial years.

xii. A copy of account statement of all the bank accounts of **www.equitymantra.in/Equity Mantra** pertaining to last three financial years.

Continuation Sheet

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

xiii. A copy of account statement of all the payment gateways used by **www.equitymantra.in/Equity Mantra** for receiving fees from clients for advisory/portfolio management services) from the date of operating to till date.

xiv. Details of all the websites of **www.equitymantra.in/Equity Mantra** and entity/persons responsible for hosting and maintaining the said websites.

xv. Any other relevant information that **www.equitymantra.in/Equity Mantra** may wish to submit.

3. You are advised to submit the aforesaid information along with supporting documents within 15 days from the date of receipt of this communication. The same information shall also be submitted through email to the undersigned.

Vinayak
email-vinayak@sebi.gov.in

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भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

(A)+ (A) (A)-

Search SEBI Website

Public Notice in respect of SEBI Settlement Scheme, 2022

Home » Intermediaries / Market Infrastructure Institutions » Recognised Intermediaries

Recognised Intermediaries

Search any Intermediaries across all types

Naresh Pal | Or | Search by registration no. * | --All Intermediaries--

No record(s) available.

177

Vinayak

From: Vivek Kamath <Vivek.Kamath@indusind.com>
Sent: 01/09/2022 11:30 AM
To: Vinayak
Cc: Namit.Trivedi; Safiya Ansari
Subject: Bank A/C Details Request-SEBI -- REQ4907966/ WO30636620
Attachments: 257303046719.xlsx

Dear Sir,

We acknowledge receipt of your mail seeking Bank statement of below account and submit as follows:

Sr. No.	Account Number	Account Name	Account Status	Account Opening Date
1	257303046719	EQUITY MANTRA Proprietor NARESH PAL	Dormant	02-08-2019

Statement of Account of aforesaid account for the period from Account Opening Date to till date is enclosed.

You are welcome to connect with me for any further requirement.

Vivek K Kamath
Head-Regulatory Notices and Audit Compliance
General Banking Operations (GBO)
IndusInd Bank Ltd
2nd Floor PNA House, Plot 57, Street 17, | MIDC, Near Datamatics | Andheri (E) | Mumbai-400 093
Tel : + 91 022 6877 9635 Cell : 9820061161 | email ID: vivek.kamath@indusind.com
www.indusind.com

IndusInd Bank

Registered Office: IndusInd Bank Limited, 2401 Gen. Thimmayya Road (Cantonment), Pune - 411 001, India.
Website: www.indusind.com | CIN: L65191PN1994PLC076333

From: Vinayak [mailto:Vinayak@sebi.gov.in]
Sent: 31 August 2022 14:29
To: Namit Trivedi <Namit.Trivedi@indusind.com>
Cc: Vivek Kamath <Vivek.Kamath@indusind.com>; Safiya Ansari <Ansari.Safiya@indusind.com>
Subject: RE: Bank A/C Details Request-SEBI -- REQ4907966/ WO30636620

*** This email originated from outside the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe ***

Dear Sir,

176

In respect of the trailing email, it is once requested to provide **current status and statement since inception** of A/c-257303046719 of EQUITY MANTRA PROPRIETOR : NARESH PAL held with your bank on an urgent basis **in excel format.**

Thanks and Regards
Vinayak

From: Vinayak
Sent: 14/03/2022 1:31 PM
To: 'Namit Trivedi'
Cc: Vivek Kamath; 'Safiya Ansari'
Subject: RE: Bank A/C Details Request-SEBI -- REQ4907966/ WO30636620

Dear Sir,

Kindly also provide **current status and statement** of A/c-257303046719 of EQUITY MANTRA PROPRIETOR : NARESH PAL held with your bank.

Thanks and Regards
Vinayak

175

Vinayak

From: Namit Trivedi <Namit.Trivedi@indusind.com>
Sent: 19/08/2020 5:45 PM
To: Vinayak
Cc: suniti.bhat; Kalaivani Rajasekar
Subject: Bank A/C Details Request-SEBI
Attachments: 257303046719.pdf; 257303046719-AOF.pdf; 257303046719-Address.pdf; 257303046719-PAN.pdf; 257303046719-GST.pdf

Dear Sir,

We acknowledge receipt of your mail dated 18-08-2020 and We submit details of the following account as sought by you in your mail:

Sl.No	Account Number	Account Name	Account Type	Account Open Date
1	257303046719	EQUITY MANTRA PROPRIETOR : NARESH PAL	Current A/C	02-08-2019

Statement of the aforesaid account for the period 02-08-2019 to till date is attached.

Account opening form and KYC documents of the aforesaid customer account is attached.

You are welcome to contact for any clarification or support.

Regards

Namit Trivedi
Head – Processes & Projects
General Banking Operations (GBO)
IndusInd Bank Ltd. | 721, Solitaire Corporate Park | Chakala | Andheri (E) | Mumbai-400 093 |
Cell : 9920890284 | Fax: +91 22 6641 2458 | email: namit.trivedi@indusind.com

-----Original Message-----
From: Vinayak [mailto:Vinayak@sebi.gov.in]
Sent: 18 August 2020 16:28
To: Namit Trivedi
Cc: DINESH JOSHI
Subject: Bank A/C Details Request-SEBI

*** This email originated from outside the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe ***

Dear Sir,

174

This is in respect of a complaint received by SEBI against the entity Equity Mantra, it is hereby requested to provide the bank statements in Excel Format having the detailed narrations of all the transactions since inception till date for the below mentioned bank account latest by August 25, 2020:

Entity Name: Equity Mantra

Account No.: 257303046719

IFSC: INDB0000044

Also, provide scanned copies of all the available KYC and client information related documents viz. Address/ID proofs, copy of PAN etc. of the aforementioned entity.

Regards/सादरधन्यवाद,
Vinayak / विनायक
Assistant Manager(सहायक प्रबंधक),
Northern Regional Office (उत्तरी प्रादेशिक कार्यालय)
Securities and Exchange Board of India/भारतीय प्रतिभूति और विनिमय बोर्ड
e-mail: vinayak@sebi.gov.in<mailto:deepika@sebi.gov.in>

****
This Email and files transmitted are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you have received this Email in error please notify the sender. Please also simultaneously disregard the contents herein, delete this email and destroy any physical copies, if created. Please note that any views or opinions presented in this Email are solely those of the author and do not necessarily represent those of IndusInd Bank Ltd. We have put efforts into ensuring that the message is error-free and virus-free. Unfortunately, full security of the email cannot be ensured as, despite our efforts, the data included in emails could be infected, intercepted or corrupted or carry malicious, spam or defamatory contents. Therefore the recipient should check the email for threats with proper software, as IndusInd Bank Limited does not accept liability for any damage inflicted by any virus transmitted or by viewing contents of this email.
****

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IndusInd Bank

MOST IMPORTANT DOCUMENT

DIGITAL CURRENT ACCOUNT OPENING

Date: 02/07/2019 Application No: 2000085124 Bar Code: 0553040783

Account No: 257303046719

Entity Name: EQUITY MANTRA

PRODUCT DETAILS

Product Variant*	Minimum Balance/ Transaction Requirement* Please Provide Complete details. Example 1) AMB Requirement for Gold variant - ₹50,000 per month 2) QTP Requirement for EXIM Basic - USD20,000 equivalent	Non Maintenance/ Transaction Charges* (₹)
Indus Silver	25000/- AMB	AS PER SOC

*Mandatory Fields. "AMB-Average Month's Balance, QTP-Quarterly Throughput

DECLARATION

I/ We confirm that I/ We have read the Terms & Conditions at www.indusind.com which detail the rules governing account operations. I/ We am/ are in possession of the Schedule of Charges which specifies the charges applicable for various services and the conditions applicable for offering product wise free limits on specified transactions. I/ We have understood the same and I/ We agree to abide by am/ be bound by the terms as are in force from time to time for the account. I/ We further agree to indemnify IndusInd Bank and their successors or assignees if any of the representation and declarations mandatory hereunder by me/ us is incorrect, false or misleading in any of its particulars. I/ We hereby confirm and provide our consent to open our Current Account with IndusInd Bank Ltd. I/ We further confirm that no cash was handed over to the Sales Executive and the cheque was crossed and drawn payable to IndusInd Bank Ltd.

I/ We declare that I/ We enjoy credit facility ☐ Yes ☑ No

Bank Name _____ Branch Address _____

Type of Facility _____ Amount of Facility _____

AUTHORISED SIGNATORY

Authorised Signatory-1

Existing Customer: ☑ Yes ☐ No

If Yes, Customer ID: 38111257

Name: MAHESH PAL

Mobile No: 7303046719

CKYC ID:

E-Mail ID: EQUITYMANTRA18@gmail.com

AS PER CIF ID 38111257

FOR EQUITY MANTRA 01/21 GTM Proprietor

Authorised Signatory-2

Existing Customer: ☐ Yes ☐ No

If Yes, Customer ID:

Name:

Mobile No:

CKYC ID:

E-Mail ID:

Authorised Signatory-3

Existing Customer: ☐ Yes ☐ No

If Yes, Customer ID:

Name:

Mobile No:

CKYC ID:

E-Mail ID:

Authorised Signatory-4

Existing Customer: ☐ Yes ☐ No

If Yes, Customer ID:

Name:

Mobile No:

CKYC ID:

E-Mail ID:

BANK USE ONLY

I confirm having met with the customer at ☐ Residence ☑ Office and I hereby confirm that I have verified the copies with the original and customer's details including all documents have been signed in my presence. All documents of KYC are complete and match the details provided in AOF. I hereby certify that the above information is true.

Sourcing Executive ECN: 7112516

Original Seen and Verified

Name of Customer: SHAHEER KHAN

ECN: 711516

Date: 02/07/2019

Sajeev Kumar 711516

REDMI NOTE 6 PRO
MI DUAL CAMERA

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IndusInd Bank

FATCA - CRS DECLARATION FORM

Entity Type: Financial / Non-Financial ✓ GIIN No. _____

Country of Incorporation: INDIA City of Incorporation: NEW DELHI

1. I/ We declare that the Entity is tax resident of any country other than India: Yes / No ✓ (If Yes, please fill Part A & B)

2. The Controlling Person/Ultimate Beneficial Owner/ Proprietor is tax resident of any country other than India: Yes / No (If Yes, please fill Part C) (Not applicable for active Non-financial entity)

Part A (To be filled if Yes is declared for the above statements except for Proprietorship Customer)

Customer Identification No. _____ Issuing Country _____

Address used for Tax Purpose/ reported to Tax Authorities in foreign country:

Registered / Communication / Business / Other (if business or other, provide the address)

Address _____

Details of Country/ ies in which the entity is resident for tax purpose and the associated Tax ID number:

Country	Tax Identification Number (or equivalent)	Identification Type (TIN or Other please specify)

Part B (To be filled by Non-Financial entities)

Entity is: Traded in Stock Exchange / Subsidiary of listed company / Controlled by a listed company / Not Listed

Name of the listed company _____ Name of the stock exchange _____ Type of Non-Financial Entity: Active / Passive

PART C (to be filled by Passive Non Financial Entities for Controlling Person and Proprietor, use additional form for any additional controlling person or beneficial owners)

Name* _____ Date of Birth _____

Country of Tax Residency* _____ % Beneficial Interest _____

PAN _____ Fathers Name _____

Residence Address _____

*Name of Controlling Person/ Ultimate Beneficial Owner/ Proprietor *Address reported/ updated with Tax authorities

Details of Country/ ies in which the controlling person is resident for tax purpose and the associated Tax ID number:

Country	Tax Identification Number (or equivalent)	Identification Type (TIN or Other please specify)

Country of Birth _____ City of Birth _____ Nationality _____

Occupation Type: Service / Business / Other Identification type: Passport / DL / PAN / Gov ID Card / Other

FATCA CRS Terms and Condition

The Central Board of Direct Taxes has notified on 7th August 2015 Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies/ withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Certification

I have understood the information requirements of this Form and hereby confirm that the information provided by me on this form is True, Correct, and complete. I further confirm that I have read and understood the FATCA-CRS Terms and Conditions and General declarations with regard to a/c opening and hereby accept the same.

Original Seen & Verified
For IndusInd Bank
IPV _____
Date 02/08/2019

Date: 02/08/2019 Place: DELHI

For EQUITY MANTRA

Proprietor

Signature Signature Signature Signature

(17)

भारत सरकार
Government of India



नरेश पाल
Naresh Pal
जन्म तिथि/DOB: 15/07/1983
पुरुष/ MALE

2927 1128 0047

मेरा आधार, मेरी पहचान

-नरेश पाल

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भारतीय विशिष्ट पहचान प्राधिकरण

Unique Identification Authority of India

पता:
S/O: धनीराम, तहसील कासगंज, नगला मोहन/बदनपुर, गोयती, कासगंज,
उत्तर प्रदेश - 207403

Address:
S/O: Dhaniram, tahseel kasganj, Nagla Mohan/badanpur, Goyati, Kasganj,
Uttar Pradesh - 207403

QR Code with Photograph

2927 1128 0047

help@uidai.gov.in

www.uidai.gov.in

(19)

आयकर विभाग भारत सरकार

INCOME TAX DEPARTMENT GOVT. OF INDIA

स्थायी लेखा संख्या कार्ड

Permanent Account Number Card

DPDPP1122R

नाम/ Name

NARESH PAL

पिता का नाम/ Father's Name

DHANI RAM

नरेश पाल

जन्म की तारीख/ Date of Birth

15/07/1983

हस्ताक्षर/ Signature



12042017

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Government of India

Form GST REG-06

[See Rule 10(1)]

Registration Certificate

Registration Number : 07DPDPP1122R2ZK

1.	Legal Name	NARESH PAL			
2.	Trade Name, if any	EQUITY MANTRA			
3.	Constitution of Business	Proprietorship			
4.	Address of Principal Place of Business	G F OLD NO -1205, 2602-2603, NAYA BAZAR, NAI BASTI, CHANDANI CHOWK, North Delhi, Delhi, 110006			
5.	Date of Liability				
6.	Period of Validity	From	20/12/2018	To	NA
7.	Type of Registration	Regular			
8.	Particulars of Approving Authority				

Signature

Signature valid

Digitally signed by GOODS AND SERVICES TAX NETWORK

Date: 2018.12.20 09:30:28 IST

Name	
Designation	
Jurisdictional Office	
9 Date of issue of Certificate	20/12/2018

Note: The registration certificate is required to be prominently displayed at all places of business in the State.

This is a system generated digitally signed Registration Certificate issued based on the deemed approval of application on 20/12/2018 .

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Annexure A

GSTIN	07DPDPP1122R2ZK
Legal Name	NARESH PAL
Trade Name, if any	EQUITY MANTRA

Details of Additional Places of Business

Total Number of Additional Places of Business in the State 0

166

Annexure B

GSTIN	07DPDPP1122R2ZK
Legal Name	NARESH PAL
Trade Name, if any	EQUITY MANTRA

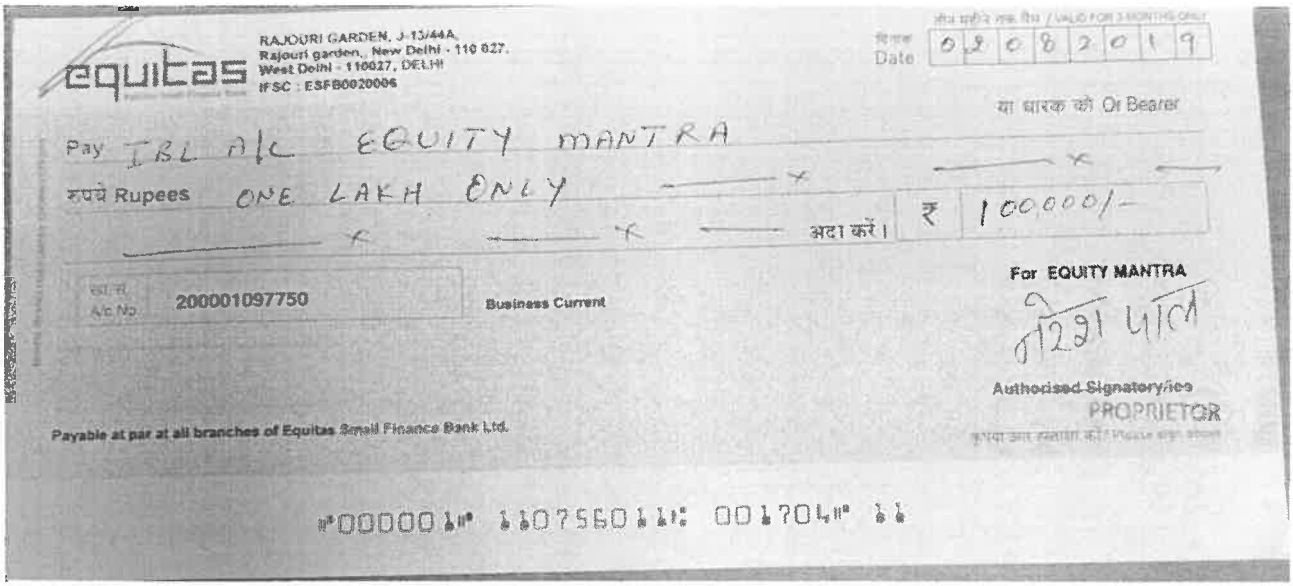
Details of Proprietor

1



Name	NARESH PAL
Designation/Status	PROPRIETOR
Resident of State	Uttar Pradesh

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equitas

RAJOURI GARDEN, J-13/44A,
Rajouri garden, New Delhi - 110 027.
West Delhi - 110027, DELHI
IFSC : ESFB0020006

दिनांक Date 0 2 0 8 2 0 1 9

या धारक को Or Bearer

Pay IBL A/C EQUITY MANTRA

रुपये Rupees ONE LAKH ONLY अदा करें। ₹ 100000/-

For EQUITY MANTRA

Authorised Signatory/ies
PROPRIETOR

A/c No. 200001097750 Business Current

Payable at par at all branches of Equitas Small Finance Bank Ltd.

"000001" 110756011: 001704" 11

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ACCOUNT NO.	ACCOUNT NAME	VALUE DATE	TRAN PARTICULAR	CREDIT AMOUNT	BALANCE AMOUNT
257303046719	EQUITY MANTRA	27-Aug-19	IMPS/P2A/923912326975/9532/000281400003122	1.67	1.67
257303046719	EQUITY MANTRA	29-Aug-19	UPI/924120070340/CR/MAHE/KKBK/9255500709@ybl/Payme	5000	5001.67
257303046719	EQUITY MANTRA	29-Aug-19	UPI/924130086302/CR/MAHE/KKBK/9255500709@ybl/Payme	900	5901.67
257303046719	EQUITY MANTRA	29-Aug-19	Initial Funding Credit - 1-2800085124	100000	105901.67
257303046719	EQUITY MANTRA	04-Sep-19	IMPS/P2A/924713730087/9002/00000020318189044	435	97192.85
257303046719	EQUITY MANTRA	06-Sep-19	IMPS/P2A/924910599735/9002/00000020318189044	1400	48592.85
257303046719	EQUITY MANTRA	06-Sep-19	IMPS/P2A/924912755559/9229/005501521135	500	19092.85
257303046719	EQUITY MANTRA	06-Sep-19	IMPS/P2A/924913699846/9002/00000020318189044	900	19992.85
257303046719	EQUITY MANTRA	07-Sep-19	NEFT/BKIDN19250430489/MOHD AMEEN MOHAD ASIF	5000	7992.85
257303046719	EQUITY MANTRA	09-Sep-19	NEFT/BKIDN19252577760/MOHD AMEEN MOHAD ASIF	5000	12992.85
257303046719	EQUITY MANTRA	10-Sep-19	IMPS/P2A/925312457214/9753/1041225790	1	12993.85
257303046719	EQUITY MANTRA	10-Sep-19	IMPS/P2A/925312456357/9753/1041225790	5000	17993.85
257303046719	EQUITY MANTRA	10-Sep-19	IMPS/P2A/925312456364/9753/1041225790	5000	22993.85
257303046719	EQUITY MANTRA	11-Sep-19	UPI/925417566447/CR/SAKT/SBIN/vel.m8504@oksbi/Tran	5000	6993.85
257303046719	EQUITY MANTRA	12-Sep-19	UPI/925512345476/CR/VIKK/SBIN/kikumar1725@sbi/Pay	1000	7993.85
257303046719	EQUITY MANTRA	13-Sep-19	IMPS/P2A/925617304323/9211/916010059976272	5505	13498.85
257303046719	EQUITY MANTRA	14-Sep-19	UPI/925713928196/CR/SAKT/SBIN/8072279348@ybl/Payme	5000	5498.85
257303046719	EQUITY MANTRA	16-Sep-19	UPI/925910046557/CR/NAND/SBIN/shore1965@oksbi/UPI	2000	7498.85
257303046719	EQUITY MANTRA	16-Sep-19	CASH DEPOSIT BRANCH	2000	9498.85
257303046719	EQUITY MANTRA	16-Sep-19	NEFT/CITIN19032777017/PAYU PAYMENTS PRIVATE LTDNOD	11792.96	21291.81
257303046719	EQUITY MANTRA	17-Sep-19	UPI/926044607520/CR/NEER/SBIN/9907544414@ybl/Payme	2100	23391.81
257303046719	EQUITY MANTRA	17-Sep-19	UPI/926056650267/CR/NEER/SBIN/9907544414@ybl/Payme	10000	33391.81
257303046719	EQUITY MANTRA	17-Sep-19	UPI/926014732438/CR/CHET/CITI/8088717227@ybl/Payme	2000	35391.81
257303046719	EQUITY MANTRA	17-Sep-19	UPI/926051836335/CR/RAHU/KKBK/8109677777@ybl/Payme	2100	37491.81
257303046719	EQUITY MANTRA	18-Sep-19	UPI/926110744443/CR/MANI/SBIN/9753868780@ybl/Payme	3000	40491.81
257303046719	EQUITY MANTRA	18-Sep-19	CASH DEPOSIT	5000	45491.81
257303046719	EQUITY MANTRA	18-Sep-19	UPI/926145311708/CR/NEER/CNRB/9907544414@ybl/Payme	5000	50491.81
257303046719	EQUITY MANTRA	18-Sep-19	UPI/926164403196/CR/NEER/CNRB/9907544414@ybl/Payme	5060	55551.81
257303046719	EQUITY MANTRA	18-Sep-19	NEFT/CITIN19033596039/PAYU PAYMENTS PRIVATE LTDNOD	29483.38	85035.19
257303046719	EQUITY MANTRA	18-Sep-19	NEFT/AXMB192610488661/KRUNA CHAUHAN	1000	86035.19
257303046719	EQUITY MANTRA	19-Sep-19	UPI/926234110466/CR/NARE/PUNB/230061661@paytm/NA	2000	88035.19
257303046719	EQUITY MANTRA	19-Sep-19	UPI/926237983044/CR/NARE/PUNB/230061661@paytm/NA	2500	90535.19
257303046719	EQUITY MANTRA	19-Sep-19	UPI/926239795852/CR/CHET/CNRB/8088717227@ybl/Payme	2500	93035.19
257303046719	EQUITY MANTRA	19-Sep-19	NEFT/AXMB192620721749/KRUNA CHAUHAN	2000	95035.19
257303046719	EQUITY MANTRA	20-Sep-19	UPI/926311774543/CR/ARVI/HDFC/d162@okhdfcbank/Tran	3000	98035.19
257303046719	EQUITY MANTRA	20-Sep-19	UPI/926311701474/CR/SATY/SBIN/9506281476@upi/smile	2100	100135.19
257303046719	EQUITY MANTRA	20-Sep-19	UPI/926312747178/CR/SATY/SBIN/9506281476@upi/NO RE	20000	120135.19
257303046719	EQUITY MANTRA	20-Sep-19	UPI/926312749530/CR/SATY/SBIN/9506281476@upi/NO RE	10000	130135.19
257303046719	EQUITY MANTRA	20-Sep-19	UPI/926317245920/CR/ARVI/HDFC/d162@okhdfcbank/Tran	12000	142135.19
257303046719	EQUITY MANTRA	20-Sep-19	NEFT/CITIN19034540222/PAYU PAYMENTS PRIVATE LTDNOD	4953.28	147088.47
257303046719	EQUITY MANTRA	21-Sep-19	UPI/926413810370/CR/SUSH/HDFC/am95@okhdfcbank/Tr	3500	150588.47
257303046719	EQUITY MANTRA	23-Sep-19	UPI/926633968711/CR/NARE/PUNB/230061661@paytm/NA	3000	148685.47
257303046719	EQUITY MANTRA	23-Sep-19	IMPS/P2A/926611884092/9485/1713072612	3500	152185.47
257303046719	EQUITY MANTRA	23-Sep-19	UPI/926639163604/CR/LAMB/CNRB/8600118579@ybl/Payme	2100	154285.47
257303046719	EQUITY MANTRA	23-Sep-19	UPI/926651762806/CR/RAJE/SBIN/rajtailor1@ybl/Payme	3000	157285.47
257303046719	EQUITY MANTRA	23-Sep-19	NEFT/CITIN19035304770/PAYU PAYMENTS PRIVATE LTDNOD	75134.96	232420.43
257303046719	EQUITY MANTRA	24-Sep-19	IMPS/P2A/926709670721/9485/1713072612	5000	151420.43
257303046719	EQUITY MANTRA	24-Sep-19	IMPS/P2A/926716213321/9229/328301000218	44100	195520.43
257303046719	EQUITY MANTRA	25-Sep-19	CASH DEPOSIT BRANCH 0450-DOMBIVALI	500	174165.43
257303046719	EQUITY MANTRA	25-Sep-19	IMPS/P2A/926813494950/9024/6738000100094981	2500	176665.43
257303046719	EQUITY MANTRA	25-Sep-19	NEFT/CITIN19036228643/PAYU PAYMENTS PRIVATE LTDNOD	102905.73	269571.16
257303046719	EQUITY MANTRA	25-Sep-19	UPI/926817384480/CR/WIRE/SBIN/32854153@okaxis/UPI	10000	279571.16
257303046719	EQUITY MANTRA	26-Sep-19	UPI/926909835181/CR/TAPA/KKBK/apash56-3@oksbi/Adva	1000	192020.16
257303046719	EQUITY MANTRA	26-Sep-19	UPI/926909086969/CR/SAND/ICIC/bhingle@okicici/Regi	3000	195020.16
257303046719	EQUITY MANTRA	26-Sep-19	IMPS/P2A/926910849857/9002/30550258971	3000	198020.16
257303046719	EQUITY MANTRA	26-Sep-19	IMPS/P2A/926913342716/9240/50100059251567	7000	205020.16
257303046719	EQUITY MANTRA	26-Sep-19	NEFT/CITIN19036936372/PAYU PAYMENTS PRIVATE LTDNOD	27454.42	232474.58
257303046719	EQUITY MANTRA	27-Sep-19	UPI/927012032241/CR/MANG/BKID/sh127990@okaxis/Equi	3500	122705.58
257303046719	EQUITY MANTRA	27-Sep-19	CASH DEPOSIT BRANCH	7000	129705.58
257303046719	EQUITY MANTRA	28-Sep-19	UPI/927112719552/CR/TANP/SRCB/abhishek@okaxis/equt	3000	122705.58
257303046719	EQUITY MANTRA	28-Sep-19	UPI/927113092746/CR/DINE/PUNB/h198967@okicici/Rege	5500	128205.58
257303046719	EQUITY MANTRA	30-Sep-19	UPI/927310301414/CR/SUNN/BARB/nyca272@okicici/Dgj	2100	118305.58
257303046719	EQUITY MANTRA	30-Sep-19	CASH DEPOSIT BRANCH 0450-DOMBIVALI	1000	119305.58
257303046719	EQUITY MANTRA	30-Sep-19	IMPS/P2A/927313701300/9485/304010026877	10000	129305.58
257303046719	EQUITY MANTRA	30-Sep-19	IMPS/P2A/927318564920/9002/30550258971	2000	116305.58
257303046719	EQUITY MANTRA	30-Sep-19	NEFT/CITIN19039783866/PAYU PAYMENTS PRIVATE LTDNOD	132892.93	249198.51
257303046719	EQUITY MANTRA	01-Oct-19	UPI/927422812413/CR/MD F/UTIB/7208918152@ybl/Payme	2000	251198.51
257303046719	EQUITY MANTRA	01-Oct-19	UPI/927411451735/CR/ARVI/HDFC/d162@okhdfcbank/Tran	10000	259698.51

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257303046719	EQUITY MANTRA	01-Oct-19	UPI/927411072070/CR/BALL/SBIN/9966253637@sbi/rohit	2000	261698.51
257303046719	EQUITY MANTRA	01-Oct-19	UPI/927413103962/CR/PRAJ/SBIN/9033610881@upi/NO RE	2000	263698.51
257303046719	EQUITY MANTRA	01-Oct-19	UPI/927417363682/CR/SHRE/HDFC/sh00@okhdfcbank/Mtrf	3000	261698.51
257303046719	EQUITY MANTRA	02-Oct-19	UPI/927513696793/CR/ARVI/HDFC/d162@okhdfcbank/Tran	18200	259898.51
257303046719	EQUITY MANTRA	02-Oct-19	UPI/927513499721/CR/DINE/PUNB/h198967@okicici/Serv	7000	266898.51
257303046719	EQUITY MANTRA	03-Oct-19	UPI/927636099501/CR/DEEP/SBIN/uptadeepak7@ybl/Paym	2000	268898.51
257303046719	EQUITY MANTRA	03-Oct-19	NEFT/CITIN19041777261/PAYU PAYMENTS PRIVATE LTDNOD	110144.76	379043.27
257303046719	EQUITY MANTRA	04-Oct-19	UPI/927710032939/CR/PREM/KKBK/9212888821@ybl/Prem	3200	382243.27
257303046719	EQUITY MANTRA	04-Oct-19	UPI/927733428164/CR/SYED/SBIN/9572763924@ybl/Payme	5000	387243.27
257303046719	EQUITY MANTRA	04-Oct-19	CASH DEPOSIT BRANCH 0450-DOMBIVALI	2000	389243.27
257303046719	EQUITY MANTRA	04-Oct-19	UPI/927714110326/CR/SHIV/SBIN/9305728506@sbi/Pay t	10000	399243.27
257303046719	EQUITY MANTRA	04-Oct-19	UPI/927714716150/CR/ROHI/SBIN/7217834487@ybl/Payme	5000	404243.27
257303046719	EQUITY MANTRA	04-Oct-19	UPI/927751339451/CR/ROHI/SBIN/7217834487@ybl/Payme	15000	369243.27
257303046719	EQUITY MANTRA	07-Oct-19	IMPS/P2A/928016018473/9229/629701526181	2000	50375.27
257303046719	EQUITY MANTRA	07-Oct-19	NEFT/CITIN19043837284/PAYU PAYMENTS PRIVATE LTDNOD	91318.78	141694.05
257303046719	EQUITY MANTRA	09-Oct-19	UPI/928210288327/CR/SUNN/BARB/nyca272@okicici/Scb	2000	143694.05
257303046719	EQUITY MANTRA	09-Oct-19	UPI/928217692416/CR/SHRI/SBIN/evanpalli@oksbi/UPI	6000	141953.55
257303046719	EQUITY MANTRA	10-Oct-19	UPI/928330455407/CR/LAMB/CNRB/8600118579@ybl/Payme	10000	121953.55
257303046719	EQUITY MANTRA	10-Oct-19	UPI/928311279033/CR/SUNN/BARB/nyca272@okicici/Sk	10000	123453.55
257303046719	EQUITY MANTRA	10-Oct-19	UPI/928312126038/CR/TAPA/UTBI/apash56-2@oksbi/UPI	2000	125453.55
257303046719	EQUITY MANTRA	10-Oct-19	UPI/928376307117/CR/SAJI/HDFC/9975378633@ybl/Sajid	1500	126953.55
257303046719	EQUITY MANTRA	11-Oct-19	UPI/928436290691/CR/LAMB/CNRB/8600118579@ybl/Payme	3000	13953.55
257303046719	EQUITY MANTRA	11-Oct-19	NEFT/CITIN19045905534/PAYU PAYMENTS PRIVATE LTDNOD	31304.74	43178.29
257303046719	EQUITY MANTRA	14-Oct-19	UPI/928718610714/CR/LAMB/CNRB/8600118579@ybl/Payme	2000	28028.29
257303046719	EQUITY MANTRA	14-Oct-19	UPI/928724566550/CR/ABDU/UBIN/7358155726@ybl/Marke	1000	29028.29
257303046719	EQUITY MANTRA	09-Oct-19	Refund Frm INDRAPRASTHA SERVICE S	25.05	29053..
257303046719	EQUITY MANTRA	14-Oct-19	NEFT/CITIN19046612412/PAYU PAYMENTS PRIVATE LTDNOD	24952.88	54006.22
257303046719	EQUITY MANTRA	14-Oct-19	UPI/928718556835/CR/TAPA/UTBI/apash56-2@oksbi/Serv	3000	57006.22
257303046719	EQUITY MANTRA	16-Oct-19	NEFT/CITIN19047690775/PAYU PAYMENTS PRIVATE LTDNOD	48599.33	55605.55
257303046719	EQUITY MANTRA	17-Oct-19	UPI/929035278443/CR/BIND/SYNB/718907954@paytm/NA	1000	6605.55
257303046719	EQUITY MANTRA	17-Oct-19	NEFT/BCBMH19289376112/DILIP DAULAT SARKATE	2500	9105.55
257303046719	EQUITY MANTRA	17-Oct-19	UPI/929024536599/CR/YOGE/UTIB/7677547729@ybl/Payme	3500	12605.55
257303046719	EQUITY MANTRA	17-Oct-19	NEFT/CITIN19048275589/PAYU PAYMENTS PRIVATE LTDNOD	9776.69	22382.24
257303046719	EQUITY MANTRA	18-Oct-19	IMPS/P2A/929114748695/9002/30550258971	2500	2882.24
257303046719	EQUITY MANTRA	19-Oct-19	UPI/929248332028/CR/SUSH/HDFC/9860572330@ybl/Tr	10000	10382.24
257303046719	EQUITY MANTRA	22-Oct-19	UPI/929542147786/CR/YOGE/UTIB/7677547729@ybl/Payme	10000	10382.24
257303046719	EQUITY MANTRA	22-Oct-19	NEFT/CITIN19049943377/PAYU PAYMENTS PRIVATE LTDNOD	70979.16	81361.4
257303046719	EQUITY MANTRA	23-Oct-19	UPI/929609384119/CR/LAMB/CNRB/8600118579@ybl/Payme	5000	45361.4
257303046719	EQUITY MANTRA	23-Oct-19	CASH DEPOSIT BRANCH	5000	50361.4
257303046719	EQUITY MANTRA	23-Oct-19	NEFT/CITIN19050464695/PAYU PAYMENTS PRIVATE LTDNOD	4882	55243.4
257303046719	EQUITY MANTRA	24-Oct-19	UPI/929709863174/CR/SUSH/HDFC/9860572330@ybl/Tr	15000	70243.4
257303046719	EQUITY MANTRA	24-Oct-19	UPI/929710605321/CR/SUMI/UTIB/6203887294@upi/sumit	5000	75243.4
257303046719	EQUITY MANTRA	24-Oct-19	UPI/929722391218/CR/YOGE/UTIB/7677547729@ybl/Payme	11000	86243.4
257303046719	EQUITY MANTRA	24-Oct-19	NEFT/CITIN19051129525/PAYU PAYMENTS PRIVATE LTDNOD	1	46244.4
257303046719	EQUITY MANTRA	28-Oct-19	NEFT/CITIN19052203744/PAYU PAYMENTS PRIVATE LTDNOD	12738.12	13982.52
257303046719	EQUITY MANTRA	29-Oct-19	NEFT/CITIN19053149086/PAYU PAYMENTS PRIVATE LTDNOD	210253.1	224235.62
257303046719	EQUITY MANTRA	30-Oct-19	NEFT/1834036534/SANDEEP MADHAVARAO RATKAL	10000	234235.62
257303046719	EQUITY MANTRA	30-Oct-19	NEFT/1834226657/SANDEEP MADHAVARAO RATKAL	15000	249235.62
257303046719	EQUITY MANTRA	31-Oct-19	IMPS/P2A/930413096506/HDFC	125000	249235..
257303046719	EQUITY MANTRA	31-Oct-19	IMPS/P2A/930413096593/HDFC	125000	249235.62
257303046719	EQUITY MANTRA	01-Nov-19	NEFT/N305190968850981/GOUR ENGINEERS ALLIED	2100	51335.62
257303046719	EQUITY MANTRA	01-Nov-19	CASH DEPOSIT BRANCH	10000	61335.62
257303046719	EQUITY MANTRA	01-Nov-19	CASH DEPOSIT BRANCH	15000	76335.62
257303046719	EQUITY MANTRA	02-Nov-19	CASH DEPOSIT BRANCH	10000	76335.62
257303046719	EQUITY MANTRA	04-Nov-19	IMPS/P2A/930814681045/9229/197401500757	12000	70835.62
257303046719	EQUITY MANTRA	04-Nov-19	NEFT/CITIN19057441103/PAYU PAYMENTS PRIVATE LTDNOD	15721.69	86557.31
257303046719	EQUITY MANTRA	05-Nov-19	UPI/930909926878/CR/SUSH/HDFC/am95@okhdfcbank/Tr	10440	96997.31
257303046719	EQUITY MANTRA	05-Nov-19	IMPS/P2A/930912474016/9229/197401500757	25000	121997.31
257303046719	EQUITY MANTRA	05-Nov-19	UPI/930914496920/CR/MAHE/BKID/1989@okhdfcbank/UPI	5000	126997.31
257303046719	EQUITY MANTRA	06-Nov-19	UPI/931016702943/CR/PRAF/SBIN/a.varma89@oksbi/UPI	2100	129097.31
257303046719	EQUITY MANTRA	06-Nov-19	UPI/931076254197/CR/ABDU/SBIN/7358155726@ybl/equit	2000	131097.31
257303046719	EQUITY MANTRA	07-Nov-19	UPI/931110745123/CR/MAHE/BKID/1989@okhdfcbank/UPI	5000	136097.31
257303046719	EQUITY MANTRA	07-Nov-19	UPI/931115333356/CR/MANO/HDFC/te91@okhdfcbank/UPI	5000	141097.31
257303046719	EQUITY MANTRA	07-Nov-19	NEFT/CITIN19059551139/PAYU PAYMENTS PRIVATE LTDNOD	4882	145979.31
257303046719	EQUITY MANTRA	08-Nov-19	UPI/931210323844/CR/MANO/HDFC/te91@okhdfcbank/UPI	3500	149479.31
257303046719	EQUITY MANTRA	08-Nov-19	UPI/931210465539/CR/ARVI/HDFC/d162@okhdfcbank/Tran	5000	154479.31
257303046719	EQUITY MANTRA	08-Nov-19	IMPS/P2A/931211313339/9485/304010026877	10000	164479.31
257303046719	EQUITY MANTRA	08-Nov-19	NEFT/CITIN19060070300/PAYU PAYMENTS PRIVATE LTDNOD	4127.24	168606.55
257303046719	EQUITY MANTRA	11-Nov-19	UPI/931515294691/CR/MANO/HDFC/te91@okhdfcbank/UPI	2500	140066.55

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257303046719	EQUITY MANTRA	11-Nov-19	NEFT/CITIN19060831912/PAYU PAYMENTS PRIVATE LTDNOD	65010.66	205077.21
257303046719	EQUITY MANTRA	14-Nov-19	NEFT/CITIN19062420916/PAYU PAYMENTS PRIVATE LTDNOD	35712.8	40790.01
257303046719	EQUITY MANTRA	14-Nov-19	UPI/931818539189/CR/SUBH/UTIB/ajisakri@okaxis/Adva	1000	41790.01
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931912355828/CR/SUBH/UTIB/ajisakri@okaxis/Adva	1000	12790.01
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931915837682/CR/SAND/KKBK/ay73@okhdfcbank/UPI	3000	15790.01
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931916118906/CR/MANO/ICIC/jmate91@okicici/UPI	500	16290.01
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931916120858/CR/SAND/KKBK/ay73@okhdfcbank/UPI	2000	18290.01
257303046719	EQUITY MANTRA	15-Nov-19	NEFT/CITIN19062854992/PAYU PAYMENTS PRIVATE LTDNOD	2358.98	20648.99
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931917424412/CR/SAND/KKBK/ay73@okhdfcbank/UPI	5000	25648.99
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931919342296/CR/NAND/SBIN/shore1965@oksbi/UPI	100	25748.99
257303046719	EQUITY MANTRA	15-Nov-19	UPI/931920371164/CR/NAND/SBIN/shore1965@oksbi/UPI	1	25749.99
257303046719	EQUITY MANTRA	16-Nov-19	UPI/932010253621/CR/NAND/SBIN/shore1965@oksbi/UPI	9998	35747.99
257303046719	EQUITY MANTRA	16-Nov-19	UPI/932010266093/CR/NAND/SBIN/shore1965@oksbi/UPI	1	35748.99
257303046719	EQUITY MANTRA	18-Nov-19	NEFT/BARBT19322222533/VIJENDRA KANTILAL PATEL	2000	37748.99
257303046719	EQUITY MANTRA	18-Nov-19	NEFT/KKBK193221081833/PAYGATE INDIA PRIVATE LIMITE	12.83	37761.82
257303046719	EQUITY MANTRA	18-Nov-19	NEFT/CITIN19063698655/PAYU PAYMENTS PRIVATE LTDNOD	24926.52	62688.34
257303046719	EQUITY MANTRA	19-Nov-19	NEFT/KKBK193231347307/PAYGATE INDIA PRIVATE LIMITE	10849.67	64688.01
257303046719	EQUITY MANTRA	20-Nov-19	IMPS/P2A/932409045823/9761/919507209595	5000	9688.01
257303046719	EQUITY MANTRA	20-Nov-19	NEFT/KKBK193241556094/PAYGATE INDIA PRIVATE LIMITE	157222.25	166910.26
257303046719	EQUITY MANTRA	20-Nov-19	NEFT/PUNBH19324218014/KHAM CHAND SO OM PRAKASH	1500	168410.26
257303046719	EQUITY MANTRA	20-Nov-19	NEFT/CITIN19064486777/PAYU PAYMENTS PRIVATE LTDNOD	70032.3	238442.56
257303046719	EQUITY MANTRA	20-Nov-19	IMPS/P2A/932418866425/9002/00000031243583711	2000	240442.56
257303046719	EQUITY MANTRA	21-Nov-19	UPI/932509739297/CR/MANO/HDFC/te91@okhdfcbank/UPI	2000	202442.56
257303046719	EQUITY MANTRA	21-Nov-19	UPI/932548305161/CR/Mr N/CBIN/8234822694@ybl/Payme	800	203242.56
257303046719	EQUITY MANTRA	21-Nov-19	NEFT/CITIN19064920923/PAYU PAYMENTS PRIVATE LTDNOD	58584	261826.56
257303046719	EQUITY MANTRA	21-Nov-19	NEFT/KKBK193251741868/PAYGATE INDIA PRIVATE LIMITE	73982.25	335808.81
257303046719	EQUITY MANTRA	22-Nov-19	NEFT/KKBK193261931358/PAYGATE INDIA PRIVATE LIMITE	47105.94	382914.75
257303046719	EQUITY MANTRA	22-Nov-19	IMPS/P2A/932615041569/9211/080010100119443	2000	384914.75
257303046719	EQUITY MANTRA	22-Nov-19	UPI/932639080790/CR/VIKA/SYNB/451383258@paytm/NA	2000	386914.75
257303046719	EQUITY MANTRA	22-Nov-19	NEFT/CITIN19065492857/PAYU PAYMENTS PRIVATE LTDNOD	18844.52	405759.27
257303046719	EQUITY MANTRA	25-Nov-19	IMPS/P2A/932914096207/9229/026901548608	10000	415759.27
257303046719	EQUITY MANTRA	25-Nov-19	UPI/932940384446/CR/NAWA/KKBK/123916229@paytm/NA	1	415760.27
257303046719	EQUITY MANTRA	25-Nov-19	NEFT/KKBK193292338367/PAYGATE INDIA PRIVATE LIMITE	38471.76	454232.03
257303046719	EQUITY MANTRA	25-Nov-19	UPI/932940870651/CR/NAWA/UTIB/123916229@paytm/NA	10	454242.03
257303046719	EQUITY MANTRA	25-Nov-19	NEFT/CITIN19066214491/PAYU PAYMENTS PRIVATE LTDNOD	62712.22	516954.25
257303046719	EQUITY MANTRA	25-Nov-19	NEFT/CITIN19066218490/PAYU PAYMENTS PRIVATE LTDNOD	9764.98	526719.23
257303046719	EQUITY MANTRA	26-Nov-19	UPI/933009050680/CR/MANO/ICIC/jmate91@okicici/UPI	2700	506419.23
257303046719	EQUITY MANTRA	26-Nov-19	NEFT/KKBK193302556596/PAYGATE INDIA PRIVATE LIMITE	49321.5	420740.73
257303046719	EQUITY MANTRA	26-Nov-19	UPI/933034467743/CR/SYED/SBIN/9572763924@ybl/Payme	10000	430740.73
257303046719	EQUITY MANTRA	26-Nov-19	IMPS/P2A/933017665920/9211/080010100119443	4500	435240.73
257303046719	EQUITY MANTRA	27-Nov-19	UPI/933148475869/CR/SUSH/HDFC/9860572330@ybl/Tr	15000	450240.73
257303046719	EQUITY MANTRA	27-Nov-19	NEFT/KKBK193312725447/PAYGATE INDIA PRIVATE LIMITE	63531.99	513772.72
257303046719	EQUITY MANTRA	27-Nov-19	NEFT/CITIN19067159871/PAYU PAYMENTS PRIVATE LTDNOD	49852.5	563625.22
257303046719	EQUITY MANTRA	28-Nov-19	IMPS/P2A/933209865386/9002/00000031243583711	4500	568125.22
257303046719	EQUITY MANTRA	28-Nov-19	UPI/933211376531/CR/SUSH/HDFC/9860572330@ybl/Tr	20000	588125.22
257303046719	EQUITY MANTRA	28-Nov-19	NEFT/KKBK193323070105/PAYGATE INDIA PRIVATE LIMITE	12649.9	600775.12
257303046719	EQUITY MANTRA	28-Nov-19	NEFT/CITIN19067966080/PAYU PAYMENTS PRIVATE LTDNOD	14654.79	615429.91
257303046719	EQUITY MANTRA	29-Nov-19	NEFT/000057254130/LAXMAN RAM KASANA SO MAHADEO SIN	20000	635429.91
257303046719	EQUITY MANTRA	29-Nov-19	UPI/933342688003/CR/VIKA/SYNB/451383258@paytm/NA	4500	639929.91
257303046719	EQUITY MANTRA	01-Dec-19	IMPS/P2A/933514635210/9756/200001097750	1	639930.91
257303046719	EQUITY MANTRA	02-Dec-19	IMPS/P2A/933614038096/9485/304010026877	8000	647930.91
257303046719	EQUITY MANTRA	02-Dec-19	NEFT/CITIN19071399334/PAYU PAYMENTS PRIVATE LTDNOD	8056.95	590987.86
257303046719	EQUITY MANTRA	03-Dec-19	UPI/933709881997/CR/ANJU/PUNB/ma.sanjay19@ybl/Paym	3500	594487.86
257303046719	EQUITY MANTRA	03-Dec-19	UPI/933711147777/CR/SYED/SBIN/9572763924@ybl/Payme	20000	614487.86
257303046719	EQUITY MANTRA	03-Dec-19	NEFT/000058722631/LAXMAN RAM KASANA SO MAHADEO SIN	20000	634487.86
257303046719	EQUITY MANTRA	04-Dec-19	UPI/933808811785/CR/NAND/SBIN/shore1965@oksbi/UPI	1	609488.86
257303046719	EQUITY MANTRA	04-Dec-19	UPI/933810303447/CR/NAND/SBIN/shore1965@oksbi/UPI	50000	659488.86
257303046719	EQUITY MANTRA	04-Dec-19	IMPS/P2A/933813321144/9485/5611894123	2500	661988.86
257303046719	EQUITY MANTRA	04-Dec-19	NEFT/KKBK193384423255/PAYGATE INDIA PRIVATE LIMITE	56801.61	718790.47
257303046719	EQUITY MANTRA	04-Dec-19	UPI/933851623516/CR/MR I/SBIN/9981112205@ybl/Payme	6000	724790.47
257303046719	EQUITY MANTRA	04-Dec-19	NEFT/CITIN19072875001/PAYU PAYMENTS PRIVATE LTDNOD	50528.7	775319.17
257303046719	EQUITY MANTRA	04-Dec-19	UPI/933842164855/CR/SANJ/HDFC/814093199@paytm/NA	6500	781819.17
257303046719	EQUITY MANTRA	05-Dec-19	NEFT/000059502107/LAXMAN RAM KASANA SO MAHADEO SIN	15000	796819.17
257303046719	EQUITY MANTRA	05-Dec-19	NEFT/KKBK193394658722/PAYGATE INDIA PRIVATE LIMITE	1490.37	798309.54
257303046719	EQUITY MANTRA	05-Dec-19	NEFT/CITIN19073597788/PAYU PAYMENTS PRIVATE LTDNOD	171674.55	969984.09
257303046719	EQUITY MANTRA	06-Dec-19	IMPS/P2A/934010281528/9485/304010026877	12000	981984.09
257303046719	EQUITY MANTRA	06-Dec-19	NEFT/KKBK193404958015/PAYGATE INDIA PRIVATE LIMITE	1985.84	983969.93
257303046719	EQUITY MANTRA	06-Dec-19	NEFT/CITIN19074323276/PAYU PAYMENTS PRIVATE LTDNOD	116564.58	1100534.51
257303046719	EQUITY MANTRA	09-Dec-19	NEFT/KKBK193435524952/PAYGATE INDIA PRIVATE LIMITE	3920.07	1092954.58

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257303046719	EQUITY MANTRA	09-Dec-19	NEFT/CITIN19075416391/PAYU PAYMENTS PRIVATE LTDNOD	13949.82	1106904.4
257303046719	EQUITY MANTRA	10-Dec-19	UPI/934436464143/CR/ABBA/SBIN/9153524872@ybl/Payme	5000	1111904.4
257303046719	EQUITY MANTRA	10-Dec-19	UPI/934428399335/CR/MANO/SBIN/1315@ybl/Payment fro	20000	1131904.4
257303046719	EQUITY MANTRA	10-Dec-19	UPI/934460467449/CR/MANO/SBIN/1315@ybl/Equity mant	5000	1136904.4
257303046719	EQUITY MANTRA	11-Dec-19	UPI/934532745103/CR/VIKA/SYNB/451383258@paytm/NA	5000	1141904.4
257303046719	EQUITY MANTRA	11-Dec-19	NEFT/KKBK193456250015/PAYGATE INDIA PRIVATE LIMITE	4935.1	1125859.5
257303046719	EQUITY MANTRA	11-Dec-19	NEFT/KKBK193456249985/PAYGATE INDIA PRIVATE LIMITE	1985.84	1127845.34
257303046719	EQUITY MANTRA	11-Dec-19	NEFT/CITIN19076612213/PAYU PAYMENTS PRIVATE LTDNOD	5370.2	974827.54
257303046719	EQUITY MANTRA	11-Dec-19	IMPS/P2A/934518032772/9211/080010100119443	10000	984827.54
257303046719	EQUITY MANTRA	12-Dec-19	UPI/934634458650/CR/VIKA/SYNB/451383258@paytm/NA	5500	990327.54
257303046719	EQUITY MANTRA	12-Dec-19	NEFT/KKBK193466492092/PAYGATE INDIA PRIVATE LIMITE	43624.37	1033951.91
257303046719	EQUITY MANTRA	12-Dec-19	NEFT/KKBK193466492128/PAYGATE INDIA PRIVATE LIMITE	5960.61	1039912.52
257303046719	EQUITY MANTRA	12-Dec-19	UPI/934613341435/CR/SANT/IOBA/alange07@okaxis/Hi p	4190	1044102.52
257303046719	EQUITY MANTRA	12-Dec-19	UPI/934615403440/CR/SANT/IOBA/alange07@okaxis/UPI	810	1044912.52
257303046719	EQUITY MANTRA	12-Dec-19	UPI/934616890403/CR/SANT/IOBA/alange07@okaxis/Hi	5000	1049912.52
257303046719	EQUITY MANTRA	12-Dec-19	NEFT/CITIN19077372373/PAYU PAYMENTS PRIVATE LTDNOD	1	1049913.52
257303046719	EQUITY MANTRA	13-Dec-19	NEFT/KKBK193476751005/PAYGATE INDIA PRIVATE LIMITE	39616.72	621530.24
257303046719	EQUITY MANTRA	13-Dec-19	UPI/934760314718/CR/SHAR/SBIN/8904030788@ybl/Payme	1000	622530.24
257303046719	EQUITY MANTRA	16-Dec-19	UPI/935028304939/CR/ABBA/SBIN/9153524872@ybl/Payme	10299	432829.24
257303046719	EQUITY MANTRA	16-Dec-19	NEFT/KKBK193507026235/PAYGATE INDIA PRIVATE LIMITE	29669.84	462499.08
257303046719	EQUITY MANTRA	16-Dec-19	NEFT/CITIN19078500614/PAYU PAYMENTS PRIVATE LTDNOD	27135.58	489634.66
257303046719	EQUITY MANTRA	17-Dec-19	UPI/935108874285/CR/ABBA/SBIN/9153524872@ybl/Payme	10000	374634.66
257303046719	EQUITY MANTRA	17-Dec-19	IMPS/P2A/935113527773/9485/5513591755	2500	377134.66
257303046719	EQUITY MANTRA	17-Dec-19	NEFT/KKBK193517307368/PAYGATE INDIA PRIVATE LIMITE	4932.15	382066.81
257303046719	EQUITY MANTRA	17-Dec-19	UPI/935118473614/CR/PRAD/PUNB/p4596-1@okicici/UPI	25000	407066.8
257303046719	EQUITY MANTRA	18-Dec-19	NEFT/KKBK193527560690/PAYGATE INDIA PRIVATE LIMITE	41581.98	448648.
257303046719	EQUITY MANTRA	18-Dec-19	UPI/935215445535/CR/PRAD/PUNB/p4596-1@okicici/UPI	25000	473648.79
257303046719	EQUITY MANTRA	18-Dec-19	UPI/935216356174/CR/PRAD/PUNB/p4596-1@okicici/UPI	15593	489241.79
257303046719	EQUITY MANTRA	18-Dec-19	NEFT/CITIN19079324578/PAYU PAYMENTS PRIVATE LTDNOD	4882	494123.79
257303046719	EQUITY MANTRA	19-Dec-19	NEFT/KKBK193537823380/PAYGATE INDIA PRIVATE LIMITE	22245.97	498369.76
257303046719	EQUITY MANTRA	19-Dec-19	UPI/935326102117/CR/ABBA/SBIN/9153524872@ybl/Payme	20299	518668.76
257303046719	EQUITY MANTRA	19-Dec-19	UPI/935318140648/CR/GOPA/SBIN/7488679434@ybl/Payme	2500	521168.76
257303046719	EQUITY MANTRA	20-Dec-19	NEFT/KKBK193547966453/PAYGATE INDIA PRIVATE LIMITE	0.99	503969.75
257303046719	EQUITY MANTRA	20-Dec-19	NEFT/KKBK193548000332/PAYGATE INDIA PRIVATE LIMITE	9871.19	513840.94
257303046719	EQUITY MANTRA	20-Dec-19	UPI/935416103845/CR/PRAD/PUNB/p4596-1@okicici/UPI	10000	523840.94
257303046719	EQUITY MANTRA	20-Dec-19	NEFT/CITIN19080433079/PAYU PAYMENTS PRIVATE LTDNOD	31360.02	555200.96
257303046719	EQUITY MANTRA	21-Dec-19	NEFT/KKBK193558150659/PAYGATE INDIA PRIVATE LIMITE	59709.78	614910.74
257303046719	EQUITY MANTRA	21-Dec-19	NEFT/BARBY19355287050/VIMLA DEVI KASANA WO LAXMAN	43000	657910.74
257303046719	EQUITY MANTRA	21-Dec-19	NEFT/000064742883/LAXMAN RAM KASANA SO MAHADEO SIN	5000	662910.74
257303046719	EQUITY MANTRA	23-Dec-19	NEFT/KKBK193578361005/PAYGATE INDIA PRIVATE LIMITE	21915.14	684825.88
257303046719	EQUITY MANTRA	23-Dec-19	NEFT/CITIN19081207539/PAYU PAYMENTS PRIVATE LTDNOD	48878.58	733704.46
257303046719	EQUITY MANTRA	24-Dec-19	UPI/935884590144/CR/ABBA/SBIN/9153524872@ybl/Payme	1	717705.46
257303046719	EQUITY MANTRA	24-Dec-19	IMPS/P2A/935821801927/9002/00000033433977387	10000	727705.46
257303046719	EQUITY MANTRA	24-Dec-19	IMPS/P2A/935821802712/9002/00000033433977387	10000	737705.46
257303046719	EQUITY MANTRA	25-Dec-19	UPI/935943636525/CR/RAM /PUNB/459517158@paytm/NA	2700	738405.46
257303046719	EQUITY MANTRA	25-Dec-19	UPI/935963408861/CR/ABBA/SBIN/9153524872@ybl/Payme	20000	738405.46
257303046719	EQUITY MANTRA	26-Dec-19	UPI/936036341787/CR/RAM /PUNB/459517158@paytm/NA	3000	726405.46
257303046719	EQUITY MANTRA	26-Dec-19	NEFT/KKBK193608847426/PAYGATE INDIA PRIVATE LIMITE	24276.97	750682.
257303046719	EQUITY MANTRA	26-Dec-19	NEFT/CITIN19082900646/PAYU PAYMENTS PRIVATE LTDNOD	29292	779974.
257303046719	EQUITY MANTRA	27-Dec-19	UPI/936164783013/CR/ABBA/SBIN/9153524872@ybl/Payme	64651	844625.43
257303046719	EQUITY MANTRA	27-Dec-19	NEFT/CITIN19083706397/PAYU PAYMENTS PRIVATE LTDNOD	9764.98	54390.41
257303046719	EQUITY MANTRA	30-Dec-19	NEFT/CITIN19084746223/PAYU PAYMENTS PRIVATE LTDNOD	62505.23	66895.64
257303046719	EQUITY MANTRA	31-Dec-19	NEFT/KKBK193659819236/PAYGATE INDIA PRIVATE LIMITE	20850.17	87745.81
257303046719	EQUITY MANTRA	02-Jan-20	NEFT/000068152500/LAXMAN RAM KASANA SO MAHADEO SIN	20000	107745.81
257303046719	EQUITY MANTRA	02-Jan-20	NEFT/KKBK200021399866/PAYGATE INDIA PRIVATE LIMITE	36205.38	143951.19
257303046719	EQUITY MANTRA	02-Jan-20	NEFT/KKBK200021399854/PAYGATE INDIA PRIVATE LIMITE	1978.97	145930.16
257303046719	EQUITY MANTRA	07-Jan-20	UPI/000760100097/CR/AMIT/PUNB/9671670035@ybl/By Am	3000	148930.16
257303046719	EQUITY MANTRA	08-Jan-20	UPI/000810760962/CR/VIKA/SYNB/451383258@paytm/NA	7000	155930.16
257303046719	EQUITY MANTRA	08-Jan-20	UPI/000866778479/CR/VIKA/SBIN/9451383258@ybl/Payme	20000	175930.16
257303046719	EQUITY MANTRA	09-Jan-20	UPI/000944712503/CR/VIKA/SBIN/9451383258@ybl/Payme	13000	188930.16
257303046719	EQUITY MANTRA	09-Jan-20	UPI/000915403907/CR/GOPA/SBIN/7488679434@ybl/Payme	2000	190930.16
257303046719	EQUITY MANTRA	09-Jan-20	NEFT/KKBK200092972565/PAYGATE INDIA PRIVATE LIMITE	7744.46	198674.62
257303046719	EQUITY MANTRA	10-Jan-20	NEFT/KKBK200103251013/PAYGATE INDIA PRIVATE LIMITE	7689.24	11363.86
257303046719	EQUITY MANTRA	13-Jan-20	NEFT/KKBK200133535573/PAYGATE INDIA PRIVATE LIMITE	40311.3	51675.16
257303046719	EQUITY MANTRA	14-Jan-20	NEFT/KKBK200143866858/PAYGATE INDIA PRIVATE LIMITE	55319.5	81994.66
257303046719	EQUITY MANTRA	16-Jan-20	UPI/001640413461/CR/AMIT/PUNB/9671670035@ybl/Payme	3500	85494.66
257303046719	EQUITY MANTRA	16-Jan-20	NEFT/KKBK200164146686/PAYGATE INDIA PRIVATE LIMITE	56583.14	142077.8
257303046719	EQUITY MANTRA	17-Jan-20	NEFT/KKBK200174300933/PAYGATE INDIA PRIVATE LIMITE	39138.03	181215.83
257303046719	EQUITY MANTRA	18-Jan-20	NEFT/KKBK200184518296/PAYGATE INDIA PRIVATE LIMITE	7967.15	99182.98

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257303046719	EQUITY MANTRA	24-Jan-20	CASH DEPOSIT BRANCH 0544-INDRAPRASHTA EXTN	5000	15182.98
257303046719	EQUITY MANTRA	24-Jan-20	IMPS/P2A/002413544452/9485/304010026877	5000	20182.98
257303046719	EQUITY MANTRA	26-Jan-20	IMPS/P2A/002622859862/9756/200001097750	34000	44182.98
257303046719	EQUITY MANTRA	27-Jan-20	NEFT/NEFTSDC8521981/MUSHTAQ AHMAD GHANI STAFF	3000	17182.98
257303046719	EQUITY MANTRA	27-Jan-20	NEFT/CITIN20013359752/PAYU PAYMENTS PRIVATE LTDNOD	30307.46	47490.44
257303046719	EQUITY MANTRA	27-Jan-20	NEFT/NEFTSDC8528857/MUSHTAQ AHMAD GHANI STAFF	55000	102490.44
257303046719	EQUITY MANTRA	28-Jan-20	UPI/002812610996/CR/NIRA/HDFC/.rti@okhdfcbank/Pay	1000	103490.44
257303046719	EQUITY MANTRA	31-Jan-20	NEFT/CITIN20017302584/PAYU PAYMENTS PRIVATE LTDNOD	33138.04	94628.48
257303046719	EQUITY MANTRA	03-Feb-20	NEFT/CITIN20019016470/PAYU PAYMENTS PRIVATE LTDNOD	40504.66	45133.14
257303046719	EQUITY MANTRA	05-Feb-20	NEFT/AXSK200360015393/HASAN BHAI FAZAL HUSAIN	5000	43133.14
257303046719	EQUITY MANTRA	05-Feb-20	IMPS/P2A/003617378364/9012/13270100014542	10000	53133.14
257303046719	EQUITY MANTRA	05-Feb-20	NEFT/CITIN20020373444/PAYU PAYMENTS PRIVATE LTDNOD	49886.23	103019.37
257303046719	EQUITY MANTRA	05-Feb-20	IMPS/P2A/003617052488/9012/13270100014542	40000	143019.37
257303046719	EQUITY MANTRA	05-Feb-20	IMPS/P2A/003617800169/9012/13270100014542	50000	193019.37
257303046719	EQUITY MANTRA	06-Feb-20	NEFT/CITIN20021100640/PAYU PAYMENTS PRIVATE LTDNOD	9009.24	202028.61
257303046719	EQUITY MANTRA	07-Feb-20	NEFT/KKBK200388472999/PAYGATE INDIA PRIVATE LIMITE	14064.32	216092.93
257303046719	EQUITY MANTRA	10-Feb-20	NEFT/CITIN20022938183/PAYU PAYMENTS PRIVATE LTDNOD	48824.88	254917.81
257303046719	EQUITY MANTRA	12-Feb-20	NEFT/CITIN20024127225/PAYU PAYMENTS PRIVATE LTDNOD	2928.22	45870.03
257303046719	EQUITY MANTRA	14-Feb-20	NEFT/KKBK200459774245/PAYGATE INDIA PRIVATE LIMITE	8273.42	54143.45
257303046719	EQUITY MANTRA	17-Feb-20	UPI/004822997060/CR/SUNA/UCBA/8757800564@ybl/Payme	1000	7143.45
257303046719	EQUITY MANTRA	17-Feb-20	NEFT/CITIN20026019952/PAYU PAYMENTS PRIVATE LTDNOD	40215.96	47359.41
257303046719	EQUITY MANTRA	18-Feb-20	IMPS/P2A/004918271146/9756/200001097750	70000	117359.41
257303046719	EQUITY MANTRA	19-Feb-20	UPI/005036975174/CR/LAVE/BKID/lavesh5@ybl/Payment	10000	12359.41
257303046719	EQUITY MANTRA	20-Feb-20	UPI/005144679189/CR/SUNA/UCBA/8757800564@ybl/Payme	1000	13359.41
257303046719	EQUITY MANTRA	20-Feb-20	IMPS/P2A/005115281044/9761/19744100000010	1	13360.41
257303046719	EQUITY MANTRA	20-Feb-20	IMPS/P2A/005115282257/9761/19744100000010	5000	18360.41
257303046719	EQUITY MANTRA	20-Feb-20	IMPS/P2A/005115282282/9761/19744100000010	5000	23360.41
257303046719	EQUITY MANTRA	20-Feb-20	IMPS/P2A/005115282353/9761/19744100000010	5000	28360.41
257303046719	EQUITY MANTRA	20-Feb-20	IMPS/P2A/005115282413/9761/19744100000010	2000	30360.41
257303046719	EQUITY MANTRA	20-Feb-20	NEFT/CITIN20027455834/PAYU PAYMENTS PRIVATE LTDNOD	5896.48	36256.89
257303046719	EQUITY MANTRA	24-Feb-20	NEFT/CITIN20028753699/PAYU PAYMENTS PRIVATE LTDNOD	4716.99	15973.88
257303046719	EQUITY MANTRA	25-Feb-20	NEFT/KKBK200562492684/PAYGATE INDIA PRIVATE LIMITE	9785.24	25759.12
257303046719	EQUITY MANTRA	26-Feb-20	NEFT/CITIN20030130163/PAYU PAYMENTS PRIVATE LTDNOD	976.4	21734.52
257303046719	EQUITY MANTRA	26-Feb-20	IMPS/P2A/005718470957/9010/21574803882	2000	23734.52
257303046719	EQUITY MANTRA	27-Feb-20	NEFT/CITIN20031234861/PAYU PAYMENTS PRIVATE LTDNOD	15699.54	39434.06
257303046719	EQUITY MANTRA	02-Mar-20	NEFT/CITIN20034663423/PAYU PAYMENTS PRIVATE LTDNOD	99585.97	104020.03
257303046719	EQUITY MANTRA	02-Mar-20	IMPS/P2A/006217688633/9002/00000011207433734	5000	109020.03
257303046719	EQUITY MANTRA	04-Mar-20	NEFT/CITIN20036087997/PAYU PAYMENTS PRIVATE LTDNOD	21556.96	95576.99
257303046719	EQUITY MANTRA	05-Mar-20	UPI/006511549631/CR/SHER/PUNB/8860591703@ybl/Payme	3000	98576.99
257303046719	EQUITY MANTRA	05-Mar-20	IMPS/P2A/006514629395/9229/025301597577	1	98577.99
257303046719	EQUITY MANTRA	05-Mar-20	NEFT/CITIN20036843733/PAYU PAYMENTS PRIVATE LTDNOD	40032.4	138610.39
257303046719	EQUITY MANTRA	05-Mar-20	IMPS/P2A/006517079058/9229/025301597577	1000	139610.39
257303046719	EQUITY MANTRA	06-Mar-20	NEFT/R037200660000011/MR CHOUDHARI CHANDRAKANT GUL	3500	143110.39
257303046719	EQUITY MANTRA	06-Mar-20	CASH DEPOSIT BRANCH	2000	145110.39
257303046719	EQUITY MANTRA	09-Mar-20	NEFT/CITIN20038978484/PAYU PAYMENTS PRIVATE LTDNOD	7665.72	77727.11
257303046719	EQUITY MANTRA	11-Mar-20	UPI/007111362494/CR/MOHA/BKID/1989@okhdfcbank/UPI	2485	80212.11
257303046719	EQUITY MANTRA	11-Mar-20	UPI/007111447337/CR/MOHA/BKID/1989@okhdfcbank/UPI	3000	83212.11
257303046719	EQUITY MANTRA	13-Mar-20	NEFT/CITIN20041537058/PAYU PAYMENTS PRIVATE LTDNOD	471.6	33683.71
257303046719	EQUITY MANTRA	14-Mar-20	IMPS/P2A/007416969282/9756/200001097750	78000	111683.71
257303046719	EQUITY MANTRA	14-Mar-20	IMPS/P2A/007416165685/HDFC	78000	111683.71
257303046719	EQUITY MANTRA	14-Mar-20	IMPS/P2A/007416165762/HDFC	78000	111683.71
257303046719	EQUITY MANTRA	14-Mar-20	IMPS/P2A/007416167127/HDFC	78000	111683.71
257303046719	EQUITY MANTRA	14-Mar-20	IMPS/P2A/007417168372/HDFC	78000	111683.71
257303046719	EQUITY MANTRA	14-Mar-20	IMPS/P2A/007417178929/HDFC	78000	111683.71
257303046719	EQUITY MANTRA	16-Mar-20	UPI/007610433268/CR/ANUR/UBIN/9975158495@ybl/Payme	5000	116683.71
257303046719	EQUITY MANTRA	25-Mar-20	UPI/008515301597/CR/ARIF/SBIN/9027365292@upi/Demat	5000	6183.71
257303046719	EQUITY MANTRA	27-Mar-20	NEFT/CITIN20047993036/PAYU PAYMENTS PRIVATE LTDNOD	23586.9	29770.61
257303046719	EQUITY MANTRA	30-Mar-20	NEFT/CITIN20049446675/PAYU PAYMENTS PRIVATE LTDNOD	5896.48	6667.09
257303046719	EQUITY MANTRA	18-Apr-20	UPI/010911539123/CR/RAJU/PUNB/9315@okhdfcbank/UPI	2500	3167.09
257303046719	EQUITY MANTRA	23-Apr-20	NEFT/CITIN20059061807/PAYU PAYMENTS PRIVATE LTDNOD	1769.24	4936.33
257303046719	EQUITY MANTRA	27-Apr-20	NEFT/CITIN20060211217/PAYU PAYMENTS PRIVATE LTDNOD	9764	12700.33
257303046719	EQUITY MANTRA	28-Apr-20	IMPS/P2A/011913680389/9012/13270100014542	50000	62700.33
257303046719	EQUITY MANTRA	29-Apr-20	NEFT/CITIN20061332307/PAYU PAYMENTS PRIVATE LTDNOD	4127.24	63327.57
257303046719	EQUITY MANTRA	01-May-20	IMPS/P2A/012219176109/9012/13270100014542	50000	113327.57
257303046719	EQUITY MANTRA	01-May-20	IMPS/P2A/012219407307/9012/13270100014542	18000	131327.57
257303046719	EQUITY MANTRA	15-May-20	NEFT/CITIN20069138699/PAYU PAYMENTS PRIVATE LTDNOD	9788.41	10019.98
257303046719	EQUITY MANTRA	25-Jun-20	UPI/017740151038/CR/VIKA/KKBK/9006412125@ybl/Payme	2000	3821.98
257303046719	EQUITY MANTRA	25-Jun-20	NEFT/KKBKH20177668242/M V S N MURTHY	7500	11321.98
257303046719	EQUITY MANTRA	02-Jul-20	UPI/018413934375/CR/LAXM/SBIN/9529062389@sbi/Pay t	3500	12274.98

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257303046719	EQUITY MANTRA	03-Jul-20	UPI/018518331757/CR/VIKA/KKBK/9006412125@ybl/Payme	3000	15274.98
257303046719	EQUITY MANTRA	03-Jul-20	UPI/018537745355/CR/NAJI/UBIN/967277841@paytm/NA	1000	16274.98
257303046719	EQUITY MANTRA	03-Jul-20	UPI/018511695518/CR/VIKA/KKBK/9006412125@ybl/Payme	5000	21274.98
257303046719	EQUITY MANTRA	03-Jul-20	UPI/018538540138/CR/NAJI/UBIN/967277841@paytm/NA	1500	22774.98
257303046719	EQUITY MANTRA	03-Jul-20	UPI/018536019993/CR/VIKA/KKBK/9006412125@ybl/Payme	7500	30274.98
257303046719	EQUITY MANTRA	03-Jul-20	UPI/018541274056/CR/NAJI/UBIN/967277841@paytm/NA	2500	32774.98
257303046719	EQUITY MANTRA	06-Jul-20	IMPS/P2A/018812623418/9029/508201011001307	2500	35274.98
257303046719	EQUITY MANTRA	14-Jul-20	UPI/019614064438/CR/DIN /UBIN/aurya48-1@oksbi/Eqit	3500	23774.98
					6273160.3

Vinayak

From: Regulatory Notices <regulatorynotices@equitasbank.com>
Sent: 11/03/2022 5:29 PM
To: Vinayak
Subject: FW: 2203100545712113 FW: Bank A/C Details Request-SEBI
Attachments: 200001097750 - Statement Excel.xls; 200001097750 - Statement.pdf

Dear Sir/Madam,

Please Find the details as sought by you,

Account Status - Account Open Regular

Account Statement - Enclosed from Account opening date to till date (Excel and PDF)

We request you to make note of the same and write to us for any further information that you may require in this regard.

Thanking You,

Yours Sincerely
EQUITAS SMALL FINANCE BANK LIMITED

Note: Please quote the SR # 2203100545712113, for future reference and for any further information that you may require in this regard.

From: Vinayak [mailto:Vinayak@sebi.gov.in]
Sent: 09 March 2022 20:23
To: pno
Cc: corporate; GYANENDRA NIRAJ
Subject: RE: Bank A/C Details Request-SEBI

Madam/ Sir,

Kindly inform the status as on date of the account mentioned in the trailing emails that whether **it is active or closed,** also it is requested to provide the bank statements preferably in Excel Format and having the detailed narrations of all the transactions since inception till date of the account mentioned in the trailing emails preferably by EoD of 11.03.2022.

(151)

Regards

Vinayak

From: pno [mailto:pno@equitasbank.com]
Sent: 16/09/2020 3:01 PM
To: Vinayak
Cc: DINESH JOSHI; corporate
Subject: Bank A/C Details Request-SEBI

Respected Sir/Madam,

We apologies for the delayed response.

With reference to below trail mail, we are providing the requested account documents and account statements as sought by you, The details are mentioned her ein below:

Account Number	200001097750
Account Name	M/s EQUITY MANTRA Prop Mr NARESH PAL
Account opened date	07-07-2019
Statement	Enclosed in Excel and PDF format
AOF, KYC and other supporting documents	Enclosed

We request you to make note of the same and write to us for any further information that you may require in this regard.

Thanking You,
Yours Sincerely
for EQUITAS SMALL FINANCE BANK LIMITED

From: Vinayak@sebi.gov.in
Sent: 01/09/2020 5:30 PM
To: 'customerservice@equitasbank.com'; 'corporate@equitas.in'
Cc: dineshjr@sebi.gov.in
Subject: Bank A/C Details Request-SEBI

Dear Madam/Sir,

This is in respect of a complaint received by SEBI against the entity Equity Mantra, it is hereby requested to provide the bank statements in Excel F ormat having the detailed narrations of all the transactions since inception till date for the below mentioned bank account latest by September 08, 20 20:

Entity Name: Equity Mantra

Account No.: 200001097750

IFSC: ESFB0020006

Also, provide scanned copies of all the available KYC and client information related documents viz. Client information forms, Address/ID proofs, cop y of PAN etc. of the aforementioned entity.

Regards/सादरधन्यवाद,
Vinayak / विनायक
Assistant Manager(सहायक प्रबंधक),
Northern Regional Office (उत्तरी प्रादेशिक कार्यालय)
Securities and Exchange Board of India/भारतीय प्रतिभूति और विनिमय बोर्ड
e-mail: vinayak@sebi.gov.in;9650988399

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Pre-Welcome Calling Script for Account Opening

(To be followed and filled by the MSS)

1. Thank you note: "Thank You for providing us with the opportunity to be your banking partner. Trust, the sourcing employee has explained to you the features of the account that you have chosen to open with Equitas Small Finance Bank".
2. KYC Documents to be confirmed from the customer. -

Identity proof	MSS to verify if the name matches with all the proofs collected. In case of any difference, in any of the proofs, dual name declaration to be obtained with the supporting and the linkage documents, with BM approvals, if any. (refer Process note / KYC list).
Signature proof (dual signature declaration to be collected on case-to-case basis)	
Address proof	

3. Features and benefits of the product to be detailed to the customer.
4. Cash deposit / withdrawal charges / AMB/ AQB to be explained.
5. Mode of operation to be confirmed
6. Nomination to be confirmed. (Especially for single accounts).
7. Demographics such as Address (Door number, landmark, street number, street name to be verified along with the area Pin code).
8. Email id to be spelled to the customer for reconfirmation.
9. Amount mentioned on the initial cheque to be ascertained.
10. IP cheque details to be confirmed
11. Ten digit mobile number is verified for its correctness to which the pre-welcome call is made
12. If account is opened using Insta Kit, confirmation to be obtained from customer for receipt of contents in the Insta kit by the customer.
13. If the account is a Joint account holding for eg: either or survivor/s care should be taken to verify that the 2nd Applicant is not mentioned as the Nominee to the account. The nominee should be a separate individual other than the Account Holders.

I confirm that all the points mentioned above from sl no. 2 to 12 are verified for its correctness and wherever required, the details are explained to the customer w.r.t to the charges and features of the product.

Date of calling: 24/6/19	**MSS Name:**
Time of calling: 12:50 pm	**MSS Emp ID:**
Registered mobile number to which the calling has been done: +3079467189	**MSS Full Signature :**
Date of submission of the AOF, KYC documents to the sales officer by the customer: 24/6/19	**Branch Stamp:** LUBNA ZULQER Manager Sales & Service Emp. ID: 30769 Equitas Small Finance Bank

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IndusInd Bank

IndusInd Bank Limited
Sector-Alpha Br- Greater Noida Branch
Ground Floor, Plot No B-2, Alpha-1, Commercial belt, Greater Noida UP- 201 308 Uttar Pradesh
IFSC : INDB0000546

New Account

Valid For Three Months Only

2	4	0	6	2	0	1	9
D	D	M	M	Y	Y	Y	Y

Pay अदा करें ESFB A/C EQUITY MANTRA — Or Bearer / या धारक को

Rupees रुपये Eleven thousand only — ₹ 11,000/-

A/c No. खाता क्र	158130528778

Savings Account - Indus Comfort Maxima
Payable At Par At All Branches

7221 9104

NARESH PAL
Please sign above

⑈415387⑈ ⑆102340501⑆ 900104⑈ 31

ACCOUNT OPENING FORM FOR NON INDIVIDUAL

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equitas
Equitas Small Finance Bank

Customer ID (For existing customers)

Account No.

No cash to be handed over to account opening executive

Application No. 267522

Type of Account ☑ Current Account ☐ Fixed Deposit ☐ Recurring Deposit

Product Type: BUSINESS Value:

Branch: RAJOURI GARDEN Branch ID: 2606

5044460

Choice Account Number:

We would like to subscribe to the Choice Account Number program and would like to select the above account number based on the search criteria below

Choice Criteria*: String (Select 1 / 10 digits of the 12 digit account number) OR Sum of Digits (Maximum sum of digits you want as account number)

EQUITY MANTRA
Proprietor

We hereby agree to the terms and conditions of Choice Account Number program and understand that the allocation of account numbers shall be done on best effort basis subject to the availability of the requested number in the product mentioned above.

DATE 5/4/16 BRANCH RAJOURI GARDEN

*Use only **BLACK** ink pen for filling and signing. Please ensure all details are filled in **CAPITAL** letters.*

ACCOUNT TITLE: EQUITY MANTRA

REGISTERED ADDRESS

*Address 1: G/F OLD NO-1245, NEW-2608, NAYA BAZAR,
*Address 2: NAI BASTI CHANDNI CHOWK, NORTH DELHI
*Address 3:
*Landmark:
*City: DELHI *PIN: 110006
*State: DELHI Country: INDIA
Tel. No. - Fax -

COMMUNICATION ADDRESS ☐ Please tick if same as Registered address

*Address 1:
*Address 2:
*Address 3:
*Landmark:
*City: *PIN:
*State: Country:
Tel.No. - Fax -

*Key Contact Person: NARESH PAL

*Mobile no. of Key Contact Person 7838047719 (all SMS alerts will be sent to this mobile number by default) ☐ tick if alerts are not required

*Email ID: EQUITYMANTRA1@GMAIL.COM

For e-statement preference ☐ Daily ☐ Weekly ☐ Fortnightly ☑ Monthly (Bank transactions / Account Statements / Trade Advices will be sent on this ID by default)

Whether physical statement is required (Monthly) ☐ Yes ☑ No ☐ tick if e-statement is not required

Regn. Number of the Firm / Society with Registrar / Charity Commissioner / MHA: DHDPN012227K

Date of Incorporation / Registration: 26/12/2016

Date of Commencement of Business (in case of Public Limited Company):

*IE Code:

*PAN: DPTPP1227K

CONSTITUTION: (Please tick)

☐ HUF ☑ PROPRIETORSHIP ☐ PARTNERSHIP ☐ LIMITED LIABILITY PARTNERSHIP ☐ PUBLIC LTD. ☐ PRIVATE LTD
☐ TRUST ☐ CLUB ☐ ASSOCIATION ☐ SOCIETY ☐ GOVT. ORG./DEPT. ☐ OTHERS

MODE OF OPERATIONS:

☐ SINGLY ☐ JOINTLY ☐ SEVERALLY ☐ As Per Board Resolution
☐ PROPRIETOR / AUTHORISED SIGNATORY

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TYPE OF BUSINESS: (Please tick)

☐ Manufacturer ☑ Trader ☐ Retailer ☐ Service Provider ☐ Export / Import

INDUSTRY: (Please tick)

☐ Airlines	☐ Call Centers / BPO	☐ Electronics	☐ Medical / Healthcare	☐ Retail Chain / FMCG
☐ Automobiles	☐ Casinos	☐ Banking / Financial Services	☐ Power / Electricity	☐ Telecom
☐ Agriculture	☐ Charities / NPO / NGO	☐ Government Bodies	☐ Religious Institutions	☐ Textile
☐ Advertising / Marketing	☐ Courier / Cargo	☐ Hotels / Restaurants	☐ Travel & Tourism	☐ Transportation
☐ Antique / Art Dealers	☐ Construction / Real Estate	☐ Infrastructure	☐ Money Changers / Forex Dealers	☐ IT Services
☐ Arms Dealer	☐ Education / School	☐ Entertainment/ Media	☐ Professionals (CA / Lawyer / Doctor / Consulting / HR)	
☐ Bullion / Gems & Jewellery	☐ Stock Brokers / Share Brokers / Share Commodity Traders		☐ Others (pls. specify) TRADING OF FOOD GRAIN (Trader please specify the nature of trade) (FDG)	

*No. of Employees ☑ 0 to 20 ☐ 21 to 50 ☐ 51 to 100 ☐ above 100

*Annual Turnover (in Lacs) ☐ <50 ☐ 50 to 100 ☑ 100 to 500 ☐ 500 and above

*Expected Monthly Remittance : ☐ 1 lac ☐ 1 lac - 5 lac ☑ 5 lac - 15 lac ☐ 15 lac - 25 lac ☐ >=25 lac

*Expected Monthly Withdrawals : ☐ 1 lac ☐ 1 lac - 5 lac ☑ 5 lac - 15 lac ☐ 15 lac - 25 lac ☐ >=25 lac

*Purpose of Opening Account : ☑ Business Collection of Instrument ☐ Others

*Company Existence (Years) : 4 months *Company Listed : ☐ ☑

*Total No. of Branches / Regional Offices: 1 *Presence in Other Cities: NA
(Please specify name of all cities)

IN CASE OF FIXED DEPOSIT:

Amount Rs. _____ Monthly Instalment for Recurring Deposit Rs. _____ Tenor _____ Days / Months / Years

Type: ☐ Cumulative ☐ Non cumulative ☐ Others _____

INTEREST PAYMENT DETAILS	DEPOSIT MATURITY INSTRUCTIONS
Mode: ☐ Monthly ☐ Quarterly ☐ Reinvest	☐ Renew maturity amount
☐ Credit my / our account no. _____ with you	☐ Remit proceeds by Pay order / DD to mailing address
☐ By Pay order / DD to be mailed to the mailing address	☐ Credit proceeds to account no. _____ with you
☐ Other _____	☐ Other _____

SWEEP INSTRUCTIONS

I / We would like to link my / our Fixed Deposit being opened now / existing Fixed Deposit No _____ to my / our Savings / Current Acco
being opened now / existing Savings / Current Account No. _____, towards fulfillment of any debit /s on the Savings / Current Account,
in case of insuf ficient balance in my / our mentioned Savings / Current Account.

INITIAL DEPOSIT DETAILS

IMPORTANT: Initial payment to be in Cheque only. No Cash to be handed over to the A/c opening Executive.

Mode of payment: Cheque / Amount Rs. 11050 Cheque No. 415387 Bank Name INDUSIND BANK

Debit my existing a/c ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ For Rs. _____

AUTHORISED SIGNATORY - 1

Fields are Mandatory (Fill this section if existing Cust ID does not have complete details)

*Existing Cust ID ☐ Yes ☑ No ☐☐☐☐☐☐☐☐ (If Yes, please provide the Cust ID. If No, please fill the details below.)

*Name NARESH PAL

*Gender ☑ Male ☐ Female ☐ TG *DOB 15/07/1983 *Nationality ☑ Indian ☐ Others _____

*Mother's Maiden Name MAMTA DEVI Aadhar Number 292711284094

*Residential Address JAHEEL KASCHANI, NAGLA MADHAN / BADANPUR, DHATI VASGANJ, UTTAR PRADESH

Marital Status ☑ Married ☐ Single ☐ Other Qualification ☐ Postgraduate ☐ Graduate ☑ Undergraduate ☐ Other

*Mobile No. 8307467198 PAN No. NPPPL1228R PIN 206403

E-mail ID BGUPTAMANTRA1@GMAIL.COM

Debit Card: ☐ Rupay(Domestic) ☑ VISA(International)

Domestic: ☐ Classic ☐ Gold ☐ Platinum ☐ Signature

(Not available for joint mode of operation) (Gold & Signature available only with VISA)

Internet Banking

☐ View only (non-financial) ☐ Transaction (financial)

Mobile Banking

☐ Yes ☑ No



For: EQUITY MANTRA

Proprietor

Signature Limit _____

(151)

AUTHORISED SIGNATORY - 2

Fields are Mandatory (Fill this section if existing Cust ID does not have complete details)

*Existing Cust ID ☐ Yes ☐ No (If Yes, please provide the Cust ID. If No, please fill the details below.)

*Name (SURNAME)

*Gender ☐ Male ☐ Female ☐ TG *DOB *Nationality ☐ Indian ☐ Others

*Mother's Maiden Name Aadhar Number

*Residential Address (STATE)

Marital Status ☐ Married ☐ Single ☐ Other Qualification ☐ Postgraduate ☐ Graduate ☐ Undergraduate ☐ Other

*Mobile No. PAN No. PIN

E-mail ID

Debit Card : ☐ Rupay(Domestic) ☐ VISA(International)

Domestic: ☐ Classic ☐ Gold ☐ Platinum ☐ Signature

(Not available for joint mode of operation) (Gold & Signature available only with VISA)

Internet Banking

☐ View only (non-financial) ☐ Transaction (financial)

Mobile Banking

☐ Yes ☐ No

Signature Limit

AUTHORISED SIGNATORY - 3

Fields are Mandatory (Fill this section if existing Cust ID does not have complete details)

*Existing Cust ID ☐ Yes ☐ No (If Yes, please provide the Cust ID. If No, please fill the details below.)

*Name (SURNAME)

*Gender ☐ Male ☐ Female ☐ TG *DOB (D D M M Y Y) *Nationality ☐ Indian ☐ Others

*Mother's Maiden Name Aadhar Number

*Residential Address (STATE)

Marital Status ☐ Married ☐ Single ☐ Other Qualification ☐ Postgraduate ☐ Graduate ☐ Undergraduate ☐ Other

*Mobile No. PAN No. PIN

E-mail ID

Debit Card : ☐ Rupay(Domestic) ☐ VISA(International)

Domestic: ☐ Classic ☐ Gold ☐ Platinum ☐ Signature

(Not available for joint mode of operation) (Gold & Signature available only with VISA)

Internet Banking

☐ View only (non-financial) ☐ Transaction (financial)

Mobile Banking

☐ Yes ☐ No

Signature Limit

AUTHORISED SIGNATORY - 4

Fields are Mandatory (Fill this section if existing Cust ID does not have complete details)

*Existing Cust ID ☐ Yes ☐ No (If Yes, please provide the Cust ID. If No, please fill the details below.)

*Name (SURNAME)

*Gender ☐ Male ☐ Female ☐ TG *DOB *Nationality ☐ Indian ☐ Others

*Mother's Maiden Name Aadhar Number

*Residential Address (STATE)

Marital Status ☐ Married ☐ Single ☐ Other *Qualification ☐ Postgraduate ☐ Graduate ☐ Undergraduate ☐ Other

*Mobile No. PAN No. PIN

E-mail ID

Debit Card: ☐ Rupay(Domestic) ☐ VISA(International)

Domestic: ☐ Classic ☐ Gold ☐ Platinum ☐ Signature

(Not available for joint mode of operation) (Gold & Signature available only with VISA)

Internet Banking

☐ View only (non-financial) ☐ Transaction (financial)

Mobile Banking

☐ Yes ☐ No

Signature Limit

150

(Beneficial Owners - Following details to be captured for each beneficial owner)

Number of Beneficial Owners : 01

Beneficial Name : NARESH PAL

Beneficial Designation : DIRECTOR / PROPRIETOR

Beneficial Personal Customer ID : EQUITY MANTRA 01221 UID

Beneficial Signature : Proprietor

DECLARATION FOR INTERNET BANKING FACILITY FOR COMPANIES / TRUSTS / CO-OPERATIVE SOCIETIES / ASSOCIATION OF PERSONS / CLUBS / PARTNERSHIPS / LIMITED LIABILITYPARTNERSHIPS

In order to facilitate the operation of the said account, we hereby request Equitas Small Finance Bank to allow us to operate the said account through the bank's Internet Banking facility by using the customer / user ID and the Internet Banking Login password, on the terms and conditions detailed hereunder which have been read and understood by us. We acknowledge that the same are in addition to and not in derogation of the terms and conditions relating to the said account and Internet Banking facility.

1. M/s. EQUITY MANTRA (Entity name) will be solely entitled to receive the customer / user ID and the Internet Banking Login password to access the Internet Banking facility and to acknowledge the same.
2. The customer / user ID and the Internet Banking Login password shall be kept totally secret and confidential by the authorized signatory whosoever is using it.
3. All transactions carried on in the said account through use of the Internet Banking facility shall be binding on the Company / Trust / Co-operative Society / Association of Person / Club / Partnership / Limited Liability Partnerships and Equitas Small Finance Bank shall at all times be kept saved and harmless from all actions, claims, demands, proceedings, losses, damages, costs, charges and expenses whatsoever which Equitas Small Finance Bank may at any time incur, suffer or sustain or to be put to as a consequence of or by reason of or arising out of transactions carried out through the Internet Banking facility.
4. Equitas Small Finance Bank shall at all times be informed of any changes in the operating instructions for Internet Banking facility by furnishing necessary documents and writings and in such event to change the Internet Banking password forthwith.
5. Equitas Small Finance Bank shall not be responsible and liable to monitor the nature of expenses incurred by the use of the said Internet Banking facility.
6. All the provisions of the Foreign Exchange Management Act, 1999 and the Rules made thereunder and the regulations of the Reserve Bank of India relating to foreign exchange, in force from time to time shall be complied with by us.
7. I/We have read the terms and conditions in this application form as well as displayed on the Bank's website pertaining to Internet Banking facility.

Default Transaction Limit: *₹10 lakhs of NEFT / RTGS / Funds Transfer to Self & Third Party / Bill Payment / Online Shopping / VISA Credit Card Bill Payment per day using OTP)*

For M/s. EQUITY MANTRA DELHI 01221 UID Proprietor

Signature | Signature | Signature | Signature

DECLARATION FOR SOLE PROPRIETORSHIP FIRMS (without rubber stamp)

I refer to the account opened by you in the name of M/s. EQUITY MANTRA and declare as under:
I, the undersigned, am the sole proprietor of the firm and am fully responsible for the liabilities thereof. I shall advise you in writing of any change that takes place in the constitution of the firm and I will be liable to you for any obligation which may be standing in the firm's name in your books on the date of receipt of such notice and until all such obligations shall have been liquidated.
I declare that I have an existing account with CA / CC / SB No: 1501000-61726 with INDUSIND Bank in the name of NARESH PAL for the last ___ years.
I agree to indemnify and hold the Bank harmless in case of any loss suffered by the Bank, its customers or a third party or any claim or action brought by a third party which is in any way the result of availing of services by me.
I agree that all the information disclosed in this document is correct and agree to inform you of any change in the information provided in this form or in related documents.
I have furnished to the Bank the Power of Attorney authorising the person(s) as indicated hereinbefore for operating the account.
I confirm having read the rules of the Bank regarding the conduct of the account as per deposit rules attached and the Citizens' Charter & Deposit Policy of the Bank.
I confirm having read the rules of the Bank regarding the conduct of the account and the rules and regulations pertaining to Phone Banking, Debit Card, Doorstep Banking, Anywhere Banking, Internet Banking, Mobile Banking & Utilities Pay Facilities. I accept and agree to comply with the terms and conditions or any rules of the Bank that may be in force from time to time. I acknowledge that it is my responsibility to obtain a copy of and read the same. I have received the deposit rules annexed to this account opening form and agree to abide by the same.

Yours faithfully,
01221 UID
Signature

DECLARATION FOR PARTNERSHIP FIRMS/ LLP (To be signed by Partners without rubber stamp)

We, the undersigned, are carrying on business in Partnership in the name and style of ___
We declare that we, the undersigned, are the partners of the firm. The Bank may recover its claims from the estate of any or all the partners of the firm (Not applicable to LLP).
We hereby undertake that we will not change or vary the constitution of the firm without your prior approval in writing and our individual responsibility to the Bank will continue until we receive from the Bank an acknowledgment and until all our liabilities with the Bank are discharged. The document and its contents submitted at the time of opening of this account are true and correct.
We agree to indemnify and hold the Bank harmless in case of any loss suffered by the Bank, its customers or a third party or any claim or action brought by a third party which is in any way the result of availing of services by us under the above account title. We agree that all the information disclosed above is correct and agree to inform you of any change in the information provided in this form or in related documents.
We confirm having read the rules of the Bank regarding the conduct of the account and the rules and regulations pertaining to Phone Banking, ATM / Debit Card, Doorstep Banking, Anywhere Banking, Utilities Pay Facilities, Internet Banking and Mobile Banking. We accept and agree to comply with the terms & conditions or any rules of the Bank that may be in force from time to time. We acknowledge that it is our responsibility to obtain a copy and read the same.
In the event of the death, insolvency or withdrawal of any partner the surviving partner or partners shall have full control of any monies then and thereafter standing to the firm's credit and securities pledged, hypothecated or held in the firms account with you. It is understood that all monies now or hereafter standing to the credit of the account of the firm or securities pledged, hypothecated or held in the account with you shall belong to the surviving partner in the event of any of us dying during the currency of the account. It is further understood that if anyone of us forbids operation on the account (which is not payable to all the partners jointly), the amount lying at credit shall not be payable except on the discharge of all the partners or the surviving partners as the case may be.
We authorise the partners as mentioned above to operate the account and confirm that each of us will or jointly/severally be bound by the transactions and / any other acts done or authorised by these persons in conduct of the said account.
We have furnished to the Bank a Power of Attorney in favour of the authorised signatory(ies) mentioned above who is / are not partners of the firm.
We have read the deposit rules annexed to this account opening form and agree to abide by the same.

Place: ___ Date: ___

Signature | Signature | Signature | Signature

(49)

Type copy submitted to equitas bank for A/c opening
Dated on - 24-01-2019



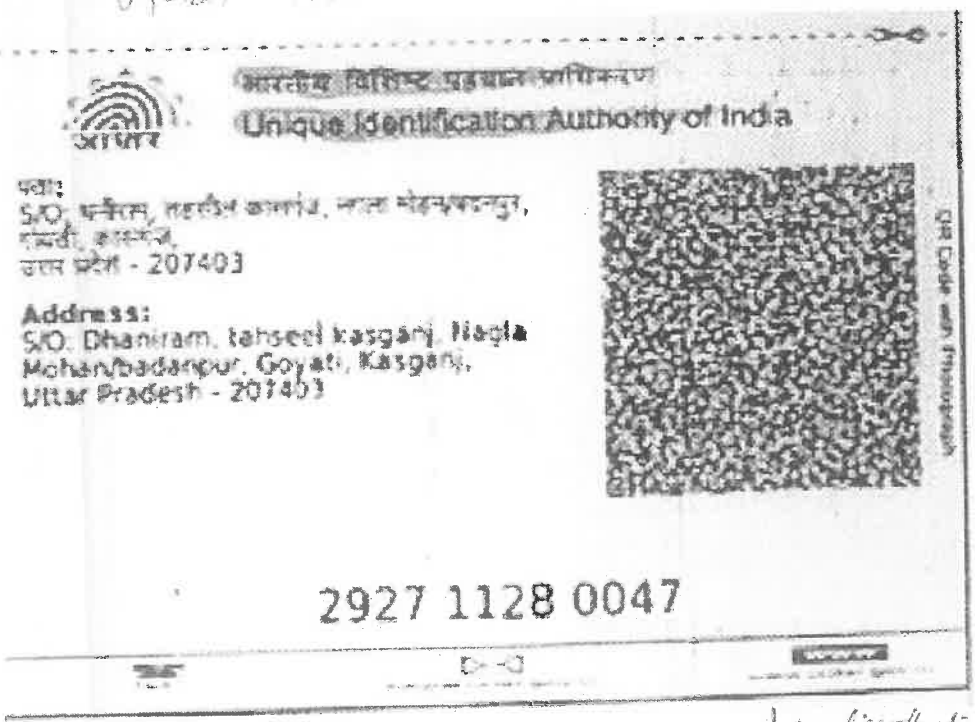
भारत सरकार
Government of India

नरेश पाल
Naresh Pal
जन्म तिथि/DOB: 15/07/1983
पुरुष/ MALE

2927 1128 0047

मेरा आधार, मेरी पहचान

0122 4pm



भारतीय विशिष्ट पहचान प्राधिकरण
Unique Identification Authority of India

पता:
S/O: धनीराम, तहसील कासगंज, नगला मोहनबदनपुर,
गोयटी, कासगंज,
उत्तर प्रदेश - 207403

Address:
S/O: Dhaniram, tahseel kasganj, Nagla
Mohanbadanpur, Goyati, Kasganj,
Uttar Pradesh - 207403

2927 1128 0047

I confirm that photo is matched as per OVD & OVD this is the best possible copy verified from original doc. OVD copy retained at branch

MOHD NAEEM
Clerk

LUBNA ZUBERI
Manager Sales & Service
Emp. ID: 38769

148

Date 24-06-2019

From EQUITY MANTRA
C1/1 OLD NO - 1205, 1260 2-2603 MAIN BAZAR, NAI BASTI
CHANDANI CHOWK, NORTH DELHI - 110006

To
Equitas Small Finance Bank Limited
4th Floor, Phase II, Spencer Plaza,
769, Anna Salai, Chennai – 600 002.
KAROL.....Branch
GARDEN

Dear Sir / Madam,

Reg: Voluntarily submission of Aadhaar Card by me/us as KYC document without redacting.

I/We wish to open a savings bank account with your KAROL. Branch.

In this connection, I am voluntarily submitting a copy of my Aadhaar Card without redacting the Aadhaar number as I wish to avail/desirous of availing Direct Benefit Transfer/desirous of receiving Direct Benefit Transfer.

I consent and authorise you to extract my/our personal details as available with UIDAI based on my/our Aadhaar number through biometric authentication or OTP process, for the purpose of opening of my/our account. I request you to maintain ensure strict confidentiality of my/our personal information as may be stipulated by Regulator/s from time to time.

I have been given to understand that the document submitted to you shall not be used for any other purpose other than for the purpose mentioned above or as per requirements of law.

1221 4TH
Signature of the Customer

CSIMP

Manager Sales & Service
Emp. ID: 38769

DECLARATION FOR TRUSTS / ASSOCIATIONS / SOCIETIES / CLUBS (with rubber stamp)

The account will be operated by _____ who has / have been authorised by the Byelaws / Memorandum of Association / Articles of Association / Trust Deed / and Resolution No. _____ dated _____ of the Trustees / Director / Authorised signatories. A certified copy of the resolution signed by all Trustees / Director / Authorised signatories is attached herewith.
A copy of the Byelaws / Trust Deed / Memorandum of Association and Articles of Association dated _____ duly certified is sent herewith. In future if any change is required in the name of the operators of the account, it will be effected by a resolution of the Board of Trustees and you will be informed accordingly in writing by all the trustees and you will allow such persons to operate upon the account.
We agree to comply with and be bound by Bank's rules now and from time to time in force for the conduct of such accounts. We have received the deposit rules annexed to this account opening form and agree to abide by the same.

☐ We shall submit prior permission communication from Ministry of Finance for accepting foreign contribution within 3 months and you may not accept any foreign contribution till such time we submit the communication.

☐ We certify that this is the only FCRA Account opened and held by the Trust and that the foreign contributions received by the Trust will be strictly in accordance with FCRA Act and Rules.

	Name of Trustees	Signature
1.		
2.		
3.		
4.		
5.		
6.		

GENERAL DECLARATION (to be signed by all authorised signatories with rubber stamp)

I/We have read the terms and conditions in the application form as well as displayed on the website pertaining to the current account, mobile banking, internet banking, Debit / ATM card which are in force now. I/We have understood the same and I/We agree to abide by and be bound by the terms as are in force from time to time for the account. I/We confirm that the authorized signatories as approved by me / our Board/Committee/members of the HUF/Managing Committee, are authorised to operate the account, and any changes in regards to the same will be intimated in writing by me/us. I/We understand that the above account will be opened on the basis of the declaration made by me/us. I/We further agree that any misunderstanding information given by me/us or suppression of any material fact will render my/our account liable for closure and further action. I/We further agree to indemnify Equitas Small Finance Bank and their successors and assignees if any of the representation and declarations mandatory hereunder by me/us is incorrect, false or misleading in any of its particulars. We further unconditionally and irrevocably authorise Equitas Small Finance Bank to debit our account with an amount equivalent to the fees and charges applicable for the services enjoyed by us. I/We declare confirm agree: a) That all particulars and information given in the application form are true, correct, complete and up to date in all respects and I/We have not withheld any information. b) I/We have had no insolvency initiated against me/us nor have I/We ever been adjusted insolvent. c) I/We have not at any time defaulted under any loan taken by me/us from any other bank institution. d) I/We have read and understood that charges are applicable in the current account facility and hereby agree to bear the charges as revised from time to time by Equitas Small Finance Bank at its sole discretion. I/We have read and understood the facilities available under Equitas Small Finance Bank Current Account as detailed on the Internet Banking. I/We have also gone through the schedule of charges and understood that to be eligible for the concessions, I/We have to maintain the minimum average balance as indicated in the schedule of charges. In case the account remains overdrawn on account of uncovered cheques, if any, for a period of 3 months and above, the account will be closed and the Bank will not be responsible for giving any advance intimation thereof. I/We also understand that the continuation of the account is at Equitas Small Finance Bank sole discretion and in case Equitas Small Finance Bank is dissatisfied with the conduct of the account Equitas Small Finance Bank has the right to close account after giving me/us 15 days' notice or withdraw the concessions in all or any service charges granted to me/us or charge Equitas Small Finance Bank applicable rates for such services. I/We authorise the Bank or its agents to make references/enquiries as may be necessary and the exchange/share/part with any/all information with credit bureaus/statutory bodies/other agencies as may be deemed necessary or appropriate. I/We hereby declare that the transactions relating to foreign exchange that may be routed through your Bank would not involve, and would not be designed for the purpose of any contravention or evasion of the provisions of the aforesaid Act or of any rule, regulation, direction or order made thereunder. I/We hereby indemnify and keeping indemnified the Bank from and against all and any costs, charges, claims, disputed and consequences howsoever and whatsoever arising out of issuance and use of the Debit card to the Company. We shall at no point of time raise any objection or claim on the said transactions and the Bank is well within the law to deem the said transactions so effected as valid, binding transactions conducted by the firm/company represented by all its Directors/Authorised Signatories on the said account. I/We hereby request the Bank, its Affiliates and the representatives, associates, service providers and employees of the Bank and its Affiliates, to contact me/us by telephone or any other possible mode to communicate with me from time to time in connection with servicing issues pertaining to the products/ relationship which I/We have with the Bank or its Affiliates from time to time. In addition to the above, the Bank, its Affiliates and the representatives associates, service providers and employees of the Bank, its Affiliates may contact me/us from time to time by telephone, or any other possible mode to communicate in connection with new products, services of the Bank or its Affiliates. I/We shall indemnify the Bank and its representatives, employees and agents against all losses, costs, expenses, suits, damages whatsoever arising out of or in connection with their assistance and non services that may be provided to me/us for helping me/us invest in the aforementioned products/ avail myself/ourselves of the aforementioned facilities or services in my/our name (s). I understand that I/ease I do not wish to receive promotional information through telephone calls / email / sms on products and services not currently availed by me, I can register for "Do Not Call" service through the Bank's website www.equitasbank.com or other channels that the Bank may offer. I agree that this service will not apply to receipt of advice and information regarding products and services currently availed by me, to help me in fully realizing the benefits of the range of financial solutions designed to make my banking relationship value added and more convenient. I/ We understand that in case of premature withdrawal, interest will be paid at the rate applicable to the amount and period for which the deposit remained with the Bank and not at the contracted rate.

I / We declare that I / We enjoy credit facility ☐ Yes ☐ No

Bank Name _____ Branch Address _____

Type of Facility _____ Amount of Facility _____

EQUITY MANTRA
01221 4180
Proprietor

NOMINATION FORM DA1 (Only for Sole Proprietorship Firms / Please choose any of the below option)

☑ Yes, I/We wish to nominate (as per details below) ☐ No, I/We declare that I do not wish to make a nomination in my/our account.

Nomination under Section 45 ZA of the Banking Regulation Act, 1949 and Rule 2(1) of the Banking Companies (Nomination) Rules 1985 in the respect of Bank deposits.
I/We nominate the following person to whom in the event of my/our/minor's death the amount of the above opened Account / Fixed Deposits / Recurring Deposits, may be returned by Equitas Small Finance Bank Ltd.

Nominee Name : DHANI RAM Is Nominee Address same as Prospect's Current Address ☑ ☐

Flat No. & Bldg Name _____ *Road No./Name _____

*Landmark _____

*State _____ Country _____ *City _____ *PIN _____

* Nominee D.O.B 1-1-1970 Relationship with depositor, if any FATHER Is Nominee, Equitas Customer? Y/N

Customer ID: _____ **As nominee is a minor on this date, I / we appoint _____

to receive the amount of deposit in the account on behalf of the nominee in the event of my/our minor's death during the minority of nominee.

Guardian relationship to minor _____ Is Nominee Address same as Prospect's Current Address : ☐ ☐

Flat No. & Bldg Name _____ *Road No./Name _____

*Landmark _____

*State _____ Country _____ *City _____ *PIN _____

Telephone (Res) _____ Mobile EQUITY MANTRA

*Email ID: _____

01221 4180
Proprietor

*Signature/Thumb impression of the depositor

Witness(es)

Name:	Name:
Signature***	Signature***
Address:	Address:

*Where account is made in the name of minor, the nomination must be signed by a person who will be the Guardian during the minority of the minor. ***Two witnesses are required if the nomination is not attested by two witnesses.

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FATCA - CRS Declaration Form

Entity Type: ☐ Financial ☒ Non-financial GIIN No.:

Country of Incorporation: INDIA City of Incorporation: NEW DELHI

1. I/We declare that the Entity is tax resident of any country other than India ☐ Yes ☐ No (If Yes, please fill Part A & B)

2. The Controlling Person/Ultimate Beneficial Owner/ Proprietor is tax resident of any country other than India ☐ Yes ☐ No (If Yes, please fill Part C)

Part A (To be filled if YES is declared for the above statements except for Proprietorship customer)

Customer Identification No. _____ Issuing Country _____

Address used for Tax Purposes/ reported to Tax Authorities in foreign country ☐ Registered ☐ Communication ☐ Business ☐ Other (If business or other, provide the address)

Address _____

Details of Countryties in which the entity is resident for tax purpose and the associated Tax ID number:

Country	Tax Identification Number (or equivalent)	Identification Type (TIN or Other please specify)

Part B (To be filled by Non-financial entities)

Entity is: ☐ Traded in Stock Exchange ☐ Subsidiary of listed company ☐ Controlled by a listed company ☐ Not Listed

Name of the listed company _____ Name of the stock exchange _____ Type of Non-financial Entity: ☐ Active ☐ Passive

PART C (to be filled by Passive Non Financial Entities for Controlling Person and Proprietor, use additional form for any additional controlling person or beneficial owners)

Name* _____ Date of Birth _____

Country of Tax Residency* _____ % Beneficial Interest _____

PAN _____ Father's Name _____

Residence Address _____

*Name of Controlling Person / Ultimate Beneficial Owner / Proprietor *Address reported/updated with Tax authorities

Details of Countryties in which the controlling person is resident for tax purpose and the associated Tax ID number:

Country	Tax Identification Number (or equivalent)	Identification Type (TIN or Other please specify)
INDIA	DPDPP1122R	PAN

Country of Birth INDIA City of Birth KASGANJ Nationality INDIAN

Occupation Type ☐ Service ☒ Business ☐ Other | Identification type ☐ Passport ☐ DL ☒ PAN ☐ Gov ID Card ☐ Other

FATCA CRS Terms and Condition

The Central Board of Direct Taxes has notified on 7th August 2015 Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies/ withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent of the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Certification:

I have understood the information requirements of this Form and hereby confirm that the information provided by me on this Form is True, Correct, and Complete. I further confirm that I have read and understood the FATCA-CRS Terms & Conditions above and hereby accept the same.

For EQUITY MANTRA

Proprietor

Place: NEW DELHI

Date: 24-06-2019

Signature Signature Signature Signature

FACILITIES REQUIRED

Bank Guarantee	:	Y / N
Letter of Credit	:	Y / N
Term Loan/Business Loan	:	Y / N
Doorstep Banking	:	Y / N
Trade & Forex	:	Y / N
Loan Against Property	:	Y / N
Overdrafts Against FD	:	Y / N

AC Check Done by BM

Emp. Code:

Emp. Name:

Emp. Sign.

LUBNA ZULQER

Manager Sales & Service

Emp. ID: 36769

(In absence of BM)

FOR BANK USE ONLY

Account No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Existing A/c No. ☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Account Sourcing Date: 24-06-2019 Lead Generator Code: 41405 Promo Code: _____

Sourcer Name / Code: MOHD NADEEM / 41405 Segment Code: _____ Others: _____

KYC Certified by Employee ID: 41405

Mohd Nadeem | 41405

Sourcing Executive
Name & Signature

LUBNA ZULQER

Manager Sales & Service

Manager Operations-BOM or Branch Manager
Signature, SS No. or Employee No. & Branch Round Stamp

Hard copy submitted
As applied back for
All pending pdf on portal

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Government of India

Form GST REG-06

[See Rule 10(1)]

Registration Certificate

Registration Number : 07DPDPP1122R2ZK

1.	Legal Name	NARESH PAL			
2.	Trade Name, if any	EQUITY MANTRA			
3.	Constitution of Business	Proprietorship			
4.	Address of Principal Place of Business	G/F OLD NO.-1205, 2602-2603, NAYA BAZAR, NAI BASTI, CHANDANI CHOWK, North Delhi, Delhi, 110006			
5.	Date of Liability				
6.	Period of Validity	From	20/12/2018	To	NA
7.	Type of Registration	Regular			
8.	Particulars of Approving Authority				

Signature

Signature Not Verified
Digitally signed by DS GOODS AND SERVICES TAX NETWORK(2)
Date: 2018.12.20 10:30:28 IST

Name	
Designation	
Jurisdictional Office	
9. Date of issue of Certificate	20/12/2018

Note: The registration certificate is required to be prominently displayed at all places of business in the State.

This is a system generated digitally signed Registration Certificate issued based on the deemed approval of application on 20/12/2018 .

For EQUITY MANTRA

Proprietor

ORIGINAL SEEN & VERIFIED
Name : Mukul Nandwani
Sign : Mukul Nandwani

1 of 4

सत्यमेव जयते

Annexure A

GSTIN	07DPDPP1122R2ZK
Legal Name	NARESH PAL
Trade Name, if any	EQUITY MANTRA

Details of Additional Places of Business

Total Number of Additional Places of Business in the State 0

For EQUITY MANTRA
Proprietor
01/2/21

True copy submitted to equity bank for NL opening Dated on- 24-06-2019

VERIFIED
Mohd Nadeem

(140)

Annexure B

GSTIN	07DPDPP1122R2ZK
Legal Name	NARESH PAL
Trade Name, if any	EQUITY MANTRA

Details of Proprietor

1		Name	NARESH PAL
		Designation/Status	PROPRIETOR
		Resident of State	Uttar Pradesh

For EQUITY MANTRA
Proprietor

Xerox copy Submitted to equitus Bank for
file closing Date on 24-06-2019

MOHD NADEEM
Manager

Goods and Services Tax

Search Taxpayer

* indicates mandatory fields

GSTIN/UIN of the Taxpayer*

Enter GSTIN/UIN of the Taxpayer

SEARCH

Search Result based on GSTIN/UIN : 07DPDPP1122R2ZK

GSTIN/UIN

07DPDPP1122R2ZK

Legal Name of Business

NARESH PAL

Centre Jurisdiction

RANGE - 8

State Jurisdiction

Ward 29 (Jurisdictional Office)

Date of registration

20/12/2018

Constitution of Business

Proprietorship

Dimp

LUBNA ZULQER
Manager Sales & Service
Emp. ID: 38769

141

Taxpayer Type

Regular

GSTIN / UIN Status

Active

Date of Cancellation

Field Visit Conducted?

No

Nature of Business Activities

1. NA

SHOW FILING TABLE

DIMP

LUBNA ZULQER
Manager Sales & Service
Emp. ID: 30769

About GST

GST Council Structure

GST History

Website Policies

Website Policy

Terms and Conditions

Hyperlink Policy

Disclaimer

Related Sites

Central Board of Indirect Taxes and Customs

State Tax Websites

National Portal

Help

System Requirements

User Manuals, Videos and FAQs

Documents Required for Registration

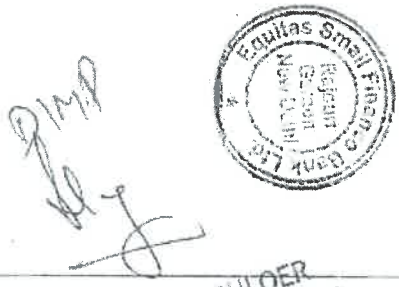
GST Media

Site Map

Contact Us

Help Desk Number:
0120-4888999

Log/Track Your Issue:
Grievance Redressal Portal for GST



© 2018-19 Goods and Services Tax Network

Site Last Updated on 12-06-2019

Designed & Developed by GSTN

Site best viewed at 1024 x 768 resolution in Internet Explorer 10+, Google Chrome 49+, Firefox 45+ and Safari 6+

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Xerox copy submitted to equity bank for A/C opening date on- 24.6.2019

आयकर विभाग
INCOME TAX DEPARTMENT

भारत सरकार
GOVT. OF INDIA

स्थायी लेखा संख्या कार्ड
Permanent Account Number Card

DPDPP1122R

नाम/ Name
NARESH PAL

पिता का नाम/ Father's Name
DHANI RAM

जन्म की तारीख / Date of Birth
15/07/1983

नरेश पाल
हस्ताक्षर/ Signature



12042017

01221 4/19

I confirm that photo on Pan matched with OVD & Pan (AOF & Aadhar) This is the best possible copy verified from original OVD copy retained at branch

MOHD NADEEM
Major
Mhow Cantt

LUBNA ZULQER
Manager Sales & Service
Login ID: 23769

Equitas Small Finance Bank

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Vernacular Declaration

Date: 24-04-2019

To

The Manager,

Equitas Small Finance Bank Ltd

KAIKHALI CHAKAN Branch

Dear Sir /Madam,

Subject: Vernacular Declaration

I hereby declare that the Terms and Conditions regarding the account opened by me with you, have been read over to me in the language known to me and understood by me in full.

I confirm that I have signed all necessary documents for the aforesaid purpose in HINDI language and shall be bound by the same.

Thanking You

Yours Faithfully

(signature)

Name of the Customer:

RAJESH JA

For Official Use Only:

Signature of the Official explained the Terms & conditions

Name:

Employee Number:

Designation:

LUBNA ZULGER
Manager Sales & Ops
Emp. ID: [redacted]

Equitas Small Finance Bank Ltd

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CONTACT POINT VERIFICATION (CPV) AT COMMUNICATION ADDRESS
NON-INDIVIDUAL ENTITY ACCOUNTS - RETAIL LIABILITIES

SR.NO	PARTICULARS	BRANCH RESPONSE (Tick wherever applicable)
1.	Date of CPV	24/06/2019
2.	Application Number	267522
3.	Name of Entity along with Address Visited UCIC (For Existing Customer)	EQUITY MANTRA (NARESH PAL) SHP OLD No-1205, 2602-2603 NAYA BAZAR NAI BASTI CHANDNI CHOWK NORTH DELHI - 110006
4.	Constitution Type	PROPRIETERSHIP
5	Name & Designation of the person met at Communication address. (Please tick)	NARESH PAL 1. Proprietor 2. Partner 3. Director 4. Trustee 5. Authorized signatory
6.	Purpose for conducting Field Investigation (Branch can capture multiple purposes in one CPV report.) (Please tick)	1. Second Entity Proof not available**. 2. Linkage proof not established. 3. Nature of business not established. 4. If others specify:..... ** - Provide reason for non-availability of second entity proof: NEW FIRM
7.	Activity / Details of the address visited (Please tick)	1.Registered Office 2.Business Address 3.Factory 4.Communication address 5.Godown 6. Resident cum office 7. If Others specify:.....

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8.	**Business Vintage**	.....Years.....6..months 4 days
9.	Hoarding / Name Board sighted (If NO, please provide reasons.)	1. YES: ____ 2. NO: ____
10.	**Nature of Business**	Trading of food GRAIN
11.	**Is any other business activity also being carried in the said address?** (Other than, what is mentioned in the AOF? If yes, confirm line of business / activity)	— Nil —
12.	**Photographs attached** **(Mandatory)**	1. Photo of Name Board of Entity 2. Photo of premises depicting Business activity /Proprietor.

Other Comments If any:

Undertaking by the Staff conducting Contact Point Verification

I hereby confirm having visited the address mentioned above, verified the business existence and the same is in line with the profile mentioned. I also confirm to have met the Proprietor / Partner / Director / authorized signatory in the said address and the photographs of the entity attached were taken by me while on field verification.

No adverse observations sighted during the said the contact point verification done by me and the above information furnished by me is true. I shall be fully held responsible in case of any discrepancies found.

BRANCH NAME: Rajouri Garden **BRANCH CODE:** 0004 **Emp Code:** 38769

NAME OF BM / MSS / CSM :

Signature of BM / MSS / CSM :

LUBNA ZULQER
Manager Sales & Service
Emp. ID: 38769


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STATEMENT OF ACCOUNT
Equitas Small Finance Bank Ltd

EQUITY MANTRA
G/F OLD NO-1205 2602-2603 NAYA
BAZAR NAI BASTI CHANDANI CHOWK
NORTH DELHI
NORTH DELHI 110006
DELHI
India

Account No : 200001097750
Business Current
Branch : RAJOURI GARDEN - 20006
J-13/44A,
Rajouri garden,
West Delhi 110027
DELHI
Phone Nos: 7448829193,7448829194
MICR : 110756011
IFSC : ESFB0020006
Nomination : DHANI RAM
OD Limit : 0
Currency : INR

Joint Holder1 : NARESH PAL
Joint Holder2 :

Period: 07-JUL-19 to 10-MAR-22

Tran Date	Value Date	Ref	Description	Debits	Credits	Balance
07-JUL-19	07-JUL-19		B/F ...	0.00	0.00	0.00
09-JUL-19	09-JUL-19	000000415387	INDUSIND BANK - CTS CLEARING - CLG	0.00	11,000.00	11,000.00
12-JUL-19	12-JUL-19		CARD ISSUE CHGS+GST:080719/22253094	177.00	0.00	10,823.00
02-AUG-19	02-AUG-19		IMP 921416206798 9953595240VIA ATM5668 TRANSFER CR - IMPS INWARD SETTLEMENT	0.00	1.00	10,824.00
07-AUG-19	07-AUG-19	000000000001	I/W CHQ RETURN-INWAR-EQUITY MANTRA	100,000.00	0.00	-89,176.00
07-AUG-19	07-AUG-19	000000000001	I/W CHQ RETURN-INWARD CLEARING-CLG-	0.00	100,000.00	10,824.00
15-AUG-19	15-AUG-19		IW CHQ RET CHG+GST:070819/24557082	413.00	0.00	10,411.00
28-AUG-19	28-AUG-19		IMP 924015026723 EQUITAS TRANSFER CR - EQUITY MANTRA	0.00	100,000.00	110,411.00
29-AUG-19	29-AUG-19	000000000001	CHQ PAID-INWARD CLEA-EQUITY MANTRA	100,000.00	0.00	10,411.00
11-SEP-19	11-SEP-19		925414686880 TRANSFER CR - EQUITY MANTRA	0.00	25,000.00	35,411.00
13-SEP-19	13-SEP-19		NEFT CR-BARB0FULPAD-HEMANTBHAI JASVANTBHAI JOSHI-EQUITY MANTRA-BARBX19256680330	0.00	2,500.00	37,911.00
26-SEP-19	26-SEP-19	1308	ATM WITHDRAWAL\472357****** 1721\J-13/44A, RAJOURI\DELHI \DLIN	1,000.00	0.00	36,911.00
26-SEP-19	26-SEP-19		926917016202 TRANSFER CR - EQUITY MANTRA	0.00	25,000.00	61,911.00
26-SEP-19	26-SEP-19		926917019032 TRANSFER CR - EQUITY MANTRA	0.00	5,000.00	66,911.00
27-SEP-19	27-SEP-19		927010218864 TRANSFER CR - EQUITY MANTRA	0.00	20,000.00	86,911.00

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Tran Date	Value Date	Ref	Description	Debits	Credits	Balance
30-SEP-19	30-SEP-19	927320020389	ATM WITHDRAWAL\472357****** 1721\INDUSIND BANK LIM\NEW DELHI \DLIN	2,000.00	0.00	84,911.00
01-OCT-19	01-OCT-19	927413003390	ATM WITHDRAWAL\472357****** 1721\+UBI SECTOR 8 ROH\NEW DELHI \DLIN	10,000.00	0.00	74,911.00
01-OCT-19	01-OCT-19	927413003780	ATM WITHDRAWAL\472357****** 1721\+UBI SECTOR 8 ROH\NEW DELHI \DLIN	10,000.00	0.00	64,911.00
01-OCT-19	01-OCT-19	927413004269	ATM WITHDRAWAL\472357****** 1721\+UBI SECTOR 8 ROH\NEW DELHI \DLIN	5,000.00	0.00	59,911.00
02-OCT-19	02-OCT-19	927513017192	ATM WITHDRAWAL\472357****** 1721\+SBI RAJA GARDEN\NEW DELHI \DLIN	10,000.00	0.00	49,911.00
02-OCT-19	02-OCT-19	927513017218	ATM WITHDRAWAL\472357****** 1721\+SBI RAJA GARDEN\NEW DELHI \DLIN	10,000.00	0.00	39,911.00
02-OCT-19	02-OCT-19	927513017061	ATM WITHDRAWAL\472357****** 1721\+SBI RAJA GARDEN\NEW DELHI \DLIN	5,000.00	0.00	34,911.00
03-OCT-19	03-OCT-19	927612004181	ATM WITHDRAWAL\472357****** 1721\+SECTOR 8 ROHINI \NEW DELHI \DLIN	10,000.00	0.00	24,911.00
03-OCT-19	03-OCT-19	927612004590	ATM WITHDRAWAL\472357****** 1721\+SECTOR 8 ROHINI \NEW DELHI \DLIN	10,000.00	0.00	14,911.00
03-OCT-19	03-OCT-19	927612004991	ATM WITHDRAWAL\472357****** 1721\+SECTOR 8 ROHINI \NEW DELHI \DLIN	5,000.00	0.00	9,911.00
07-OCT-19	07-OCT-19		OTH BK ATM CHG+GST:300919/28453406	23.60	0.00	9,887.40
08-OCT-19	08-OCT-19		OTH BK ATM CHG+GST:011019/28945061	23.60	0.00	9,863.80
08-OCT-19	08-OCT-19		OTH BK ATM CHG+GST:011019/28945123	23.60	0.00	9,840.20
08-OCT-19	08-OCT-19		OTH BK ATM CHG+GST:011019/28945175	23.60	0.00	9,816.60
09-OCT-19	09-OCT-19		OTH BK ATM CHG+GST:021019/29018309	23.60	0.00	9,793.00
09-OCT-19	09-OCT-19		OTH BK ATM CHG+GST:021019/29025618	23.60	0.00	9,769.40
09-OCT-19	09-OCT-19		OTH BK ATM CHG+GST:021019/29025858	23.60	0.00	9,745.80
09-OCT-19	09-OCT-19		OTH BK ATM CHG+GST:031019/29085765	23.60	0.00	9,722.20
09-OCT-19	09-OCT-19		OTH BK ATM CHG+GST:031019/29085769	23.60	0.00	9,698.60
09-OCT-19	09-OCT-19		OTH BK ATM CHG+GST:031019/29070000	23.60	0.00	9,675.00
26-OCT-19	26-OCT-19	929914874597	PURCHASE \472357******1721\DOMINOS PIZZA \ NEW DELHI\ IN	573.30	0.00	9,101.70

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Tran Date	Value Date	Ref	Description	Debits	Credits	Balance
26-OCT-19	26-OCT-19	929914882900	PURCHASE \472357******1721\DOMINOS PIZZA \ NEW DELHI\ IN	313.14	0.00	8,788.56
18-NOV-19	18-NOV-19	932222007489	ATM WITHDRAWAL\472357****** 1721\+SBI RAJA GARDEN\NEW DELHI \DLIN	500.00	0.00	8,288.56
20-NOV-19	20-NOV-19		OTH BK ATM CHG+GST:181119/32663478	23.60	0.00	8,264.96
21-NOV-19	21-NOV-19		932544595365 TRANSFER CR - EQUITY MANTRA	0.00	6,039.00	14,303.96
21-NOV-19	21-NOV-19		932528175633 TRANSFER CR - EQUITY MANTRA	0.00	25,000.00	39,303.96
22-NOV-19	22-NOV-19		932620882880 TRANSFER CR - EQUITY MANTRA	0.00	50,000.00	89,303.96
01-DEC-19	01-DEC-19		IMP P2A 933514635210 2573030 INDUS TRANSFER DR - IMPS OUTWARD SETTLEMENT	1.00	0.00	89,302.96
02-DEC-19	02-DEC-19		IMP P2A 933615735627 0911201 RENT SANDEEP GOME TRANSFER DR - IMPS OUTWARD SETTLEMENT	36,000.00	0.00	53,302.96
09-DEC-19	09-DEC-19		IMPS CHGS+GST:011219/33713906	5.90	0.00	53,297.06
09-DEC-19	09-DEC-19		IMPS CHGS+GST:021219/33771199	5.90	0.00	53,291.16
13-DEC-19	13-DEC-19		IMP P2A 934717816310 0911201 RENT SANDEEP GOME TRANSFER DR - IMPS OUTWARD SETTLEMENT	36,000.00	0.00	17,291.16
14-DEC-19	14-DEC-19		IMPS CHGS+GST:131219/34581798	5.90	0.00	17,285.26
18-DEC-19	18-DEC-19		NEFT DR-N352190004328556-SANDEEP GOME-ORBC0100911-HEAD OFFICE	12,000.00	0.00	5,285.26
24-JAN-20	24-JAN-20		002464029374 TRANSFER CR - UPI INWARD ACCOUNT	0.00	30,000.00	35,285.26
26-JAN-20	26-JAN-20		IMP P2A 002622859862 2573030 EQUITY INDUS TRANSFER DR - IMPS OUTWARD SETTLEMENT	34,000.00	0.00	1,285.26
27-JAN-20	27-JAN-20		IMPS CHGS+GST:260120/38319421	5.90	0.00	1,279.36
07-FEB-20	07-FEB-20		AMB NMCHARGES + GST:310120/39464990	472.00	0.00	807.36
11-FEB-20	11-FEB-20		004215812161 TRANSFER CR - UPI INWARD ACCOUNT	0.00	50,000.00	50,807.36
12-FEB-20	12-FEB-20		IMP 004309324680 P2A TRANSFER CR - IMPS INWARD SETTLEMENT	0.00	5,000.00	55,807.36
12-FEB-20	12-FEB-20		IMP 004313613594 P2A TRANSFER CR - IMPS	0.00	3,000.00	58,807.36

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Tran Date	Value Date	Ref	Description	Debits	Credits	Balance
			INWARD SETTLEMENT			
14-FEB-20	14-FEB-20		IMP 004511306040 P2A TRANSFER CR - IMPS INWARD SETTLEMENT	0.00	5,000.00	63,807.36
17-FEB-20	17-FEB-20		IMP 004810529273 P2A TRANSFER CR - IMPS INWARD SETTLEMENT	0.00	10,000.00	73,807.36
18-FEB-20	18-FEB-20		IMP P2A 004918271146 2573030 INDUS EQUITY MANTRA TRANSFER DR - IMPS OUTWARD SETTLEMENT	70,000.00	0.00	3,807.36
19-FEB-20	19-FEB-20		IMPS CHGS+GST:180220/409637 87	17.70	0.00	3,789.66
22-FEB-20	22-FEB-20		005316551690 TRANSFER CR - UPI INWARD ACCOUNT	0.00	100,000.00	103,789.66
02-MAR-20	02-MAR-20		NEFT DR-N062200005012372-SANDEEP GOME-ORBC0100911-HEAD OFFICE	5,000.00	0.00	98,789.66
14-MAR-20	14-MAR-20		IMP P2A 007410929959 0911201 SANDEEP GOME RENT TRANSFER DR - IMPS OUTWARD SETTLEMENT	20,000.00	0.00	78,789.66
14-MAR-20	14-MAR-20		IMP P2A 007416969282 2573030 INDUSLND EQUITY TRANSFER DR - IMPS OUTWARD SETTLEMENT	78,000.00	0.00	789.66
17-JUL-20	17-JUL-20		019912109299 TRANSFER CR - UPI INWARD ACCOUNT	0.00	1.00	790.66
25-JUL-20	25-JUL-20		CARD ANNUAL MAINTENAN:240720/517714 69	118.00	0.00	672.66
31-JUL-20	31-JUL-20		021313217585 TRANSFER CR - UPI INWARD ACCOUNT	0.00	1.00	673.66
25-JUL-21	25-JUL-21		CARD ANNUAL MAINTENAN:240721/791436 69	118.00	0.00	555.66
25-JUL-21	25-JUL-21		CARD ANNUAL MAINTENAN:240721/791436 69	118.00	0.00	437.66
27-JUL-21	25-JUL-21	999	REVCARD ANNUAL MAINTENAN:240721/791436 69	0.00	118.00	555.66

Statement Summary :

Opening Balance	Dr./ Cr.	Total Debit Amount	Total Credit Amount	Debit Count	Credit Count	Closing Balance
0.00	C	572,104.34	572,660.00	47	22	555.66

******END OF STATEMENT******

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Unknown Host

Description: Unable to locate the server named "*www.equitymantra.in*" --- the server does not have a DNS entry. Perhaps there is a misspelling in the server name, or the server no longer exists. Double-check the name and try again.

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WhoisXMLAPI Login Sign Up

www.equitymantra.in WHOIS History details

Domain name

Historical record(s) found: 7

The lookup is limited to the last 3 records, use our API or Domain Research Suite to get complete data.

WHOIS record (September 21, 2021)

WHOIS record (January 21, 2021)

WHOIS record (July 7, 2019)

Parsed domain name: equitymantra.in

Created Date Raw: Sat, 27 Oct 2018 17:16:06 GMT

Created Date ISO8601: Sat, 27 Oct 2018 17:16:06 GMT

Expires Date Raw: Tue, 27 Oct 2020 17:16:06 GMT

Expires Date ISO8601: Tue, 27 Oct 2020 17:16:06 GMT

Updated Date Raw: Wed, 27 Mar 2019 13:36:05 GMT

Updated Date ISO8601: Wed, 27 Mar 2019 13:36:05 GMT

Registrar name: GoDaddy.com, LLC

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WhoisXMLAPI

Login Sign Up

ns2.bh-in-14.webhostbox.net|ns1.bh-in-14.webhostbox.net|

Domain EPP status codes by ICANN list

Share this report

https://whois-history.whoisxmlapi.com/lookup-report/JPRqv...

Have questions?

We are here to listen. For a quick response, please select your request type or check our Contact us page for more information. By submitting a request, you agree to our Terms of Service and Privacy Policy.

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WhoisXMLAPI

Login Sign Up

WHOIS History Lookup

Request type*

Leave request

WhoisXMLAPI

APIs

WHOIS API

Brand Alert API

Bulk WHOIS API

DNS Lookup API

Domain Availability API

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WhoisXMLAPI

Login Sign Up

WHOIS History Lookup

Registrant Alert API

Reverse DNS API

Reverse IP API

Reverse MX API

Reverse NS API

Reverse WHOIS API

Screenshot API

SSL Certificates API

Subdomains Lookup API

Website Categorization API

Website Contacts API

WHOIS History API

Data feeds

WHOIS Database Download

WHOIS History Database

All Registered Domains

Disposable Email Domains Data Feed

DNS Database Download

IP Geolocation Data Feed

Parsed Whois WHOIS Database

here

WHOIS History Lookup

Subdomains Database Download

Threat Intelligence Data Feeds

Typosquatting Data Feed

Website Contacts & Categorization Database

WHOIS Conclave

Domain Research & Monitoring

Domain Research Suite

Domain Monitor

Registrant Monitor

Brand Monitor

Reverse WHOIS Search

WHOIS History Search

WHOIS Search

Reverse DNS Search

Domain Availability Check

Domains & Subdomains Discovery

Bulk WHOIS Search

Bulk Email Verification

121

WhoisXMLAPI

Login Sign Up

WHOIS History Lookup

Custom solutions

Registrar WHOIS Services

Internet Statistics Reports

Cyber-security research

Threat Intelligence Platform

Threat Intelligence API

DRS White-Label

Domain Research Suite

Brand Monitor

Mobile App

Need access to whois data on the go?
Install our application on your device!

here

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The Wayback Machine - https://web.archive.org/web/20181120040302/https://equitymantra.in/

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The Wayback Machine - https://web.archive.org/web/20191127103348/https://s.tradingview.com/embed-widget/tickers/?locale=in

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We do not provide calls in BSE.

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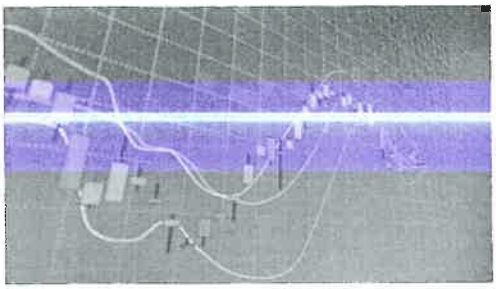
Your Name* **Your Phone Number***

Your Email* **Your City***

Your Message

Send

What We Offer



HNI Cash

This service is designed after a lot of inputs from a big group of extensive stock cash traders Explore more...



Stock Cash

In this service Alliance Research provide stock recommendations, intraday tips for intraday traders Explore more...



Overnight Cash Express

This service is exclusively designed for those traders who have a good investment & risk appetite Explore more...

Market Updates

Every trader joins share market for EquityMantra

IndiaNotes: Top Gainers and Losers (https://web.archive.org/web/20181127234638/https://

	Sensex	Nifty
Top Gainers		
GAIL (India) Ltd. (/web/20181127234638/https://www.indianotes.com/equity-research/gail/)	349.5	2.9%
Infosys Ltd. (/web/20181127234638/https://www.indianotes.com/equity-research/infosys/)	639.0	2.9%
Tata Consultancy Services Ltd. (/web/20181127234638/https://www.indianotes.com/equity-research/tcs/)	1888.35	2.3%
Reliance Industries Ltd.	1127.5	1.6%

IndiaNotes: Commodity Prices (https://web.archive.org/web/20181206040541/http

Index	Rate(Rs)	Change
Aluminium	140.1	0.3%
Aluminium Mini BHIW	140.1	0.3%
Cardamom VAND	1452.1	0.2%
Copper	437.4	0.7%
Copper Mini MUM	437.3	0.6%
Cotton(29mm) RJKT	21960	0.3%
Crude Oil	3797	0.8%
Crude Oil Mini MUM	3798	0.8%
CrudePalmOil	493.3	0.3%
Gold	30900	-0.2%
Gold Guinea AHM	24787	0.2%
Gold M	30858	-0.3%

Why Do People Choose Us?

EquityMantra is one of the leading investment advisory firm across industry.



Advisor

It's a good idea to choose an advisor carefully according to his or her professional credentials, experience and expertise.



Save Money

Saving money is the process of putting cold, hard cash aside and parking it in extremely safe, and liquid securities of accounts.



Secure Future

Secure your financial future and enhance your wealth without being impacted by economic swings.



Business Services

Business services is a general term that describes work that supports a business but does not produce a tangible commodity like procurement, shipping and finance.



Financial Services

Financial service is part of financial system that provides different types of finance through various credit instruments, financial products and services.



Portfolio Management

Portfolio management is all about determining strengths, weaknesses, opportunities and threats in the choice of debt vs. equity, growth vs. safety, etc.

Testimonials

Listen to what our clients say

Hi I am paid client of EquityMantra I saw many complaints about this company. But in that circumstances I got good support and service from executive Mr. Sachin Yadav. He is Very polite and supportive person.

Rajashree Kumar

Enter Your Name | Enter Your Email Id | Enter Your Phone Number | [illegible]

(116)

Corporate Office

Alpha One Mall Sarkari Vasahat Road,
Ahmedabad 380006, India

Customer Care

+91 7048924477

Support

support@equitymantra.in

EquityMantra is an emerging Global Business Conglomerate incorporated by the Proficient Stock Market veterans after huge success...

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- Stock Future
- HNI Options

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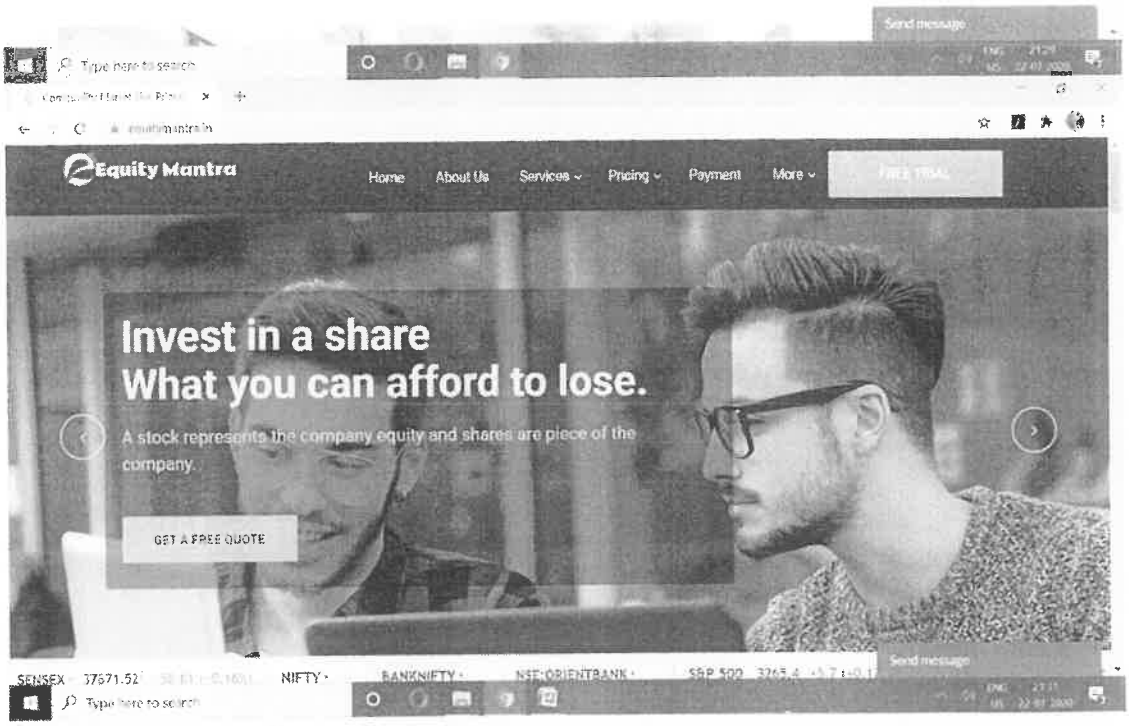
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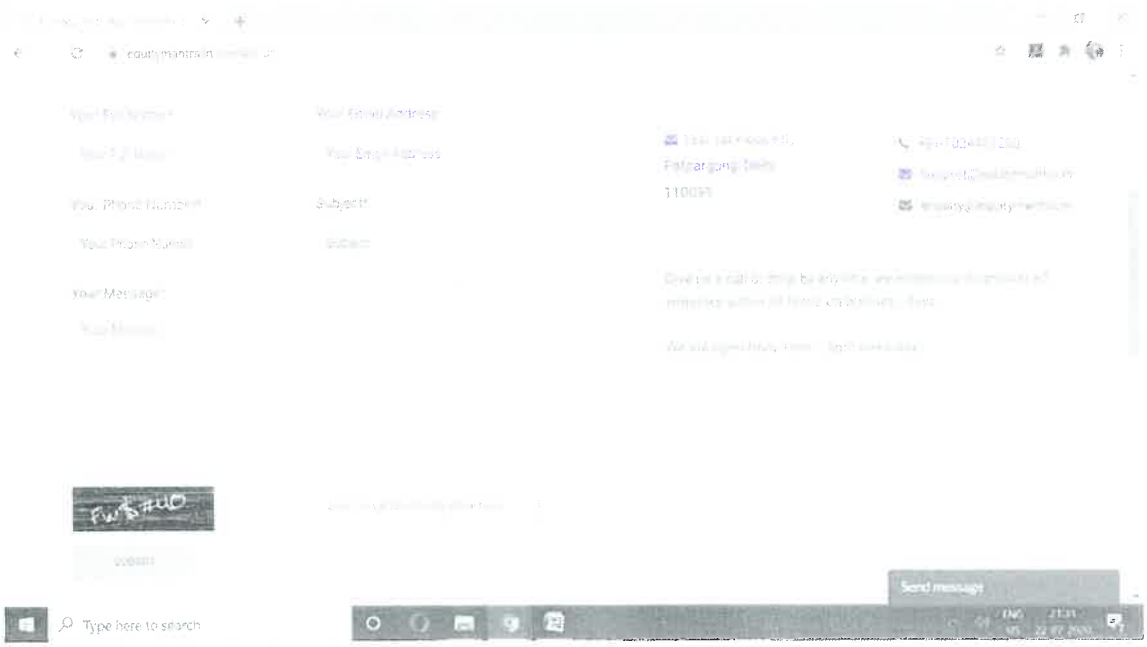


SEBI Registration Number : INA100005478

What We Offer



114


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Sl. No.	Registration No	VIP	Complainant Name / Complaint Lodged by	Complaint Against	Category	Complaint Status	Date of Receipt
1	SEBIP/DH21/0000103/1	--	LAXMAN RAM KASANA	Equity Mantra	Investment Adviser	Disposed	24/02/2021
2	SEBIE/DH21/0000045/1	--	Chethan R Naik	Equity Mantra	Investment Adviser	Disposed	07/12/2020
3	SEBIE/DH20/0001621/1	--	Sushant Ashok Kadam /	Equity Mantra	Investment Adviser	Disposed	12/08/2020
4	SEBIE/DH20/0001333/1	--	Raj Dharmendra Patel	Equity Mantra	Investment Adviser	Disposed	13/08/2020
5	SEBIE/DH20/0001197/1	--	prathamesh matondkar	Equity Mantra	Investment Adviser	Disposed	20/07/2020
6	DARPG/E/2020/03651	--	Mushtaq Ahmad Gaani	Equity Mantra	Investment Adviser	Disposed	22/02/2020
7	SEBIE/DH20/0000530/1	--	SURAJIT JOARDAR	Equity Mantra	Investment Adviser	Disposed	20/01/2020
8	SEBIE/DH20/0000448/1	--	pradeep sitaram verma	Equity Mantra	Investment Adviser	Disposed	10/01/2020
9	SEBIE/DH20/0000364/1	--	Sanjay Suman	Equity Mantra	Investment Adviser	Disposed	31/01/2020
10	SEBIE/DH20/0000312/1	--	Abbasuddin molla	Equity Mantra	Investment Adviser	Disposed	24/01/2020
11	SEBIE/DH20/0000294/1	--	Nasiruddin	Equity Mantra	Investment Adviser	Disposed	21/12/2019
12	SEBIE/DH20/0000293/1	--	Nasiruddin	Equity Mantra	Investment Adviser	Disposed	21/12/2019
13	SEBIE/DH19/0001250/1	--	Sandeep Bhingle	Equity Mantra	Investment Adviser	Disposed	03/10/2019

(112)

Complaint Details

Registration No	SEBIP/DH21/0000103/1
Name	LAXMAN RAM KASANA
Address	GALI NO.11, KHANPURA ROAD,
City/Location	Ajmer
Pincode	305003
PAN No	--
State	Rajasthan
Mobile No	7976705167
Email Address	L.R.kasana55@gmail.com
Date of Receipt	2021-02-24
SEBI Inward Number	10309
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	for necessary action
Attached Document	View Attachment

(m)



राजस्थान RAJASTHAN AZ 793983

नाम :– लक्ष्मण राम कसाणा पुत्र श्री महादेव सिंह
आयु :– 71 वर्ष
पता :– गली नं. 11, खानपुरा रोड़,
सुभाष नगर, अजमेर. (राज0)
पिन कोड़ :– 305003

महोदय,

Equity Mantra Company, New Delhi से राजवीर चौहान व जयसिंह ठाकुर फोन नं. 7898822822, 8269244317, 8269246857 रोज फोन आते थे। उन्होनें कहा कि हम प्रतिदिन 4000 रू. कमा के देंगे। इस तरह उन्होंने मेरे से 1,23,000/– रूपये (एक लाख तेईस हजार रूपये) कम्पनी के Indusland Bank a/c No. 257303046719, Community Central Janakpuri, New Delhi में जमा करवा लिए बाद में उन्होंने फोन करना बंद कर दिया व मेरे फोन नम्बर को ब्लैकलिस्ट में डाल दिया।

मेरे Equity Mantra Company, New Delhi में मेरे 1,23,000/– (एक लाख तेईस हजार रूपये) फंसे हुए थे। 25 अगस्त को मिस्टर सतीश शर्मा (मो. 7049597369) का फोन आया कि SEBI ने हमें ऐसी राशि को कम्पनियों से निकालने के अधिकृत कर रखा है, हमने कई लोगो की फंसी हुई धनराशि अनेक कम्पनियों से निकालकर लोगो को राहत पहुचायी है।

मैंने उनसे कहा कि मैं कैसे विश्वास कर लूं कि आप सही व्यक्ति है, उन्होने 2 सितम्बर, 2020 को एक SEBI का सर्टिफिकेट Whatsapp पर भिजवाया। उस सर्टिफिकेट मे सतीश शर्मा को Advisory कार्यों के लिए अधिकृत कर रखा था। दो दिन बाद मिस्टर सतीश शर्मा (मो. 7049597369) को फोन आया कि आप काम शुरू करें।

उन्होने विभिन्न बैंको में Phone Pay व Google Pay, कैनेरा बैंक नेट बैंकिंग आदि द्वारा निम्न राशियां जमा करवायी :–

Notary Public Ajmer

(110)

दिनांक 10/2/2021

नाम

बेटा / पति का नाम श्री

निवासी

उम्र वर्ष

क्रेता

द्वारा मुद्रांक विक्रेता

Vinod Kumar Advocate
(License No.54/2017)

HDFC Bank Total Amount = 13,200/- Rs	
A/c No. 50200049093500 Name :- SEBI	
IFSC Code :- 0000036	
Transaction Date	Amount
3rd Sept, 2020	1000.00
10th Sept, 2020	2000.00
10th Sept, 2020	3000.00
11th Sept, 2020	2000.00
14th Sept, 2020	5200.00
Total	13,200.00/-

Kotak Mahindra Bank Total Amount = 67,094/- Rs	
A/c No. 9012547428	
IFSC Code :- KKBK0000753	
Name :- SEBI	
Transaction Date	Amount
07th Dec, 2020	32000.00
07th Dec, 2020	35094.00
Total	67,094.00

Bhandhan Bank Total Amount = 30,992/- Rs	
Name :- SEBI	
A/c No. 502000171168710	
IFSC Code :- BDBL0001878	
Transaction Date	Amount
13th Oct, 2020	4221.00
16th Oct, 2020	4221.00
16th Oct, 2020	1700.00
19th Oct, 2020	4210.00
20th Oct, 2020	5700.00
21st Oct, 2020	4500.00
22nd Oct, 2020	6440.00
Total	30,992.00/-

Small Finance Bank Total Amount = 1,49,100/- Rs	
A/c No. 502000001734295	
IFSC Code :- ESME0001237	
Name :- SEBI	
Transaction Date	Amount
19th Nov, 2020	19900.00
26th Nov, 2020	27000.00
21st Nov, 2020	22500.00
26th Nov, 2020	27000.00
26th Nov, 2020	16000.00
26th Nov, 2020	16000.00
01st Dec, 2020	20700.00
Total	1,49,100.00/-

AU Bank Total Amount = 4,50,967/- Rs	
Name :- SEBI	
A/c No. 1911231426074342	
IFSC Code :- AUBL0002314	
Transaction Date	Amount
26TH Oct, 2020	5500.00
28th Oct, 2020	9500.00
29th Oct, 2020	10800.00
31st Oct, 2020	19000.00
31st Oct, 2020	25560.00
31st Oct, 2020	13000.00
02nd Oct, 2020	20000.00
03rd Nov, 2020	32467.00
04th Nov, 2020	15000.00
04th Nov, 2020	30000.00
05th Nov, 2020	37500.00
07th Nov, 2020	55500.00
11th Nov, 2020	22140.00
11th Nov, 2020	10700.00
12th Nov, 2020	15200.00
12th Nov, 2020	14200.00
13th Nov, 2020	16500.00
14th Nov, 2020	17500.00
01st Dec, 2020	20700.00
11th Dec, 2020	20000.00
12th Dec, 2020	10200.00
26th Dec, 2020	32000.00
Total	4,50,967.00

Total Bank Amount	
HDFC Bank	13,200.00
Bandhan Bank	30,992.00
AU Bank	4,50,967.00
Small Finance Bank	1,49,100.00
Kotak Mahindra Bank	67,094.00
Punjab National Bank	2,16,940.00
Total	9,28,000.00
Equity Mantra	1,23,000.00
Total Amount	10,51,293.00

Punjab National Bank Total Amount = 2,16,940/- Rs	
A/c No. 6595000100053200	
IFSC Code :-PUNB0659500	
Name :- SEBI	
Transaction Date	Amount
04th Dec, 2020	15000.00
14th Dec, 2020	48000.00
16th Dec, 2020	52000.00
17th Dec, 2020	31000.00
18th Dec, 2020	37240.00
18th Dec, 2020	3500.00
Total	2,16,940.00

NOTARY
PRAKASH SHARMA
Regd. No. : 11/14
Exp. on 27-10-2021

जितनी भी राशियां विभिन्न खातों में भिजवायी गयी उनकी सभी के प्रविष्टियां :—

1. Canara Bank, Kucthery Road, Ajmer (A/c No. 0167108013473) and (IFSC Code. CNRB0000167)
2. SBI Bank, HMT, Ajmer (A/c No. 00000031818381180) and (IFSC Code. SBIN0001379)
3. Punjab National Bank, Ramganj Branch, Ajmer (A/c No. 0963000100580538) and (IFSC Code. PUNB0096300)

आदि बैंको के खातों में की गयी है।

विभिन्न धनराशियों के विभिन्न खातों में जमा कराने के लिये Mr. Sumit (सुमित) मों न. 9009498296 से फोन कर रहा था। HDFC Bank व Bhandhan Bank के विभिन्न खातों में राशि जमा कराने के निर्देश सतीश शर्मा (7049597369) ने दिये। इन दोनो के बाद के बैंक राशि जमा कराने के सारे निर्देश सुमित ने दिये।

जब राशि 5 लाख के बराबर हो गयी तब मि0 सुमित ने 15रू कैनरा बैंक में डालने का नाटक किया व बोला कि कोई मैसेज आपके पास आया है या नही। मेरे पास मोबाईल में कोई मैसेज नहीं आया आपके पास 45 मिनट का समय है वरना कोई धनराशि आपको नहीं भेजी जायेगी।
एक बार जब मुझे 48,000/— रूपये जमा कराने को कहा गया मैने कहा मेरे पास कोई राशि नही है तो सतीश शर्मा ने कहा में 10,000/— रूपये अपनी और से मिला देता हूँ व सुमित ने कहा मैं मेरे लडके की फीस में 8000/— रूपये मिला देता हूँ। इस प्रकार इन्होने मुझे विश्वास में लिया।
इसी बिच राजीव राजपूत (8827709304) ने Entry करी। उसने आश्वासन दिया कि आपको हमारी कम्पनी से कोई भुगतान नही होगा आपकी सारी राशि सेफ है। मेरे कहे अनुसार चलो मैं सारी राशि निकला दूंगा उन्होने सुमित के माध्यम से 3—4 बार राशि मंगवायी परन्तु मेरा Amount नही लौटाया। वे सुमित को निर्देश देते रहे राशि मगाते रहो।

इसी बीच मि0 सागर की प्रविष्टि हुई उन्होने कहा कि आप मेरे अनुसार धन भेजो मैं 60 से 61 मिनट नही लगाउंगा। आपकी राशि भिजवा दुंगा।
सुमित फोन करके कॉल होल्ड पर रख देता व कहता सर से बात करो यह व्यक्ति सुबह बोलता 20700 भिजवाओ आपका काम हो जायेगा। 20700 पर भेजने पर यह व्यक्ति 5—6 बजे तक खामोश रहता व 6:30 बजे बोलता अपनी 15 मिनट के 22000 रूपये तुरन्त भिजवाओ वरना यह राशि आपके

Refund A/c में Add हो जायेगी। मैं राशि कहा से लाता दूसरे दिन सुमित का फोन आता व 2200 रूपये आज़ भिजवा दो आपका काम हो जायेगा। मैंने अधिकारीयों से बात कर ली है।
मैं जैसे राशि भिजवाता सुमित बोलता आपका काम हो जायेगा। दो दिन बाद पुनः कहता आप रकम उसी दिन भिजवाते तो आपका काम हो जाता। यह राशि आपके Refund A/c में Add हो गयी है। अब राशि ज्यादा हो गयी तो पूरी रकम का 8 प्रतिशत पेनेल्टी लगेगी। इस प्रकार बार—बार पेनेल्टी व Charge के नाम राशि लेते रहे। इस तरह करते—करते कुल रकम 10,51,293 रूपये (Equity Mantra 1,23,000 शामिल) दिनांक 20.

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दिसम्बर के बाद इन्होने सभी लोगों ने अपने मोबाईल फोन बंद कर लिये।
इन सभी व्यक्ति Pre Plan करके मुझ जैसे व्यक्ति से ठगी की है। SEBI के सर्टिफिकेट के नाम से ठगते रहे।
अतः ऐसे लोगों को तुरन्त गिरफ्तार करके मेरी धनराशि दिलवाने की कृपा करें।
पुनश्चः 26/11/2020 को इन्होंने मुझसे 32000 रूपये मंगवाये।
गलती से AU Bank में जमा हो गये। इन्होने कहा कि आपने गलत खाते में रकम भेज दी है पुनः 32000 रूपये भिजवाने थे 32000 रूपये आपको 21 दिनों में लौटा दिये जायेगी। आज तक 32000 रूपये मेरे पास नही आये।

ठगी करने वाले व्यक्तियों के नाम :–	मोबाईल नं.
सतीश शर्मा	7049597369
सुमित	9009498296
राजीव राजपूत	8827709304

संलग्न सतीश शर्मा द्वारा भेजा गया Advisory Certificate की प्रति

दिनांक 22.02.2021

भवदीय

लक्ष्मणराम पुत्र श्री
महादेव सिंह
पता :– गली नं. 11, खानपुरा रोड़, सुभाष नगर, अजमेर (राज.) 305003 मों.
7976705167
ई मेलः– L.R.kasana55@gmail.com

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SECURITIES AND EXCHANGE BOARD OF INDIA

(निवेश सलाहकार) विनियमावली, 2013

(INVESTMENT ADVISERS) REGULATIONS, 2013

निवेश सलाहकार के रूप में रजिस्ट्रीकरण का प्रमाणपत्र

CERTIFICATE OF REGISTRATION AS INVESTMENT ADVISER

In exercise of the powers conferred by sub-section (1) of Section 12 of the Securities and Exchange Board of India Act, 1992 (15 of 1992) read with the regulations made thereunder, the Board hereby grants a certificate of registration to

M/s Sadhe Sharma
E-3/1, 2nd Floor, Rajpal Chowk
Sector-7, Dwarka, New Delhi-110077

to act as Investment Adviser, subject to the conditions specified in the Act and in the regulations made thereunder.

Registration No. INA000001671
(Valid from 30/04/2019 to 29/04/2024)

By order
Securities and Exchange Board of India

New Delhi
April 30, 2019

105

UNION OF INDIA **Driving Licence** (RJ) (NT)

RJ01 20060100805

जारी करने की तिथि
Date of First Issue
10/07/2006

वैधता / Validity
08/11/2021

जन्म तिथि
Date of Birth
07/06/1949

Blood Group
UNKNOWN



नाम / Name

LAXMAN RAM KASANA

पिता/पति का नाम / Son/Daughter/Wife of

MAHADEV SINGH

DUPLICATE

NOTARY
PRAVASH SHARMA
AJMER (Raj.)
Regd. No. 1171
Regd. Dt. 27-10-2021
GOVT. OF INDIA

Ao 328 / 2021

RJ01 20060100805

D00508492R

LMV	MCWG
10/07/2006	~~10/07/2006~~

(RJ)

Form 7 Rule 16(2)

पता / Permanent Address
ANAND BHAWAN,GALI NO 11
SUBHASH NAGAR,AJMER

Holder's Signature

जारीकर्ता / Issuing Authority Sign

AJMER

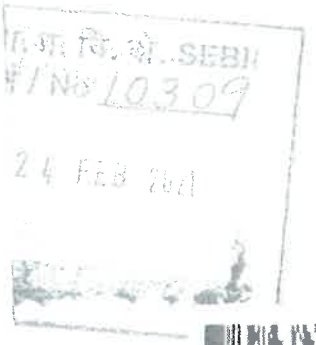
TRUE COPY

Notary
20-02-2021

104



ash Setty



SPEED POST

To,
SEBI BHAVAN 6th
PLOT No C 4 - AG BLOCK,
BANDRA KURLA Complex,
BANDRA (EAST)
MUMBAI - 400651
(MAHARASHTRA)

From,
LAXMAN RAM KASANA
S/O Late Shri Kanhaiya Ram Ji,
Kasana,
Tehsil Nagaur,
Dist. Nagaur (Raj) 341503



2021

M (Ritesh C)
Rishi
12/03/21

P.T.O

ाकुर फोन नं. 7898822822, 8269244317,
कमा के देंगे। इस तरह उन्होंने मेरे से
ink a/c No. 257303046719, Community
रना बंद कर दिया व मेरे फोन नम्बर को

लाख तेईस हजार रूपये) फंसे हुए थे। 25
हमें ऐसी राशि को कम्पनियों से निकालने
ों से निकालकर लोगो को सहत पहुचायी

ेप्तम्बर, 2020 को एक SEBI का सर्टिफिकेट
के लिए अधिकृत कर रखा था। दो दिन
करें।

उन्होने विभिन्न बैंको में Phone Pay व Google Pay, कैनेरा बैंक नेट बैंकिंग आदि द्वारा निम्न राशियां जमा करवायी :—

Notary Public-Ajmer

लक्ष्मण राम 4/3/21

(100)

(18)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

प्रबंधक
उत्तरी प्रादेशिक कार्यालय

NRO/CIS/DJ/RS/EQM/OW/12666/1/2021
June 17, 2021

श्री लक्ष्मण राम कसाणा
गली नं 11, खानपुरा रोड
सुभाष नगर, अजमेर (रास्थान)
305003

महोदय / Sir,

विषय: इक्विटी मंत्रा और अन्य के खिलाफ शिकायत
Sub: Re: Complaint against M/s Equity Mantra and Others

1. SEBI is in receipt of your complaint dated February 10, 2021 whereby you have alleged fraud against Equity Mantra and Satish Sharma who claims to be a SEBI registered Investment Advisor.

   भारतीय प्रतिभूति और विनिमय बोर्ड (सेबी) को आपकी 10 फरवरी, 2021 की शिकायत प्राप्त हुई है, जिसमें आपने इक्विटी मंत्रा (Equity Mantra)और सतीश शर्मा के खिलाफ धोखाधड़ी का आरोप लगाया है, जो सेबी में रजिस्ट्रीकृत निवेश सलाहकार होने का दावा करते हैं।

2. As regards to the complaint against registered Investment Advisor, Equity Mantra, we are taking up with the said entity to facilitate redressal.

   इक्विटी मंत्रा (Equity Mantra) के शिकायत के संदर्भ में आपको सूचित किया जाता है कि हम इसे निवारण के लिए उक्त संस्था को भेज रहे हैं।

3. As regards to your complaint and the attached certificate claimed to be of SEBI registered Investment Advisor in the name of Satish Sharma has been perused in detail, This is to inform that nether Satish Sharma nor registration no. INA100001671 has been found in the records of SEBI registered under the capacity of an Investment Advisor. Further, aforementioned certificate appears to be forged.

   आपकी शिकायत और संलग्न प्रमाणपत्र (जिसमें दावा किया है कि सतीश शर्मा नाम से निवेश सलाहकार सेबी में रजिस्ट्रीकृत है) के संदर्भ में सूचित करना है कि हमारे रिकॉर्ड्स में गहन अवलोकन करने पर पाया गया कि हमारे रिकॉर्ड्स में न ही निवेश सलाहकार के रूप में सतीश शर्मा और न ही रजिस्ट्रीकरण सं. INA100001671 पाया गया है, अत: उपरोक्त प्रमाणपत्र जाली प्रतीत होता है।

Continuation Sheet

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

4. Thus, prima facie, this seems to be a case of forgery and fraud under the Indian Penal Code (IPC), a matter outside the purview of SEBI. Hence, you may please like to contact police with grievances.

अत: प्रथम दृष्टया, भारतीय दंड संहिता (आईपीसी) के तहत जालसाजी और धोखाधड़ी का मामला प्रतीत होता है, जो सेबी के दायरे से बाहर का मामला है। इसलिए, आप चाहें तो अपनी शिकायत के संदर्भ में पुलिस को संपर्क कर सकते हैं।

भवदीया,

ऋचा सुरंगे

(98)

Complaint Details

Registration No	SEBIE/DH21/0000045/1
Name	Chethan R Naik
Address	Bangalore
City/Location	Bengaluru
Pincode	560076
PAN No	AWHPN3990R
State	Karnataka
Mobile No	8088717227
Email Address	chethnnaik10@gmail.com
Date of Receipt	2020-12-07
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	This company also is a fraud who saw that dollar advisory cheated me and then took the advantage and made me to transfer around 1kah to the account I have the proof to the same as they made me transfer to a private account in the name of equity mantra and he is the owner of the company

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Complaint Details

Registration No	SEBIE/DH20/0001621/1
Name	Sushant Ashok Kadam
Address	Ap..Dudhondi tal..Palus dist..Sangli
City/Location	Kirloskarwadi
Pincode	416308
PAN No	BQSPK3175R
State	Maharashtra
Phone No	7972887675
Mobile No	7972887675
Email Address	sushantkadam95@gmail.com
Date of Receipt	2020-08-12
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	Equity Mantra ये advisor कंपनी की तरफ से मुझसे 2 lac 40 thousand rupees ले रखे हे की मुझे 6 महिना service,मीलेगी....लेकीन 10 महिना होगये मुझे फसा रहेहै..... please मुझे मेरे पैसे refund मिले
Attached Document	View Attachment

Retail Invoice

(9)

Equity Mantra

EQUITY MANTRA
Address: 135, IST FLOOR FIE, PATPARGANJ,
DELHI - 110095
Email : support@equitymantra.in

Invoice To:	Invoice Date: 03/12/2019
sushant Email : Sushantkadam95@gmail.com Pan No. : BQSPK3175R DOB:	Invoice No. EQM/19-20/00019

Item Description

SL.No.	DESCRIPTION	Amount (Rs)
1	Stock Option PRI mum **Payment Date** 28/11/2019 **20,000** 27/11/2019 **15000** 19/11/2019 **55,000** 24/10/2019 **10,000** Duration **6 MONTH** Payment Mod	82,799.96
Total base Value of Services		82,799.96
Add: IGST @ 18%		14,904.00
Add: Transaction Charges		2,296.04
Gross Total		**100,000.00**
Received		
Net Outstanding		
Payable		

- Investment in NSE Cash/NSE F&O/MCX/NCDEX/MCX-SX or NSE CDS Market is subject to market risk
- Company does not have any Refund/Cancellation/Transfer Policy
- Client need to follow all the given instructions in a strict manner. • Client need to follow all the given instructions in a strict manner
- We do not provide any kind of guarantee or assurance of profit

For Equity Mantra

Authorised Signatory

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Complaint Details

Registration No	SEBIE/DH20/0001333/1
Name	Raj Dharmendra Patel
Address	303 Vasudev Appt Opposite Reliance Township Piplod Surat
City/Location	Surat
PAN No	FFEPP9163K
State	Gujarat
Mobile No	9925623951
Email Address	patelraj11848@gmail.com
Date of Receipt	2020-08-13
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	I am a student and I have taken the service of Equity Mantra where the executive name ASHISH was my portfolio manager and he has told to make a minimal profit but instead he had use my fund very badly and had a made a loss of 80 percent of funds and now is constantly asking me to increase my investment so that he can earn profit. so i want to register complain against him for not managing my fund accurately and making a loss of 80 percent of portfolio in just 2 weeks. So in short he has misused my fund and also not taking any responsibilty and just asking me to increase my investment or to take a higher premium service. So kindly please take necessary actions for the same.

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Complaint Details

Registration No	SEBIE/DH20/0001197/1
Name	prathamesh matondkar
Address	C 215 Shree Anupam chs jijainagar
City/Location	Kalyan-Dombivli
Pincode	421201
PAN No	ATZPM3691B
State	Maharashtra
Phone No	9920602525
Mobile No	9920602525
Email Address	prathameshmatondkar@gmail.com
Date of Receipt	2020-07-20
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	On 13th July one of executive Ajay yadav mob no 8349663708 called me and told me committment plan where in first 20000 plus taxes needs to be paid and then out of the profit generated through tips need to pay balance 105000 through few installments out of the profits. On 14th July he provided me one tip on trial basis and the tip hit the tgt and generated some profits. And on this successful tip basis he connected me to Mr.Karan who was the person guiding me in my trading journey to earn profits.On 15th July I made a payment of Rs.24157 towards the same and on 16th July Karan and Ajay said that again additional Rs.10000 is required to avail this commitment plan service. I told then its cheating you said first 20k plus tax and now saying additional 20k to be paid. I told then to refund my money but they didnt agreed and said they will provide tip and generate profits and from that amount I should pay additional 10k. Till date not provided invoice and also not refunding the fees.
Attached Document	--





This is Prathamesh Matondkar. On 13th July one of your executive Ajay yadav (mob no 8349663708) called me and told me your committtment plan where in first 20,000 plus taxes needs to be paid and then out of the profit generated through your tips, need to pay balance 105,000 through few installments out of the profits.

On 14th July he provided me one tip on trail basis and the tip hit the tgt and generated some profits. And on this successful tip basis he connected me to Mr.Karan who was the person guiding me in my trading journey to earn profits.

On 15th July I made a payment of Rs.24,157 towards the same and on 16th July Karan and Ajay said that again additional Rs.10,000 is required to avail this commitment plan service. I told then it's cheating you said first 20k plus tax and now saying additional 20k to be paid. I told then to refund my money but they didn't agreed and said they will provide tip and generate profits and from that amount I should pay additional 10k.

On 16th your bank nifty tip failed and I curred a loss of 10,400 and today also your Wipro tip failed and incurred a loss of Rs.1000.

Kindly refund my money of Rs.24,157 paid towards your service. If you will not then I will register a complaint at SEBI scores portal. That you guys cheat the clients. I have call recordings of all the conversation till date along with my trade and msg snapshots. This is the same email id I have registered under this service.

Request to refund my money.

Your payment(Id-336844985) of Rs 24157.0 for Akash Mishra is successful. For queries on your payment visit: http://pmny.in/FI6L8HEhtoCj

Regards

Scanned with CamScanner

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Complaint Details

Registration No	DARPG/E/2020/03651
Name	Mushtaq Ahmad Gaani
Address	Ar-reyan colony,
Pincode	190014
PAN No	--
State	Jammu And Kashmir
Mobile No	9149834965
Email Address	yesmushtq111@gmail.com
Date of Receipt	2020-02-22
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	Dear Sir, Please be informed that on 27th Jan2020 I received call from Mr Ashish Jain on behalf of Equity Mantra for assistance with respect to investment in equity portfolio. Knowing Company's investments advisory and their market reputation I responded positively and authorized them to deal my portfolio but he informed me that I have to pay registration fee & service charges to which I agreed to pay for service of Rs3000/-only and promised for registration fee of Rs 3500/ later on after gap of one month after we both agreed I gave details of my Depository A/a and password etc.and Mr.Ashish started instant tradings .However, next day he suggested me to open Depository A/c with Angle broking and facilitated opening of a/c with them in the process of opening of a/c he told me transfer an amount of Rs 55000/-(rupee's fifty five thousand to Co's Current a/c for onward transfer to my Trading a/c/Depository a/c with ,Angel broking but till to day neither Mr Ashish Jain nor Equity Mantra Co has transferred an amount of Rs 55000/- to my Depository account despite repeated reminders and phone calls In this respect Securities & Exchange Control Board of India was also informed about their assistance in the refund of amount,but with no positive response. You are as such requested to please help me to redress my grivence by taking up the matter with the said investment advisory Co for refund of amount immediately With Regards (Mushtaq Ahmad)

Complaint Details

Registration No	SEBIE/DH20/0000530/1
Name	SURAJIT JOARDAR
Address	Halisahar south Khasbati
City/Location	Halisahar
Pincode	743135
PAN No	AWGPJ4975R
State	West Bengal
Phone No	9804066126
Mobile No	9804066126
Email Address	surajitxl.2008@gmail.com
Date of Receipt	2020-01-20
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	Miscommunication and wrong information provided by Equity Mantra Company.This co take 34500 for DEMAT AC opening After payment They are stop the receive call.
Attached Document	--

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Complaint Details

Registration No	SEBIE/DH20/0000448/1
Name	pradeep sitaram verma
Address	Galli no 1 Durga chawl Indra Nagar GTB Nagar monorail station
City/Location	mumbai
Pincode	400037
PAN No	AZCPV2635H
State	Maharashtra
Phone No	8693802246
Mobile No	8693802246
Email Address	pradeep4596@gmail.com
Date of Receipt	2020-01-10
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	hi.. pradeep here.. i invested 1 lakh on name of demat account in equity mantra bank account but after opening my account zero amount is showing in my demat.and now they are which u made is our service charge u have make more payment for investment.and they refused to give my money back and not receving my call. Intially they told me their service charge is 3500 which i have to pay after profit and i have which money i will invest this will show in my demat account i can withdraw it whenever i want.but now they totally changed.and get 1 lakh from me . none of profit they make for and even not receving my call. i have call recording also in which they are said pls asking for payment on name of demat and saying u can withdraw it from your account and now they asking 25000 rs for their service charge and i refused to make this payment so they not responding my call and take my 1 lakh rs. thats why i logged complaint against them i want my money back pls help me as soon as possible

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Complaint Details

Registration No	SEBIE/DH20/0000364/1
Name	Sanjay Suman
Address	Lachhanpur Babadera Hn
City/Location	CHAMPA
Pincode	495671
PAN No	ESFPS9470F
State	Chhattisgarh
Phone No	9993762232
Mobile No	9407931476
Email Address	sanjaysuman0309@gmail.com
Date of Receipt	2020-01-31
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	Respected sir Inki company ke executive ne company ko broker farm aur advisory farm bata kar froud kar mujhse Rs 50000/- jama kara liye hai.aur kisi dusre broker (IIFL)farm se Demat khulwakar pata nahi kaun si service dene ke naam par Rs50000/- deposit kara liye. Jo ki mujhe puri tarah se fraud laga. tab maine inse service na join karne ki bat kahi aur paise refund manga.jise company inkar kar rahi hai. Sir mai mansik rup se bahot pareshan hu. Kripya refund dilane ka kast kare. Transaction details de raha hu Jise maine bahot muskil se udhar lekar diya tha Credit card se 24/1/2020 Your payment(Id-298353475) of Rs 6000.0 Net Banking se 24/1/2020 Your payment(Id-298351823) of Rs 3624.0 24/1/2020 Your payment(Id-298355425) of Rs 1812.0 25/1/2020 Your payment(Id-298448111) of Rs 13564.0 29/1/2020 Your payment(Id-299333928) of Rs 25000.0 for EQUITY MANTRA is successful. Sir Bank statement ki copy submit kar raha hu.Sir meri madad kijiye
Attached Document	--

Cyber Crime Incident

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Complaint / Incident Details

Acknowledgement Number : 20808200039281

Complaint Type : Report and Track

Category of complaint	Any Other Cyber Crime
Sub-Category of complaint	Other
OTHER	Fraudulent usage of my company name and SEBI Reg No
Approximate Date:	22/07/2020 HH : N/A MM : N/A PM
Reason for delay in reporting:	The matter was under discussion with the SEBI Authority for required actions

Supporting Evidence:

Description	Text Information	Supporting Evidence
Website URL	https://equitymantra.in/	Attachment.jpg

Please provide any additional information about the incident:

With due respect I want to bring to your notice that I along with my other 3 partners were running the company named Equity Mantra Sebi Reg No: INA100006676 located at 135, 1st Floor,FIE, Patparganj, Delhi, 110095 with Domain Name equitymantra.co.in . We stopped its operation in Nov'2017 and had vacated the premises by that time. Recently via a notification from SEBI it has come to our notice that there are some complaints registered in our name and then we realized that some one is impersonating our company name with our Sebi Registration No and are doing fraudulent activities with general public in our name using the domain name equitymantra.in and have given the same address as of ours online. Following are the complaints received on SEBI against our SEBI Registration no for which we are not involved and all fraudulent activities are been done by someone impersonationg our companys name and registration no. DARPG/E/2020/03651 SEBIE/DH19/0001250/1 SEBIE/DH20/0000293/1 SEBIE/DH20/0000312/1 SEBIE/DH20/0000364/1 SEBIE/DH20/0000448/1 SEBIE/DH20/0000530/1 SEBIE/DH20/0001197/1 I am attaching the screenshot of the Fraud website along with this complaint. In the above context I request you to register our complaint and take the necessary action against this Fraud.

Suspect Details

Suspect Name	ID Type	Country Code	ID Number
N/A	N/A	N/A	N/A

Please Upload Any Photograph of Suspect's: N/A

Address for Suspect

House No.	N/A	**Country**	N/A
Street Name	N/A	**State**	N/A
Colony	N/A	**District**	N/A
Vill / Town / City	N/A	**Police Station**	N/A
Tehsil	N/A	**Pincode**	N/A

Complainant Details

Name	Mr Amit Chauhan
Mobile No.	8076233963
Gender.	Male
DOB.	15/03/1988
Father Name :	Parmal Singh Chauhan

Relationship With the Victim		Self	
National ID of Victim		Attachment.jpg	
Email Id		amit.chauhan086@gmail.com	
Nationality :		INDIAN	
Address for Correspondence			
House No.	55	**Country**	INDIA
Street Name	First Floor	**State**	DELHI
Colony	Shaheed Bhagat Singh Appt	**District**	DWARKA
Vill / Town / City	Dwarka Sector 14	**Police Station**	N/A
Tehsil	Dwarka	**Pincode**	110078

Uploaded File Information:

Number of Uploaded File	1

(1). File Name :	Attachment.jpg
Binary Hash of File(SHA256) :	4F99941027D520E3B50919338D7440B993D8D4EBDB5CBF201F66FD9A3937677B
Binary Hash of File(MD5) :	43B565B8860CADCB8BACF2420E9BE546

Date Response 31 JAN 2020 (80)

VIP Reference Name

Attached Document View Attachment Preview

Response

EQUITY MANTRA (SEBI Register INA100006675)
135 1st floor patpargang
delhi 110095
phone 7024487280 8348420791
email
support@equitymantra.in
enquiry@equitymantra.in

Complaint Details

Respected sir
Inki company ke executive ne company ko broker farm aur advisory farm bata kar froud kar mujhse jama kara liye hai.aur kisi dusre broker (IIFL)farm se Demat khulwakar pata nahi kaun si service de par Rs50000/- deposit kara liye. Jo ki mujhe puri tarah se fraud laga. tab maine inse service na join kahi aur paise refund manga.jise company inkar kar rahi hai. Sir mai mansik rup se bahot pareshan Kripya refund dilane ka kast kare.

Transaction details de raha hu
Jise maine bahot muskil se udhar lekar diya tha
Credit card se 24/1/2020

Your payment(Id-298353475) of Rs 6000.0
Net Banking se
24/1/2020 Your payment(Id-298351823) of Rs 3624.0
24/1/2020 Your payment(Id-298355425) of Rs 1812.0
25/1/2020 Your payment(Id-298448111) of Rs 13564.0
29/1/2020 Your payment(Id-299333928) of Rs 25000.0 for EQUITY MANTRA is successful.
Sir Bank statement ki copy submit kar raha hu.Sir meri madad kijiye

Have you lodged a complaint with the concerned intermediary / listed company for redressal of your complaint?
Yes No

Txn Date	Value Date	Description	Ref No./Cheque No.	Debit	Credit	Balance
7 Jan 2020	7 Jan 2020	ACH MANDATE CHARGES-BAJAJ FI NACH CREATE 00071625 SBIN0000000042827786-38976288	38976288	59.00		18,119.80
7 Jan 2020	7 Jan 2020	BY TRANSFER-NEFT*HDFC0000240*N007201029865360*BHARTI AXA LIFE-			2,911.40	21,031.20
7 Jan 2020	7 Jan 2020	by debit card-OTHPOS000718001230HPCL MAMCHAND SHIVKUMACHAMPA-		500.00		20,531.20
7 Jan 2020	7 Jan 2020	BY TRANSFER-INB IMPS000718138862/22222222 22/XX2984/REFUND FRO-	MAC00041040780 1 MAC00041040780 1		874.00	21,405.20
9 Jan 2020	9 Jan 2020	BULK POSTING-BY SALARY-			1,155.00	22,560.20
10 Jan 2020	10 Jan 2020	ATM WDL-ATM CASH 8985 SBI DIWANIA COMPLEX CHAMPA-		2,000.00		20,560.20
10 Jan 2020	10 Jan 2020	TO TRANSFER-P20720584637 AXAINS-DDTr For DDR INSTALMENT-		3,524.00		17,036.20
13 Jan 2020	13 Jan 2020	ATM WDL-ATM CASH 254 SBI DIWANIA COMPLEX CHAMPA-		1,000.00		16,036.20
14 Jan 2020	14 Jan 2020	TO DEBIT THROUGH CHEQUE-SBI CH CLG-775793	775793	3,650.00		12,386.20
14 Jan 2020	14 Jan 2020	BULK POSTING-00000004572 DT070120 RR000718001230-			3.75	12,389.95
16 Jan 2020	16 Jan 2020	TO TRANSFER-INB AMAZON-	33VquGDhY2sx89LrGzIGAHWVSXM4	42.00		12,347.95
20 Jan 2020	20 Jan 2020	TO TRANSFER-INB IMPS/P2A/002011700831/XXXXXXX671PUNB-	UA0240271792MOACIURDG3	1,200.00		11,147.95
21 Jan 2020	21 Jan 2020	TO TRANSFER-INB IMPS/P2A/UA0240620226/XXXXXXX140SBIN-	UA0240620226MOACIXBNT4	2,000.00		9,147.95
24 Jan 2020	24 Jan 2020	TO TRANSFER-INB PayU Payments Private Lim-	9730983399IGAHYNIDY9	3,624.00		5,523.95
24 Jan 2020	24 Jan 2020	TO TRANSFER-INB PayU Payments Private Lim-	9731095159IGAHYNJZL0	1,812.00		3,711.95
25 Jan 2020	25 Jan 2020	BY TRANSFER-INB IMPS/P2A/UA0241738369/XXXXXXX556SBIN-	UA0241738369MOACJFQXH4		10,000.00	13,711.95
25 Jan 2020	25 Jan 2020	BY TRANSFER-INB IMPS/P2A/UA0241739056/XXXXXXX556SBIN-	UA0241739056MOACJFQYT9		10,000.00	23,711.95
25 Jan 2020	25 Jan 2020	TO TRANSFER-INB PayU Payments Private Lim-	9733993847IGAHYPOMV7	13,564.00		10,147.95
27 Jan 2020	27 Jan 2020	BY TRANSFER-INB IMPS002714607182/1129995999/XX0121/Fund Trans-	MAA000434999739 MAA000434999739		1.00	10,148.95
29 Jan 2020	29 Jan 2020	TO TRANSFER-INB IMPS/P2A/002909887796/XXXXXXX281YESB-	UA0242721778MOACJNJDE4	1,000.00		9,148.95
29 Jan 2020	29 Jan 2020	BULK POSTING-BY SALARY-			32,835.00	41,983.95
29 Jan 2020	29 Jan 2020	TO TRANSFER-INB PayU Payments Private Lim-	9758416947IGAHZKGLH5	25,000.00		16,983.95
30 Jan 2020	30 Jan 2020	TO TRANSFER-INB MBS TOPUP 9993762232-	9993762232UH91580544	10.00		16,973.95

(84)

Vinayak

From: Vinayak
Sent: 18/07/2020 5:03 PM
To: Sanjay Suman
Subject: Re: Right sebi comlpane N. SEBIE/DH20/000364/1

Sir,

Please be advised that SEBI officials from any of its office and department do not call and ask for any sort of money, so beware of such calls. In regard to your complaint it may be noted that the same is under examination as the complaint is pending with the entity and the entity is not traceable.

Regards
Vinayak

Sent from Android device

On 18 Jul 2020 16:25, Sanjay Suman <sanjaysuman0309@gmail.com> wrote:

Respected sir

Sir maine pahle bhi aapko msg kiya tha par koi reply nhi mila. Mera sebi comlpane N. SEBIE/DH20/000364/1 hai.
Sir complne Advisery company EQUITY MANTRAS ke khilf hai inhone
Service dene ke naam par Rs/-50000 ka dhokha dhadi kiya hai.
Na mujhe service mila na paise wapas kiya.
Kal inke ek executive ka +91 91098 23294 phone aaya aur bola mai Sebi office Mumbai se bol raha hu "hum aapka paisa refund kar rahe hai" aur apna Bank details whatsapp par sent kiya hai. Aur 50000 ka 4%
Rs 2000 fees dene par process aage badhane ki bat kr raha hai
Sir kya karu samjh nhi aa raha hai reply kare please

Thanking you
Sanjay Suman
9993762232

(22)

Vinayak

From: Sanjay Suman <sanjaysuman0309@gmail.com>
Sent: 18/08/2020 3:59 PM
To: Vinayak
Subject: Re: Right sebi comlpane N. SEBIE/DH20/000364/1
Attachments: IMG-20200124-WA0005.jpg; IMG-20200815-WA0010.jpg

Please reply

Sir company refund ke naam par msg karti hai par mere Rs 50000 ke badle 25% deposit amount ka fees 12500 pahle jama karne ko bol rahi hai.

Maine unhe kaha ki aap mere deposit amount se kat kar refund kar do
Phir bhi o nhi kar rahe hai.
Sir please unke upar dabaw bana kar refund dilane ka kast kare.

Sir waha ka complain number send kar raha hu

On Sat, Jul 18, 2020, 5:03 PM Vinayak <Vinayak@sebi.gov.in wrote:
Sir,

Please be advised that SEBI officials from any of its office and department do not call and ask for any sort of money, so beware of such calls. In regard to your complaint it may be noted that the same is under examination as the complaint is pending with the entity and the entity is not traceable.

Regards
Vinayak

Sent from Android device

On 18 Jul 2020 16:25, Sanjay Suman <sanjaysuman0309@gmail.com> wrote:

Respected sir

Sir maine pahle bhi aapko msg kiya tha par koi reply nhi mila. Mera sebi comlpane N. SEBIE/DH20/000364/1 hai.
Sir complne Advisery company EQUITY MANTRAS ke khilf hai inhone
Service dene ke naam par Rs/-50000 ka dhokha dhadi kiya hai.
Na mujhe service mila na paise wapas kiya.
Kal inke ek executive ka +91 91098 23294 phone aaya aur bola mai Sebi office Mumbai se bol raha hu "hum aapka paisa refund kar rahe hai" aur apna Bank details whatsapp par sent kiya hai. Aur 50000 ka 4%
Rs 2000 fees dene par process aage badhane ki bat kr raha hai
Sir kya karu samjh nhi aa raha hai reply kare please

Thanking you
Sanjay Suman
9993762232

82

Complaint Details

Registration No	SEBIE/DH20/0000312/1
Name	Abbasuddin molla
Address	Chinepukur po shonepur ps kashipur
City/Location	Kolkata
Pincode	700135
PAN No	BGVPM3395Q
State	West Bengal
Phone No	8250198509
Mobile No	8250198509
Email Address	mollaa980@gmail.com
Date of Receipt	2020-01-24
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	Sir mey abbasuddin molla.Father matabuddin molla.mey ek naya Shiknewala person hu. Mey stock market mey naya hu.stock market ke bare mey jada kuch pata nahi.sir equity mantra sey mujhe phone karke ek ke baad Ek karib 1 lakh 70 hajar rupiya liya gaya hai. A kehkar 3 mahine mey 3 se 4 lakh rupiya kamake dega. A ve bola gaya Ke 2 hafte mey 1.5 lakh rupiya kamake dega. Sir mujhe jhasa diya gaya. Mey is market mey naya hu. Mujhe pata nahi thaa. Ab paise refund magne se de nahi raha hai. Mey sir bohot financially problem mey hu. Please Kuch kijiye sir. Kahi dino sey paise dene ke naam par tal raha hai. Sebi registered company dekhkar mene vorosa kiya thaa. Mere saath cheating hua hai sir. Please Kuch kijiye sir. Mere paise mujhe wapas mil jaye. Yours faithfully abbasuddin molla.

(81)

Vinayak

From: DINESH JOSHI
Sent: 28/12/2020 6:10 PM
To: Vinayak
Subject: FW: SEBIE/DH20/0000312/1
Attachments: EQUITY MANTRA FRAUD CASE .pdf

From: ABBAS ABBAS [mailto:mollaa980@gmail.com]
Sent: 28/12/2020 16:36
To: DINESH JOSHI <dineshj@sebi.gov.in>
Subject: SEBIE/DH20/0000312/1

30



Transaction ID

P191217085555004254995 3 COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹10,000

PAY AGAIN SHARE

Debited from

******5335 ₹10,000

UTR:935108874285

Contact PhonePe Support

Powered by

BHIM UPI YES BANK

79

6:54

New Delhi

equitas
Small Finance Bank

Account	Info
Beneficiary Name	Equity Mantra
Account Number	200001097750
Account Type	CURRENT ACCOUNT
NEFT/IFSC	ESFB0020006
Branch	Rajouri Garden, West Delhi, New Delhi

Pay Online

6:54

IndusInd Bank

Account	Info
Beneficiary Name	Equity Mantra
Account Number	257303046719
Account Type	CURRENT ACCOUNT
NEFT/IFSC	INDB0000044
Branch	Community Centre, Janakpuri , New Delhi

equitas
Small Finance Bank

77



Father Name -
City -
Email ID -
Pan No -
Mobile No -

This message was deleted 6:24 pm

moneyclassicresearch.com 6:24 pm

5 UNREAD MESSAGES



Ye company website hai

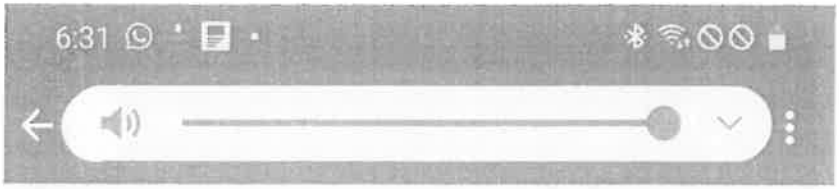
But apke isme sayd open hofi

Hogi

Iska domain expiry ho chuka h 29 agust ko abhi

Start nhi huaa

Type a message



TODAY

Messages to this chat and calls are now secured with end-to-end encryption. Tap for more info.

Hi 6:10 pm

915010052969511
Sachin Dhakad
IFSC CODE UTIB0000568
AXIS Bank
Branch vijay nagar indore
452010

Phone pay & Google pay No.8817055123
6:11 pm

5 UNREAD MESSAGES

Ho gya sir process 6:15 pm

? 6:15 pm

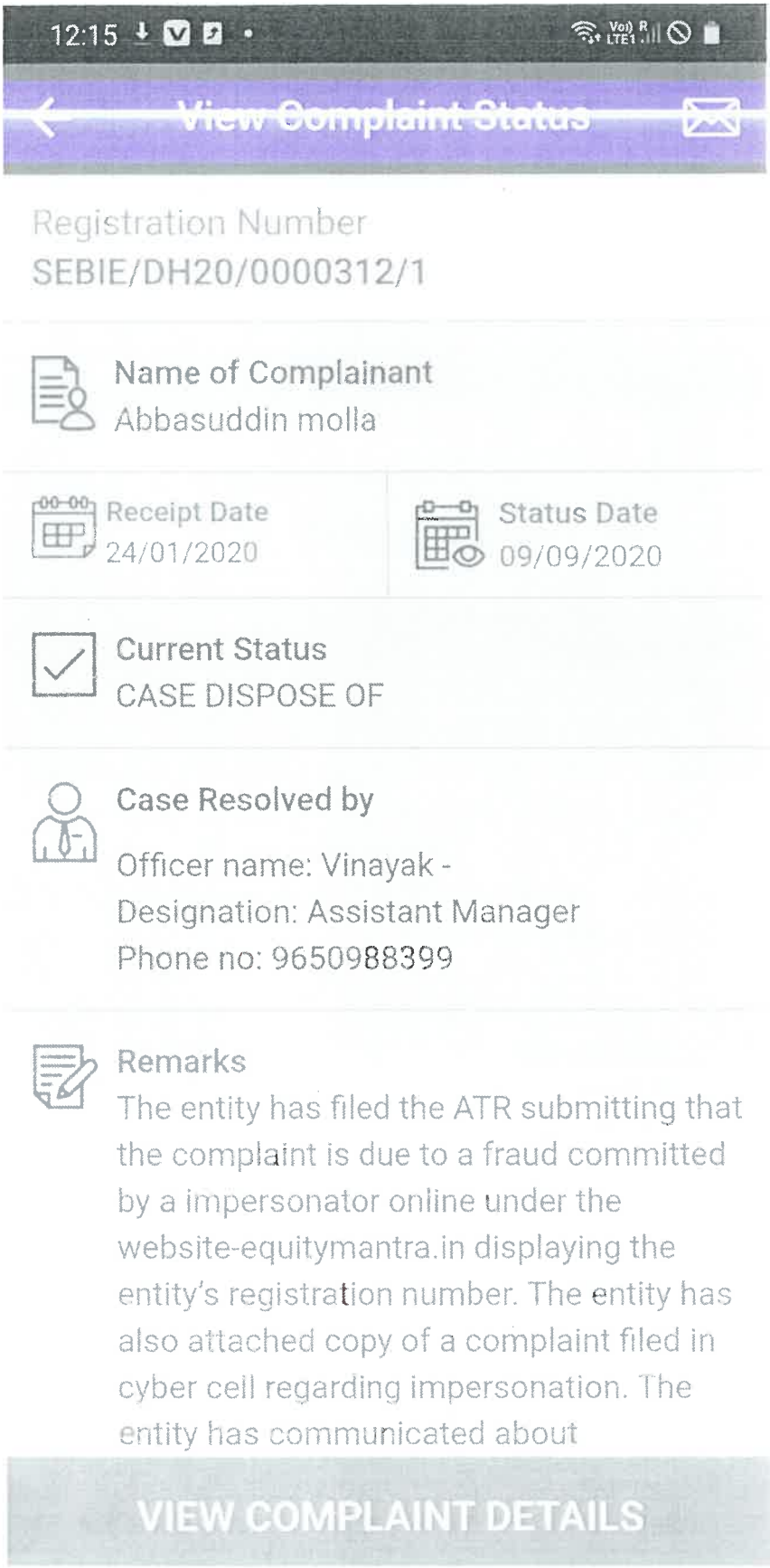
Kyc details
Name -
Father Name -
City -
Email ID -
Pan No -
Mobile No - 6:20 pm

This message was deleted 6:24 pm

moneyclassicresearch.com 6:24 pm

Type a message

75


12:15
View Complaint Status
Registration Number
SEBIE/DH20/0000312/1
Name of Complainant
Abbasuddin molla
Receipt Date
24/01/2020
Status Date
09/09/2020
Current Status
CASE DISPOSE OF
Case Resolved by
Officer name: Vinayak -
Designation: Assistant Manager
Phone no: 9650988399
Remarks
The entity has filed the ATR submitting that the complaint is due to a fraud committed by a impersonator online under the website-equitymantra.in displaying the entity's registration number. The entity has also attached copy of a complaint filed in cyber cell regarding impersonation. The entity has communicated about
VIEW COMPLAINT DETAILS

74



Transaction ID

P191227160143925355906 8 COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹64,651

ADD SHORTCUT SHARE

Debited from

******5335
UTR: 936164783013
₹64,651

CHECK BALANCE

DONE

13



Transaction ID

P191225211010040636478 3 COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹20,000

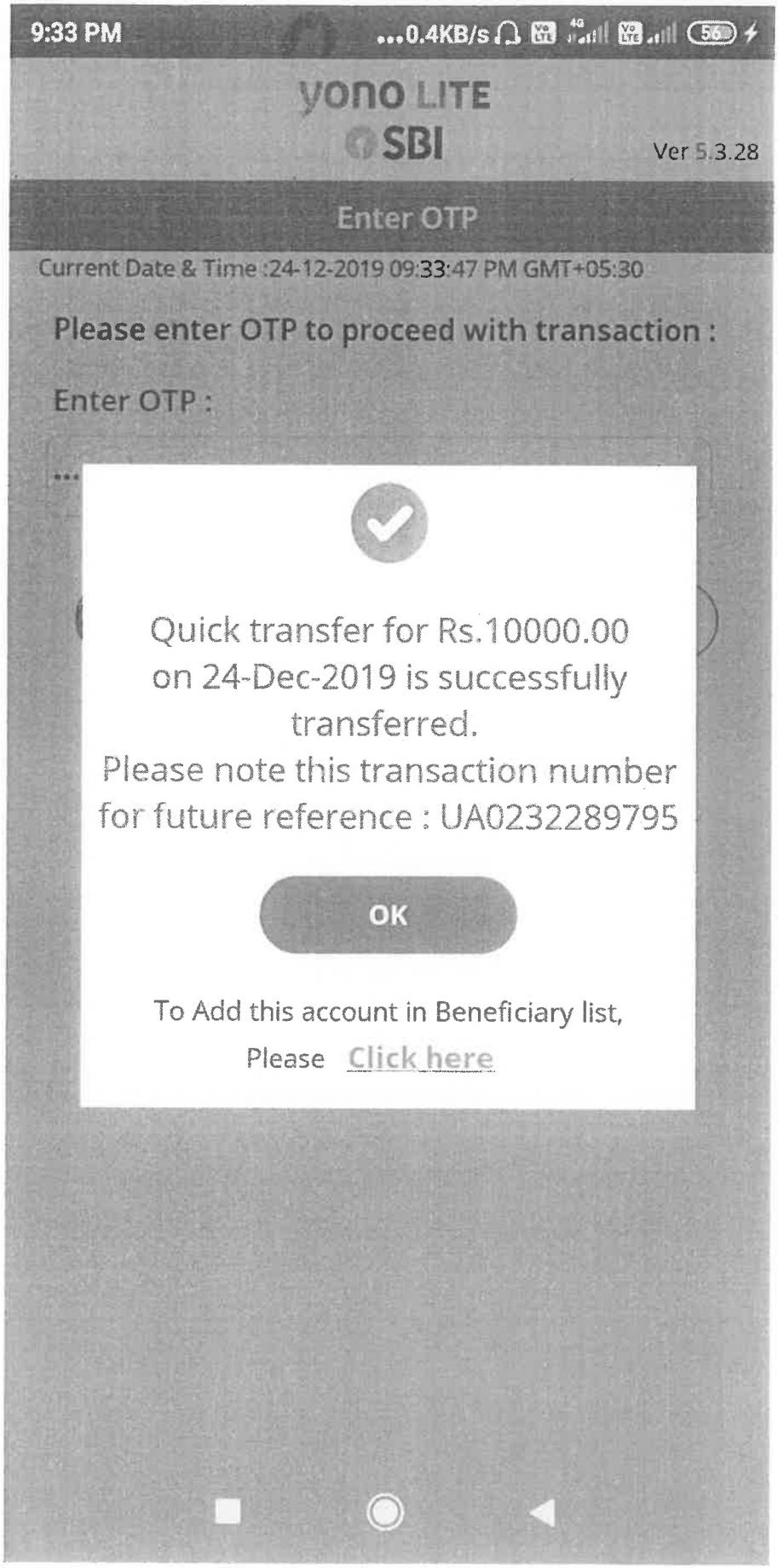
ADD SHORTCUT SHARE

Debited from

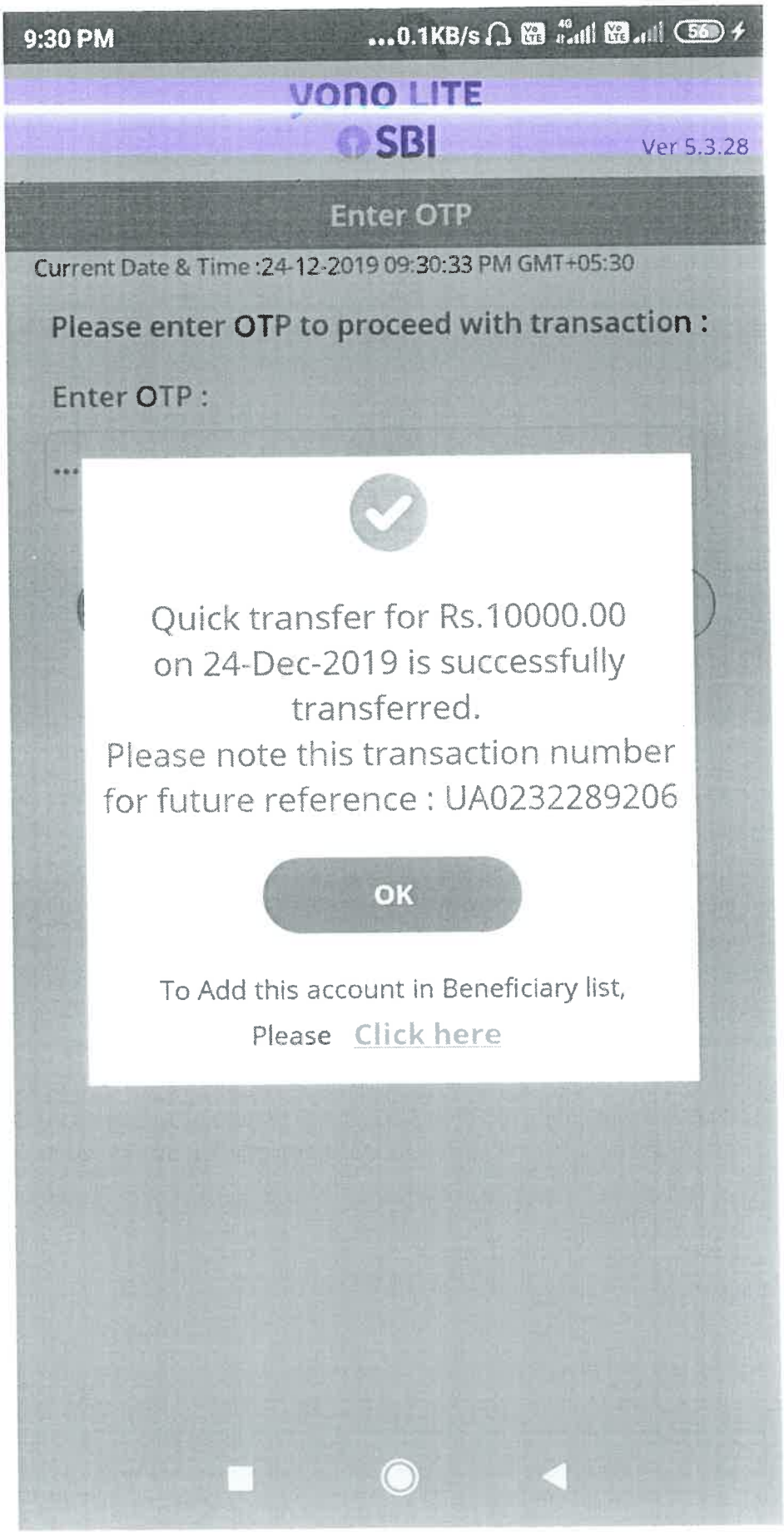
******5335
UTR: 935963408861
₹20,000

CHECK BALANCE

DONE


9:33 PM
...0.4KB/s
yono LITE
SBI
Ver 5.3.28
Enter OTP
Current Date & Time :24-12-2019 09:33:47 PM GMT+05:30
Please enter OTP to proceed with transaction :
Enter OTP :
Quick transfer for Rs.10000.00
on 24-Dec-2019 is successfully
transferred.
Please note this transaction number
for future reference : UA0232289795
OK
To Add this account in Beneficiary list,
Please Click here

71


9:30 PM
...0.1KB/s
VODO LITE
SBI
Ver 5.3.28
Enter OTP
Current Date & Time :24-12-2019 09:30:33 PM GMT+05:30
Please enter OTP to proceed with transaction :
Enter OTP :
Quick transfer for Rs.10000.00 on 24-Dec-2019 is successfully transferred.
Please note this transaction number for future reference : UA0232289206
OK
To Add this account in Beneficiary list,
Please Click here

9:09 PM 0.1KB/s 57

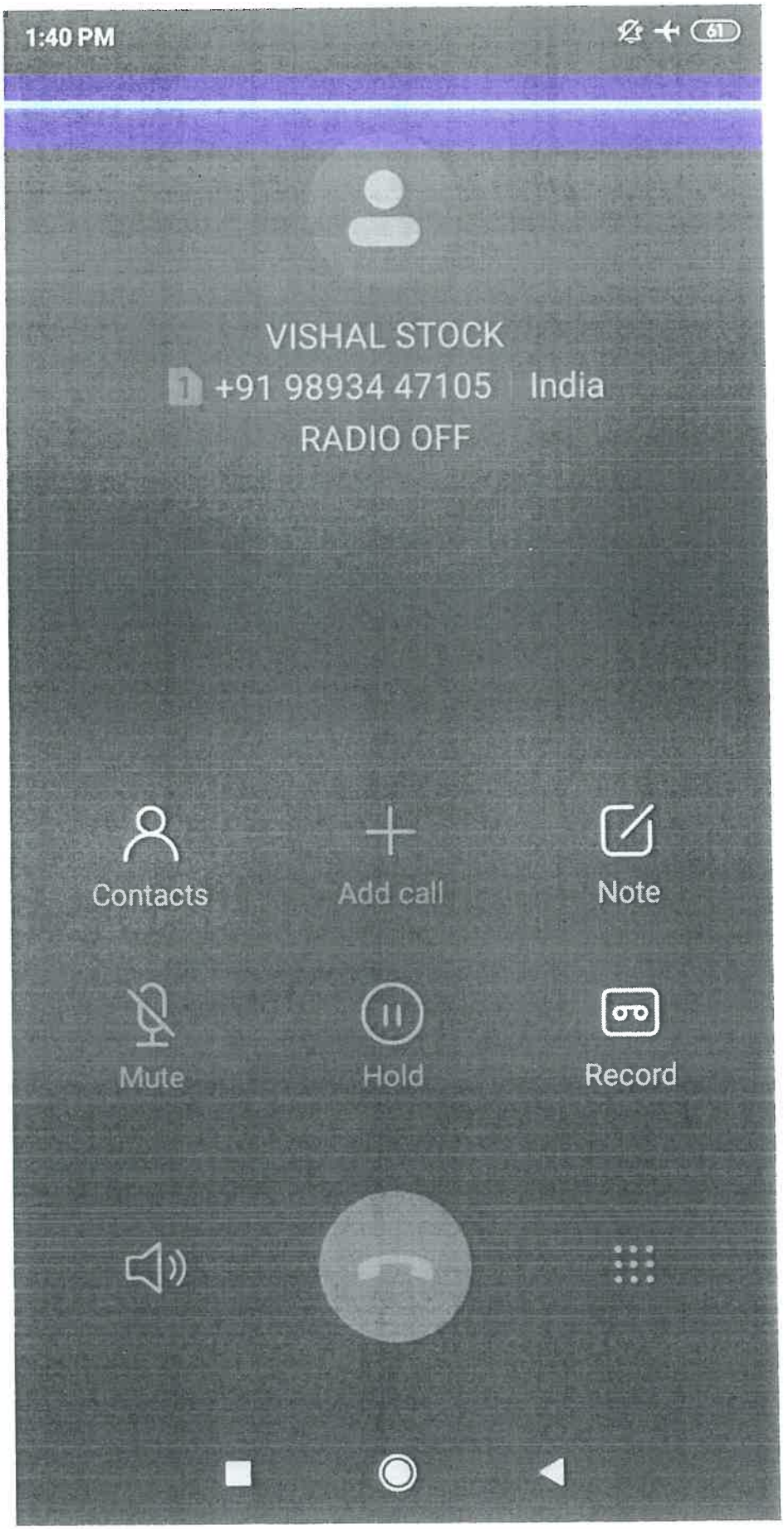
IndusInd Bank

Account	Info
Beneficiary Name	Equity Mantra
Account Number	257303046719
Account Type	CURRENT ACCOUNT
NEFT/IFSC	INDB0000044
Branch	Community Centre, Janakpuri , New Delhi

equitas Small Finance B



69


1:40 PM
VISHAL STOCK
+91 98934 47105 India
RADIO OFF
Contacts
Add call
Note
Mute
Hold
Record



Transaction ID

P191219134415758418510O COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹20,299

ADD SHORTCUT SHARE

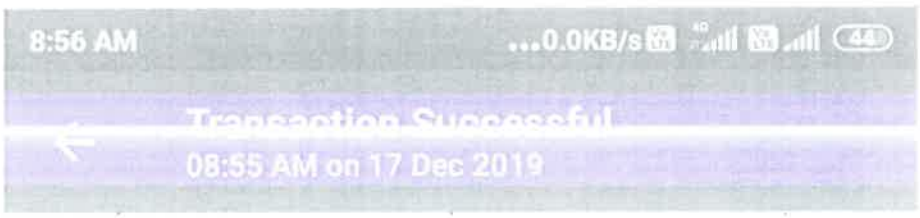
Debited from

******5335
UTR: 935326102117
₹20,299

CHECK BALANCE

DONE

67



Transaction ID

P191217085555004254995 3 COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹10,000

PAY AGAIN SHARE

Debited from

******5335 ₹10,000
UTR:935108874285

Contact PhonePe Support

Powered by
BHIM UPI YES BANK



Transaction ID

P191216142607596871425 1 COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹10,299

ADD SHORTCUT SHARE

Debited from

******5335
UTR: 935028304939
₹10,299

CHECK BALANCE

DONE

65



Transaction ID

P191210124035150516622 7 COPY

Paid to

EQUITY MANTRA
XXXXXXXX6719
IndusInd Bank
₹5,000

ADD SHORTCUT SHARE

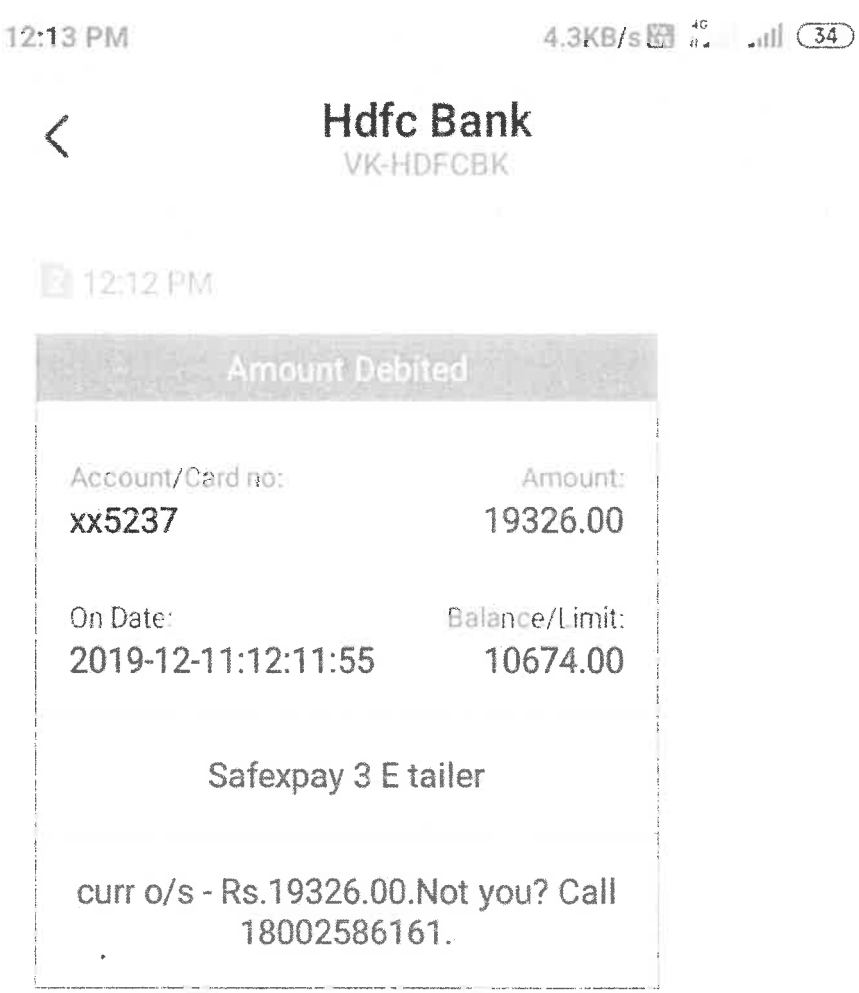
Debited from

******5335
UTR 934436464143
₹5,000

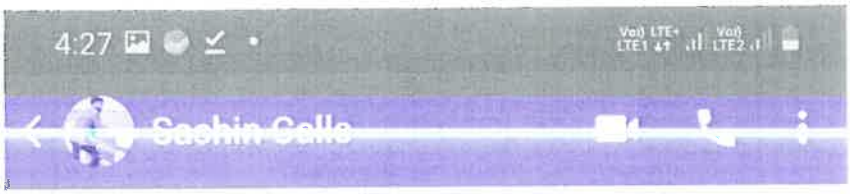
CHECK BALANCE

DONE

64


12:13 PM
4.3KB/s
34
Hdfc Bank
VK-HDFCBK
12:12 PM
Amount Debited
Account/Card no:
xx5237
Amount:
19326.00
On Date:
2019-12-11:12:11:55
Balance/Limit:
10674.00
Safexpay 3 E tailer
curr o/s - Rs.19326.00.Not you? Call 18002586161.
Text message

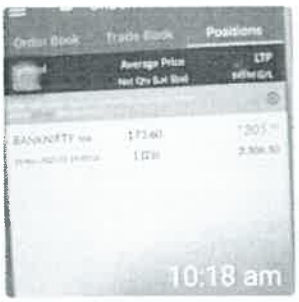
(63)



17 NOVEMBER 2020

Messages and calls are end-to-end encrypted. No one outside of this chat, not even WhatsApp, can read or listen to them. Tap to learn more.

Hii 10:18 am







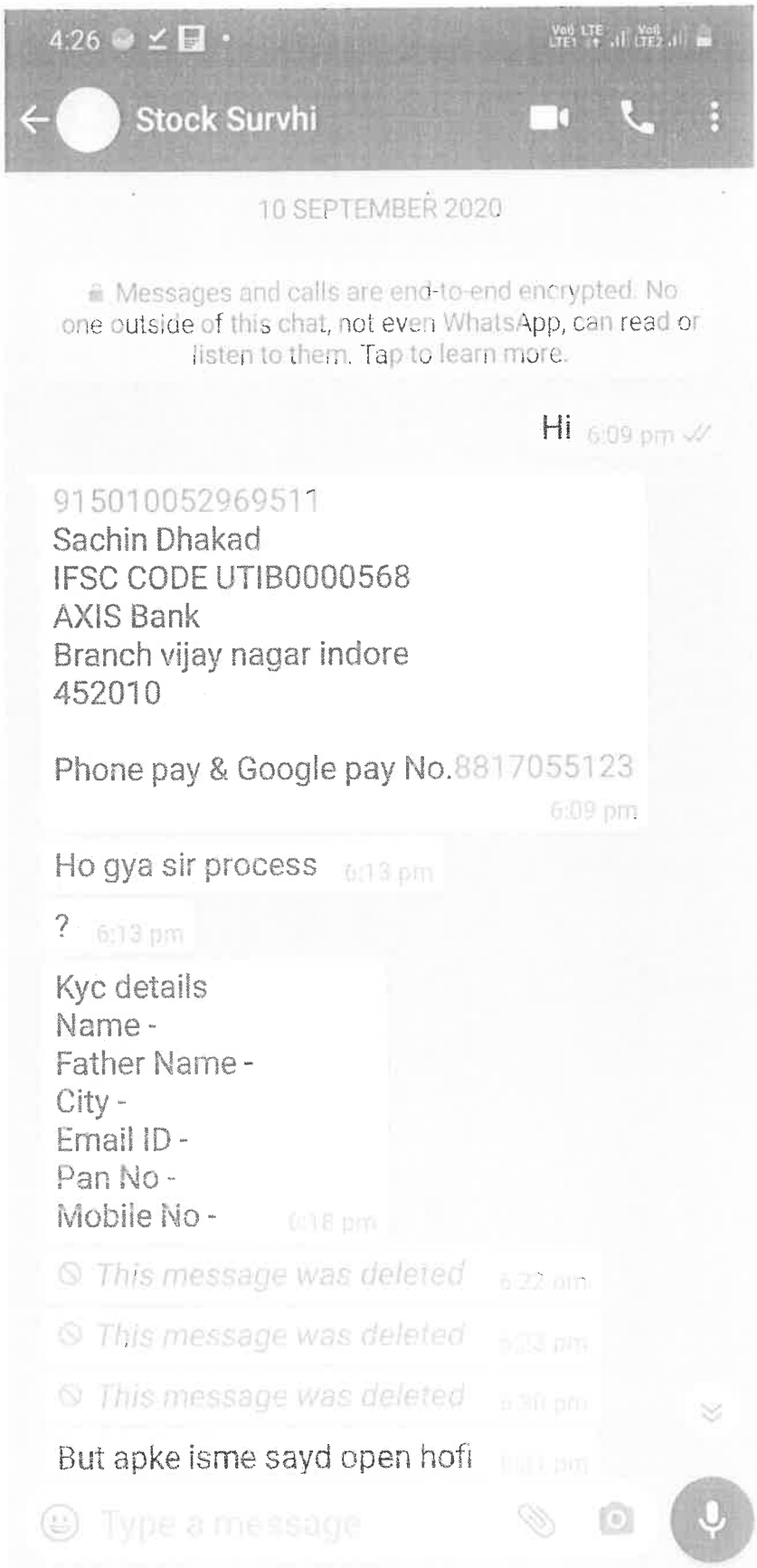


915010052969511
Sachin Dhakad
IFSC CODE UTIB0000568
AXIS Bank
Branch vijay nagar
452010

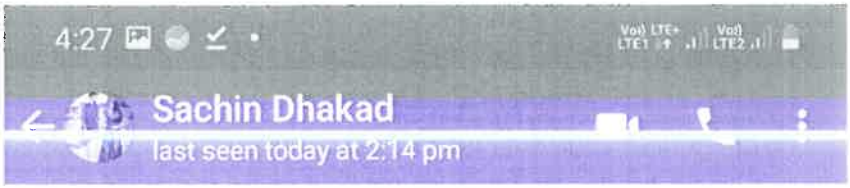
Phone pay & Google pay No.8817055123 10:23 am

Ok sir 10:23 am

62


4:26
Stock Survhi
10 SEPTEMBER 2020
Messages and calls are end-to-end encrypted. No one outside of this chat, not even WhatsApp, can read or listen to them. Tap to learn more.
Hi 6:09 pm
9150100529695 11
Sachin Dhakad
IFSC CODE UTIB0000568
AXIS Bank
Branch vijay nagar indore
452010
Phone pay & Google pay No.8817055123
6:09 pm
Ho gya sir process 6:13 pm
? 6:13 pm
Kyc details
Name -
Father Name -
City -
Email ID -
Pan No -
Mobile No -
This message was deleted
This message was deleted
This message was deleted
But apke isme sayd open hofi
Type a message

(1)



(68)

Vinayak

From: UK MEDIA FLASH <mollaa980@gmail.com>
Sent: 10/09/2020 6:56 PM
To: Vinayak
Attachments: Screenshot_20200910-185439_Chrome.jpg; Screenshot_20200910-185427_Chrome.jpg

59

equitas
Small Finance Bank

Account	Info
Beneficiary Name	Equity Mantra
Account Number	200001097750
Account Type	CURRENT ACCOUNT
NEFT/IFSC	ESFB0020006
Branch	Rajouri Garden, West Delhi, New Delhi

Pay Online

(52)

IndusInd Bank

Account	Info
Beneficiary Name	Equity Mantra
Account Number	257303046719
Account Type	CURRENT ACCOUNT
NEFT/IFSC	INDB0000044
Branch	Community Centre, Janakpuri , New Delhi

equitas
Small Finance Bank

S7

Complaint Details

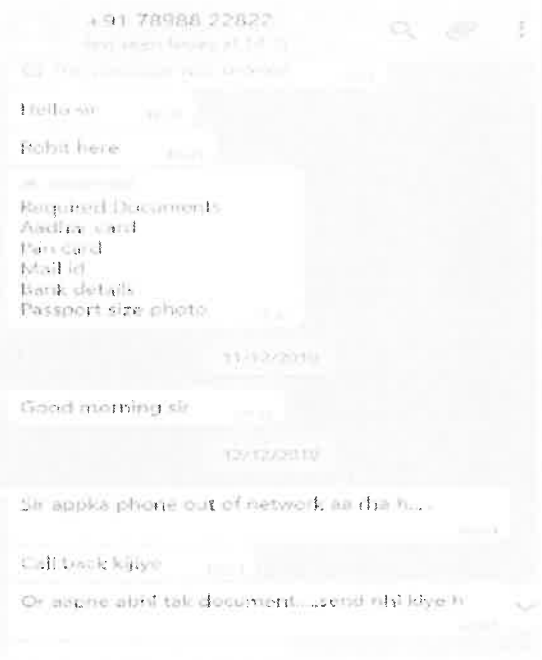
Registration No	SEBIE/DH20/0000294/1
Name	Nasiruddin
Address	10179 Gali Gandhak Wali Nawab Ganj Azad Market
Pincode	110006
PAN No	AWRPN3956B
State	NATIONAL CAPITAL TERRITORY OF DELHI
Phone No	9716942965
Mobile No	9716942965
Email Address	ph9716942965@gmail.com
Date of Receipt	2019-12-21
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	Fake sale done by Equitry Mantra Equity Mantra call me for Account Opening in Zerodha free of cost with Extra margin with account handling 2-3 days Researcher trial trade after satisfaction and profit charge for commission amount 3500Rs Only After transfer my document he ask for money for demat balance fake Zerodha agent by Equity Mantra call me for confirmation and say your account are open just final confirmation and for password transfer some Demat amount in behalf of company password will auto sent in your email in just 5 -10 minuts with 10K balance. when I transfer money to Equity mantra for Demat fund. Sumit (Equity Mantra) Call me and say 10K Slot are not available You need to pay 15K after 10 Minutes your account will Activated with 25K amount. Then you can Pay out 15K to your bank. I have some whatsapp screen shot in attachment with brief description and For voice record link in attached document
Attached Document	--

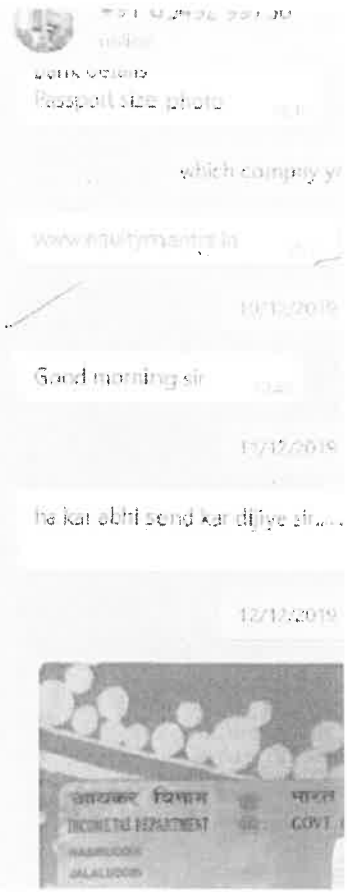
(56)

In 9 December Vihaan and Rohit Call me from Equity Mantra. For Account Opening in Zerodha free of cost with Extra margin . because of Equity M Firm.

And they provide 2-3 days Researcher trial trade after satisfaction and Equity Mantra will charge for service/commission amount, 3500/- Only.





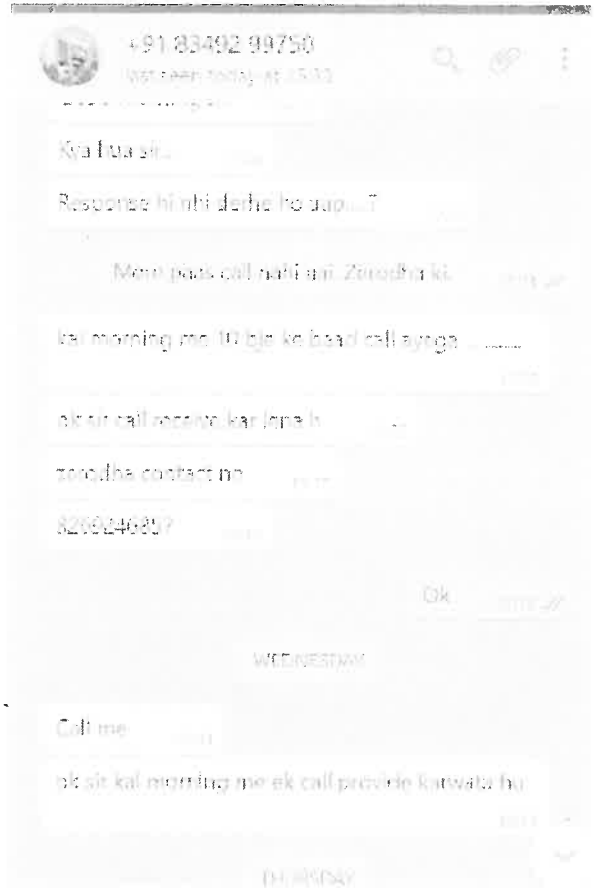


irect Tlup with Zerodha Brokir





After transfer my document he ask for money for demat balance. Ar fake Zerodha agent call me for confirmation and say your acco nt are open just final confirmation and for password transfer some
Demat amount in behalf of company , password will auto sent in yo nail in just 5 -10 minuts account with 10K balance.



3 time a Fake agent Call me and Confirm me for my account are opened but for activation just need some demat account money. Amount will transfer in behalf of company .

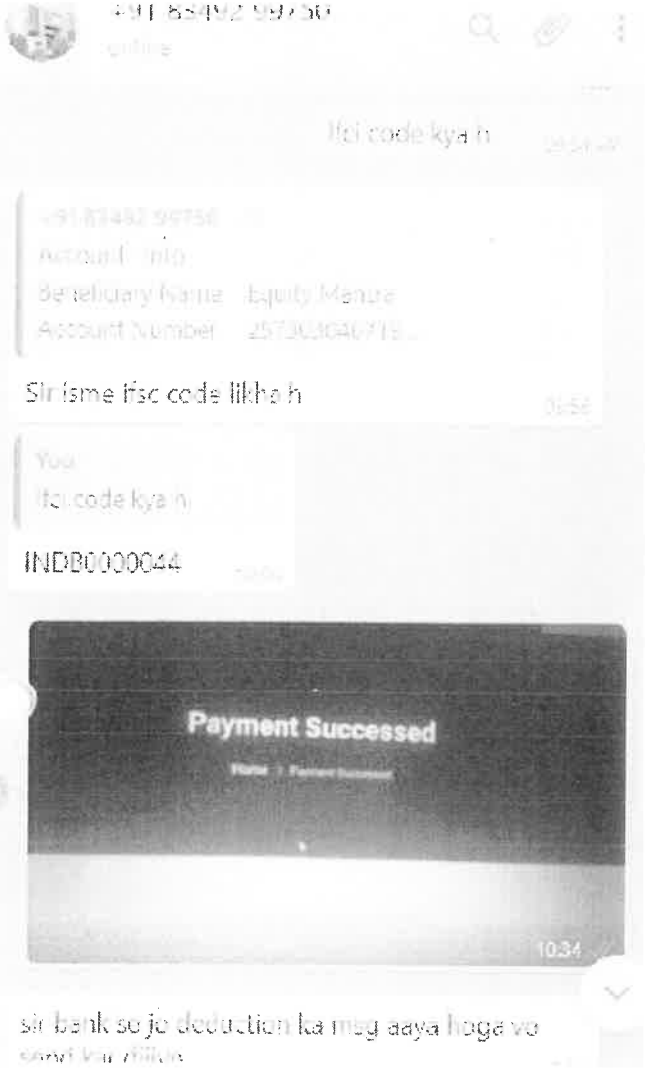
19 Dec- Rohit show me some profit statement .

19 Dec- Rohit show me some profit statement.

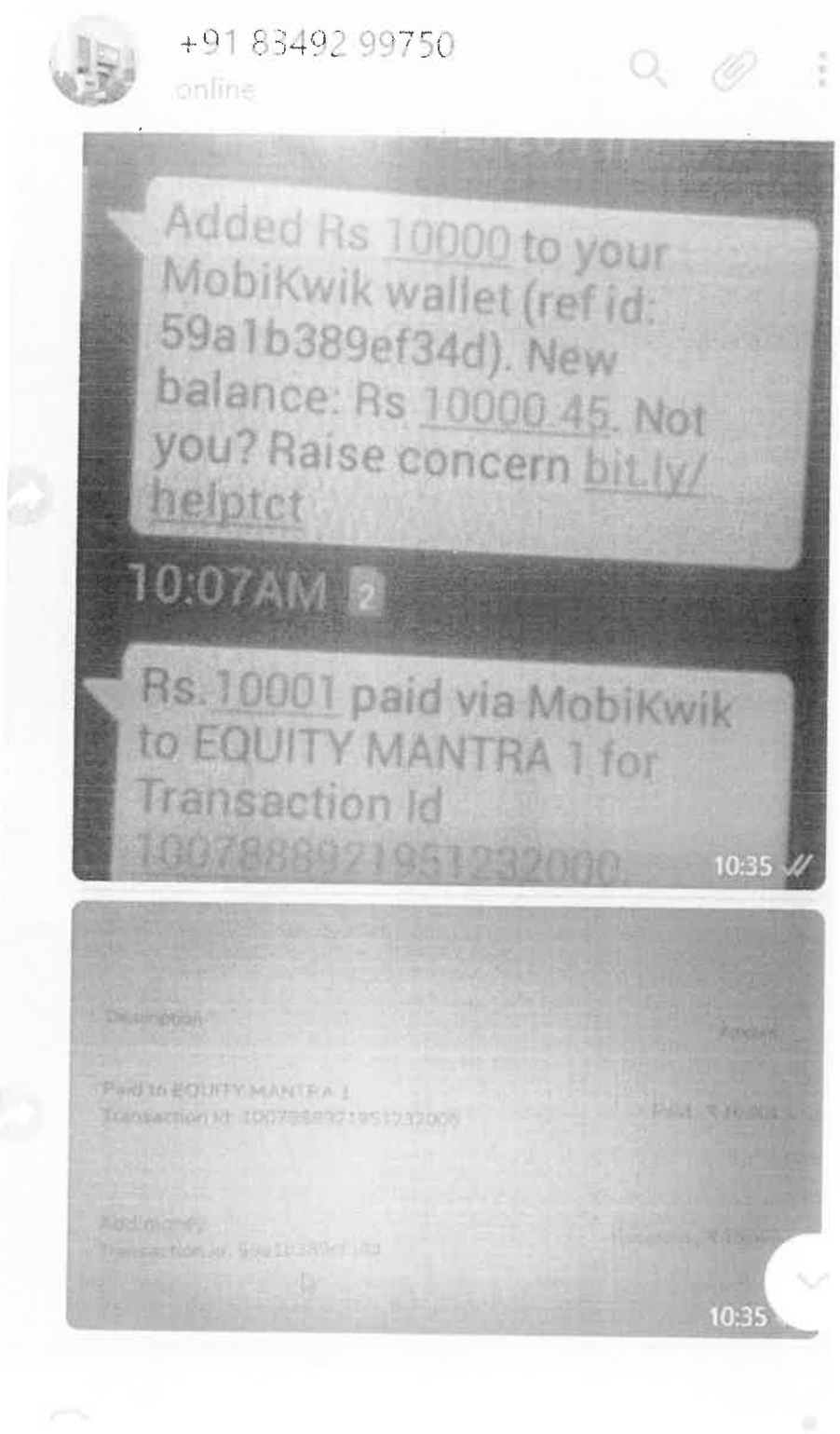


20 Dec I transfer money to Equity mantra for Demat fund.

(52)

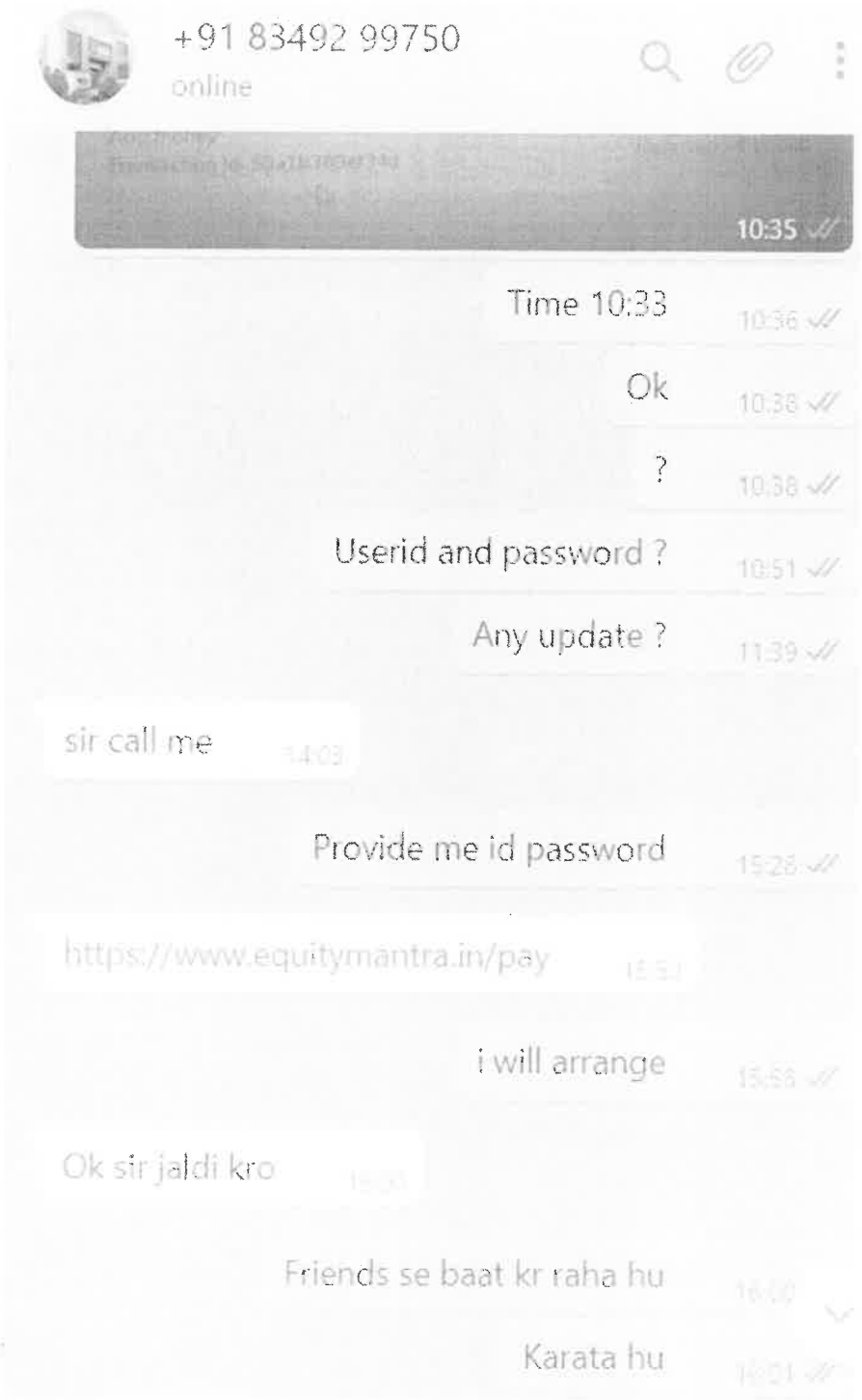


(51)


+91 83492 99750
online
Added Rs 10000 to your MobiKwik wallet (ref id: 59a1b389ef34d). New balance: Rs 10000.45. Not you? Raise concern bit.ly/helptct
10:07AM
Rs.10001 paid via MobiKwik to EQUITY MANTRA 1 for Transaction Id
10:35
10:35

After money transfer Rohit not respond.

After 4 Hours Sumit (Equity Mantra) Call me and say 10K Slot are not available now only 25K Slot are free. You need to pay 15K after 10 Minutes your account will Activated with 25K amount. Then you can Pay out 15K to your bank. And if you not pay then we can not Active your account. I ask for refund my money then he say its not refundable. I record his voice in which he say just pay 10k more only I will open your account. If you not pay then 10k Will not refund.





+91 83492 99750

last seen today at 15:49

Friends se baat kr raha hu

Karata hu

As per our discussion you say zerodha account opening with10k amount.

And after 2-3 day worki 3500 your chage.

Now why you change.

16:04

?

16:04

Please sent my id password

17:09

Dear Nasaruddin ji,

Greetings !!!

This mail is to inform you in regards to your conversation with Sumit Mishra,
that as you have subscribed for EQUITY Plan for 1 months costing Rs 25001 out of which Rs 10001 has been paid ,and Rs 15000 falls remaining, we assure a profit of approximately Rs 75000 with the completion of the tenure of above said Plan.

We want to mark your attention towards current status of your EQUITY plan.

(18)

+91 83492 99750
last seen today at 15:49

Equity Mantra

I have recorder call.

Sent my id password.
Or refund my mony.

Other wise i will complaint. 17:11

I pay you for demat account opening. 17:12

Not for service. 17:12

Totally cheats. If you not refund or sent my mony then i will complaint in polic. Its cyber crime. 17:22

NASIRUDDIN
30855251969
IFSC: SBIN0005918
BRANCH AZAD MARKET 17:26

MY ACCOUNT NUMBER 17:26

return my money. 17:26

sir sumit sir ko call karo

47



+91 83492 99750

last seen today at 15:49

i pay 10000/- for only demate account opening balance. 17:27

sumit sir ko message pada do. 17:27

Mera pasa kub wapas kr raha h ? 17:38

6262656222

Digamber se baat kr k final desigen le lo. 17:56

Vijay Kumar kon hai ?? 19:31

unka number milega ? 19:31

TODAY

Sir mother name send kar dijiye 11:45

Rahusunnisa 11:46

Rahisun nisa 11:46

Otp 8939 11:56

541184 adhar otp

in Evening I mailed to support team and vijayrana2103@gmail.com (email find from SEBI Site).

fraud cheated by Equity Mantra INA100006676

Nasir Uddin <ph9716942965@gmail.com> Fri, Dec 20, 8:25 PM (20 hours ago)

to support, vijayrana2103, enquiry

Dear sir,

I writing this mail for inform you i receive a call from Equity Mantra .

as per my discussion with Mr Rohit, and Vihaan. they offer me for Open new Account in Zerodha with 10,000/-. they will open my account in behalf of Equity mantra with extra

leverage with 2-3 days trial trade after satisfaction and profit i will pay to Equity Mantra for service/commission amount, 3500/-

Rohit promise me account will open in just 5-10 minute after fund transfer..

after transfer money he not respond. And after 4 hours 2:33 Sumit say pay 15K first then we will open your Demat account with 25K. if you not pay then Account will not open and 10k are

not refundable.

Please provide my Demat account with my 10000/- amount or refund my money.

Kindly please take the action and resolve my problem as soon as possible.

I have whatsapp chat / call details / voice record / payment detail for evidence if required i can provide please let me know.

Kinds Regards

Nasiruddin

9716942965

After next morning they open my account in angel broking Firm and when I ask my money in demat then Sumit and Jay (Equity Mantra) say 10K was our Advisory fee. Your Account are

now open pay yourself we will provide Tip you will trade in your account .

your 10k service will activated.

Fake sale are done by Equity Mantra I was trapped by fake call I not need any service I want my money back .

(45)

Complaint Details

Registration No	SEBIE/DH19/0001250/1
Name	Sandeep Bhingle
Address	402 Shivkrupa Heights Purandar colony Fursungi Ganganagar
City/Location	Pune
Pincode	412308
PAN No	CBRPB3114Q
State	Maharashtra
Phone No	9422497297
Mobile No	9422497297
Email Address	sandeepbhingle@gmail.com
Date of Receipt	2019-10-03
SEBI Inward Number	--
Category Assigned	Investment Adviser
Complaint Against	Equity Mantra
Complaint Details	equity mantra has given me trade tip without stop loss. I incurred a loss of almost Rs.135,000. If because of this loss anything happens to me. Equity mantra is responsible. If possible I can share the all whatsApp screenshots

Complaint Details

Registration No	DARPG/E/2020/03651
Name	Mushtaq Ahmad Gaani
Address	Ar-reyan colony,
Pincode	190014
State	Jammu And Kashmir
Nature of Complaint	
Email Address	yesmushtq111@gmail.com
Complaint Against	Equity Mantra
Company Name	
Date Of Receipt	22 FEB 2020
Category Assigned	Investment Adviser
Mobile No.	9149834965
VIP Reference Name	

Complaint Details

Dear Sir, Please be informed that on 27th Jan2020 I received call from Mr Ashish Jain on behalf of Equity Mantra for assistance with respect to investment in equity portfolio. Knowing Company's investments advisory and their market reputation I responded positively and authorized them to deal my portfolio but he informed me that I have to pay registration fee & service charges to which I agreed to pay for service of Rs3000/-only and promised for registration fee of Rs 3500/ later on after gap of one month after we both agreed I gave details of my Depository A/a and password etc.and Mr.Ashish started instant tradings .However, next day he suggested me to open Depository A/c with Angle broking and facilitated opening of a/c with them i the process of opening of a/c he told me transfer an amount of Rs 55000/-(rupee's fifty five thousand to Co's Current a/c for onward transfer to my Trading a/c/Depository a/c with ,Angel broking but till to day neither Mr Ashish Jain nor Equity Mantra Co has transferred an amount of Rs 55000/- to my Depository accou despite repeated reminders and phone calls In this respect Securities & Exchange Control Board of India was also informed about their assistance in the refund of amount,but with no positive response. You are as such requested to please help me to redress my grivence by taking up the matter with the said investment advisory Co for refund of amount immediately With Regards (Mushtaq Ahmad)

Have you lodged a complaint with the concerned intermediary / listed company for redressal of your complaint?
Yes No

*** Remarks/Reason[max 2000 characters allowed]:**

Dear Concern We have raised the complaint with Cyber Cell against the fraudulent activities commited in our companys name and sebi reg no. by the Fraudster using the domain name equitymantra.in that is similar but different from our original domain equitymantra.co.in. PFA complaint lodged with the Cyber Cell. Further we have formally communicated the same to the victims of the fraud to understand that we have no association with the Fraudsters and Equity Mantra has no role in any of the Frauds impersonating its name and Sebi Reg No. PFA the copy of othe formal communication made with the complainants. Regards Equity Mantra

Status: Closed - Others

Document1 : DARPGE202003651ATR1.pdf **Document1 :** Preview

Document2 : DARPGE202003651ATR2.pdf **Document2 :** Preview

*** Acceptance** Accepted Edit

Take Action

Registration No DARPG/E/2020/03651

Category Assigned Investment Adviser

Nature of Complaint

*** Decision**

Refresh

Click on Refresh button if not getting correct dropdown values

Submit Cancel

Gmail amit chauhan <amit.chauhan086@gmail.com>

Response to Complaint SEBIE/DH19/0001250/1

amit chauhan <amit.chauhan086@gmail.com> Thu, Aug 20, 2020 at 2:02 PM
To: sandeepbhingle@gmail.com

Dear Concern,

With reference to your complaint no
SEBIE/DH19/0001250/1
registered under SCORES please be informed that the Company Equity Mantra was winded up in the month of Nov'2017 and we have no relation with the impersonator who is claiming online to be Equity Mantra and displaying our sebi registration no: INA100006676.

As soon as we came into our cognizance of the committed frauds we started to investigate on our end and found that there is an impersonator online under the website (equitymantra.in) displaying our registration no whereas our original website was under the domain name (equitymantra.co.in) and would be committing such frauds.

We have also raised a complaint in cyber cell wrt same. The copy is attached for your perusal.

Also we request you to take off your complaint against our company and register the fresh complaint against the actual Fraud. You may register a legal complaint against the actual Fraudsters or any other action deemed fit in your capacity.

Thanks!
Equity Mantra

Complaint_Detail_20808200039281.pdf
4K

(41)

Cyber Crime Incident

Complaint / Incident Details

Acknowledgement Number : 20808200039281

Complaint Type : Report and Track

Category of complaint Any Other Cyber Crime

Sub-Category of complaint Other

OTHER Fraudulent usage of my company name and SEBI Reg No

Approximate Date: 22/07/2020 HH : N/A MM : N/A PM

Reason for delay in reporting: The matter was under discussion with the SEBI Authority for required actions

Supporting Evidence:

Description	Text Information	Supporting Evidence
Website URL	https://equitymantra.in/	Attachment.jpg

Please provide any additional information about the incident:

With due respect I want to bring to your notice that I along with my other 3 partners were running the company named Equity Mantra Sebi Reg No: INA100006676 located at 135, 1st Floor,FIE, Patparganj, Delhi, 110095 with Domain Name equitymantra.co.in . We stopped its operation in Nov'2017 and had vacated the premises by that time. Recently via a notification from SEBI it has come to our notice that there are some complaints registered in our name and then we realized that some one is impersonating our company name with our Sebi Registration No and are doing fraudulent activities with general public in our name using the domain name equitymantra.in and have given the same address as of ours online. Following are the complaints received on SEBI against our SEBI Registration no for which we are not involved and all fraudulent activities are been done by someone impersonationg our companys name and registration no. DARPG/E/2020/03651 SEBIE/DH19/0001250/1 SEBIE/DH20/0000293/1 SEBIE/DH20/0000312/1 SEBIE/DH20/0000364/1 SEBIE/DH20/0000448/1 SEBIE/DH20/0000530/1 SEBIE/DH20/0001197/1 I am attaching the screenshot of the Fraud website along with this complaint. In the above context I request you to register our complaint and take the necessary action against this Fraud.

Suspect Details

Suspect Name	ID Type	Country Code	ID Number
N/A	N/A	N/A	N/A

Please Upload Any Photograph of Suspect's: N/A

Address for Suspect

House No.	N/A	Country	N/A
Street Name	N/A	State	N/A
Colony	N/A	District	N/A
Vill / Town / City	N/A	Police Station	N/A
Tehsil	N/A	Pincode	N/A

Complainant Details

Name Mr Amit Chauhan

Mobile No. 8076233963

Gender. Male

DOB. 15/03/1988

Father Name : Parmal Singh Chauhan

(40)

Vinayak

From: Vinayak
Sent: 18/08/2020 2:40 PM
To: 'amit.chauhan086@gmail.com'; 'vijayrana2103@gmail.com'
Subject: FW: Complaint regarding fraudulent activities done in the Name of Equity Mantra.

Sir,

In respect of the trailing mail and the intimations sent thru SCORES, it is advised to send formal written responses to all the complainants at the addresses and e-mails provided by them in the SCORES. Also, attach the copies of responses sent to the complainants and copy of the complaint made to Cyber Cell along with the complaint number/FIR number given by Cyber Cell while submitting ATRs to SEBI in SCORES.

Regards
Vinayak

From: amit chauhan [mailto:amit.chauhan086@gmail.com]
Sent: 18/08/2020 12:53 PM
To: Vinayak
Cc: vijay kumar
Subject: Re: Complaint regarding fraudulent activities done in the Name of Equity Mantra.

Dear Mr. Vinayak,

As discussed the other day we have raised the complaint with Cyber Cell against the fraudulent activities commited in our company's name and sebi reg no.

PFA complaint details.

Further we shall try to make the victims of the fraud to understand that we have no association with the Fraudsters and submit the online report accordingly.

Kindly allow us a couple of days so as to complete this activity.

Regards,
Amit Chauhan
8076233963

On Mon, 17 Aug, 2020, 09:10 vijay kumar, <vijayrana2103@gmail.com> wrote:

Dear Sir,

With due respect I want to bring to your notice that I along with my other 3 partners were running the company named Equity Mantra Sebi Reg No: INA100006676 located at 135, 1st Floor,FIE, Patparganj, Delhi, 110095 with Domain Name equitymantra.co.in . We stopped its operation in Nov'2017 and had vacated the premises by that time. Recently via a notification from SEBI it has come to our notice that there are some complaints registered in our name and then we realized that some one is impersonating our company name with our Sebi

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Vinayak

From: vijay kumar <vijayrana2103@gmail.com>
Sent: 17/08/2020 9:11 AM
To: Vinayak
Subject: Complaint regarding fraudulent activities done in the Name of Equity Mantra.
Attachments: IMG_20200816_120953_202.jpg

Dear Sir,

With due respect I want to bring to your notice that I along with my other 3 partners were running the company named Equity Mantra Sebi Reg No: INA100006676 located at 135, 1st Floor,FIE, Patparganj, Delhi, 110095 with Domain Name equitymantra.co.in . We stopped its operation in Nov'2017 and had vacated the premises by that time. Recently via a notification from SEBI it has come to our notice that there are some complaints registered in our name and then we realized that some one is impersonating our company name with our Sebi Registration No. and are doing fraudulent activities with general public in our name using the domain name equitymantra.in and have given the same address as of ours online. Following are the complaints received on SEBI against our SEBI Registration no for which we are not involved and all fraudulent activities are been done by someone impersonationg our companys name and registration no.

DARPG/E/2020/03651
SEBIE/DH19/0001250/1
SEBIE/DH20/0000293/1
SEBIE/DH20/0000312/1
SEBIE/DH20/0000364/1
SEBIE/DH20/0000448/1
SEBIE/DH20/0000530/1
SEBIE/DH20/0001197/1

I am attaching the screenshot of the Fraud website along with this complaint.

In the above context I request you to register our complaint and take the necessary action against this Fraud.

Yours Thankfully,

Vijay Kumar.
Equity Mantra.

Attachment: Screenshot of the Fraudulent website running online in our Name and Company credentials.

It is hereby advised SEBI in respect of your Complaint of impersonification of Fraud. you may approach the appropriate authority as SEBI doesn't deal with such matters and in respect of SCORE Complaints you're advised to submit ATR in SCORES as per the last action sent in SCORES


Equity Mantra
Home
About Us
Services
Pricing
Payment
More
Stock market refers as public market
The Stock market is any exchange that allows people to buy and sell stock of any company.
OUR SERVICES
SENSEX
NIFTY
BANKNIFTY
NSE:ORIENTBANK
S&P 500
What We Offer
Send message

(37)

Vinayak

From: Vinayak
Sent: 28/07/2020 4:00 PM
To: 'vijayrana2103@gmail.com'
Subject: FW: Request to generate Score ID and Password.
Attachments: Score Snip.docx

Sir,

Take note of our mails and letters in respect of pending complaints (list attached) in SCORES, redress the complaints on an urgent basis and submit the action taken reports in SCORES within 7 days, failing which appropriate action will be initiated.

Regards
IMD-NRO

From: portalhelp
Sent: 27/07/2020 8:04 PM
To: vijay kumar
Cc: Vinayak
Subject: RE: Request to generate Score ID and Password.

Dear Sir,

Kindly find the following SCORES authentication details:

Name	: Equity Mantra
Registration No	: INA100006676
Compliance Officer	: Vijay Kumar
Designation	:Compliance Officer
Mail address	: vijayrana2103@gmail.com
SCORES ID	: IADE0017
Password reset	: https://www.scores.gov.in/admin/resetPassword.html

Login to https://www.scores.gov.in/admin/Welcome.html

Thanks and Regards,
Portal Help
022-26449364/022-40459364

From: vijay kumar [mailto:vijayrana2103@gmail.com]
Sent: 24/07/2020 6:57 PM
To: portalhelp
Cc: Vinayak
Subject: Re: Request to generate Score ID and Password.

Thank you sir.

On Fri, 24 Jul, 2020, 5:54 PM portalhelp, <portalhelp@sebi.gov.in> wrote:

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Vinayak

From: Vinayak
Sent: 24/07/2020 3:59 PM
To: portalhelp
Cc: DINESH JOSHI
Subject: FW: Request to generate Score ID and Password.

Dear Team,

We have received the captioned request from a registered Investment Advisor, reg. no.- INA100006676, guide the entity for the process of obtaining SCORES ID or intimate the ID to entity, if it already exists.

Regards
Vinayak
IMD-NRO

From: vijay kumar [mailto:vijayrana2103@gmail.com]
Sent: 24/07/2020 2:30 PM
To: Vinayak
Subject: Request to generate Score ID and Password.

Hi Sir,
As discussed over the phone I haven't found any complaint in SI portal, So please provide us the Score ID and Password by which we can see the complaints and try to resolve them.

Thanks & Regards,
Vijay Kumar
Equity Mantra.

(3 S)

Vinayak

From: vijay kumar <vijayrana2103@gmail.com>
Sent: 22/07/2020 11:14 PM
To: Vinayak
Subject: Re: FW: FW: Pending complaint in SCORES
Attachments: Fraud Domain Screenshots.docx; Gmail - Fwd_ Winding up _Equity Mantra_ - An Investment Adviser Firm_.pdf

Dear Sir,

This is in response to your letter dated 5th June 2020 addressed to the Equity Mantra.

This is to bring to your notice that Equity Mantra as a company has already been diluted in the Year 2018 and neither company nor any Partner associated is operating in its name by any means. We had also written an intimation mail in this regard to SEBI Office and requested to cancel our Registration No and we have not renewed our license thereafter(Attached copy of the mail for your reference).

To our surprise when we received this letter we tried to investigate the possible reasons for such complaints and found that some Fraud Identity is running a fraud domain on internet with the name same as our company and displaying our SEBI Registration No that has no relation whatsoever either to our original Company or then Partners. Fraud company Domain: equitymantra.in (Screenshots attached for your reference)

You are requested to take relevant actions in this regard for impersonating the Identity of Equity Mantra.

We again request you to kindly accept our request to cancel the registration no immediately so that no other innocent person falls prey to such Fraudulent activities henceforth.

Further any details required from my end please write to me or you may also ask the representative to reach me on 7018611474.

Thanking You,
Vijay Kumar

For Equity Mantra

On Thu, Jun 25, 2020 at 4:57 PM Vinayak <Vinayak@sebi.gov.in> wrote:
Sir,

Please find attached a letter on captioned matter and trailing mails.

Regards
IMD-NRO

---------- Forwarded message ----------
From: GAFRANA ANJUM <gafranaa@sebi.gov.in>
Date: 25 Feb 2020 3:30 pm
Subject: FW: Pending complaint in SCORES

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Gmail amit chauhan <amit.chauhan086@gmail.com>

Fwd: Winding up "Equity Mantra" - An Investment Adviser Firm.

vijay kumar <vijayrana2103@gmail.com> Sat, May 30, 2020 at 1:08 PM
To: amit chauhan <amit.chauhan086@gmail.com>

---------- Forwarded message ---------
From: **vijay kumar** <vijayrana2103@gmail.com>
Date: Sat, 19 May, 2018, 6:22 AM
Subject: Winding up "Equity Mantra" - An Investment Adviser Firm.
To: KANCHAN YADAV <kanchany@sebi.gov.in>

Dear Kanchan,

Greeting for the day!!!

This is with respect to the Equity Mantra, we decided to wind up our Investment Adviser affairs as unable to generate revenue from the business. Our Registration Number is- INA100006676.

So, It is our request to you to please cancel our Investment Adviser Registration number .

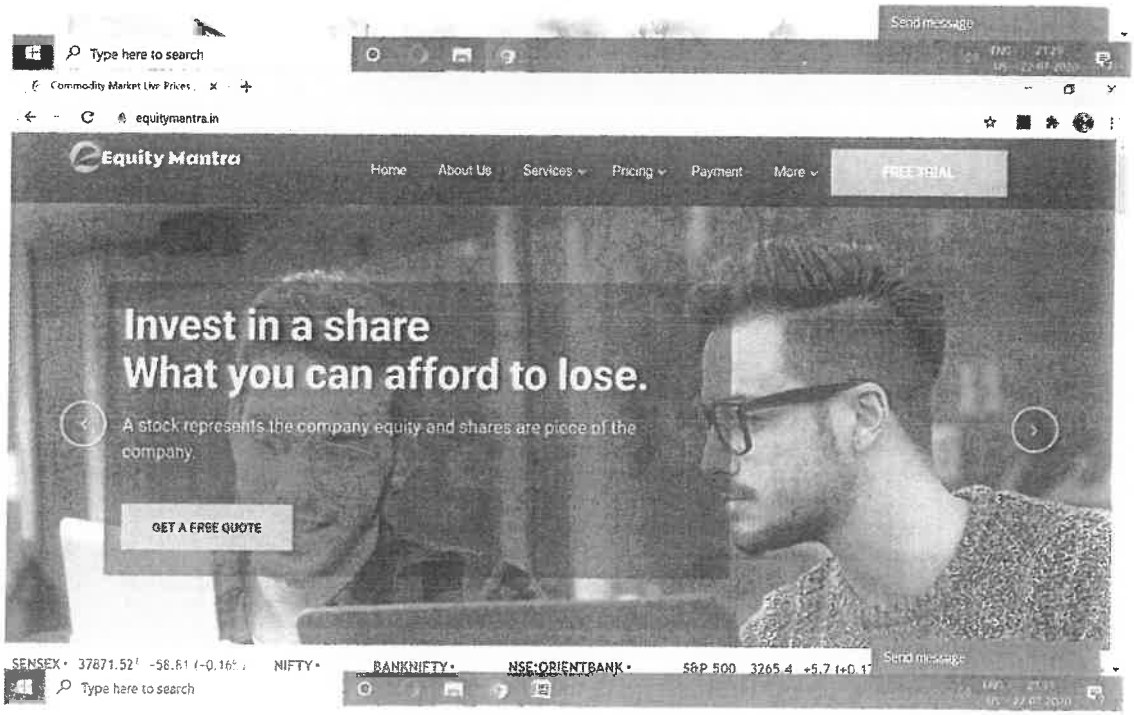
Thank You.

Regards,
Vijay Kumar.
Equity Mantra.



SEBI Register Number : INA100006676

What We Offer



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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/11068/1/2020
June 25, 2020

Shri Vijay Kumar
Equity Mantra
135, First Floor,
F.I.E. Patparganj, Delhi-110092

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. A letter no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 on the captioned subject (copy attached) has been sent to you, however the said letter has returned undelivered.

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, failing which appropriate action will be initiated against you.

Yours faithfully,

Vinayak

Enclosed: a/a

By Hand Delivery

SE+A1:K12CURITIES AND EXCHANGE BOARD OF INDIA
5TH FLOOR BANK OF BARODA BUILDING
16 SANSAD MARG NEW DELHI-110001
SPEED POST BOOKING LIST

S.NO	DATE & MONTH	NAME	STATE	PINCODE	BARCODE				OFFICER'S NAME	BAR CODE STICKER
1	13.07.2020	NITIN SHARMA	UP	201011	ED	94738705	7	IN	VINAYAK	ED947387057IN
2	13.07.2020	NEHA JAIN	UP	201011	ED	94738706	5	IN	VINAYAK	ED947387065IN
3	13.07.2020	VIJAY KUMAR	HP	175052	ED	94738707	4	IN	VINAYAK	ED947387074IN
4	13.07.2020	PAWAN RANJAN KUMAR	DELHI	110055	ED	94738708	8	IN	MIRSD	ED947387088IN

SPC KASHMERE GATE SPEED POST 13 JUL 2020 BNPL

Courier 10-7-2020 Amit —— DEL117866969 —— By Courier —— Vinayak

(3)

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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/11627/1
July 10, 2020

Ms Neha Jain, Partner, Equity Mantra
A-209, Surya Nagar, Chander Nagar S.O.,
Ghaziabad, Uttar Pradesh-201011

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. Letters no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 and NRO/IMD/V/OW/11068/1/2020 on the captioned subject (copies attached) have been sent at the last available correspondence address and mail of the captioned entity, however the said letters have returned undelivered. We have also not received any response to the mails written on the captioned subject (copies of mail attached).

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter/mails on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, also, provide explanations for the delay in attending complaints and for no response in respect of the correspondences from SEBI as mentioned in point 2 above, failing which appropriate actions will be initiated against you.

Yours faithfully,

Vinayak

Enclosed: a/a

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SPEED POST

EMS SPEED POST
ED947393485IN

Amit Chauhan, Partner, Equity Mantra
Maidan Garhi, Chattarpur
Delhi-110068

Pending complaints in SCORES against Equ

पाँचवा तल, बैंक ऑफ़ बड़ौदा भवन, 16, संसद मार्ग, नई दिल्ली - 110001
5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

Vinayak

11070

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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/ 11631 /1
July 10, 2020

Amit Chauhan, Partner, Equity Mantra
182, Maidan Garhi, Chattarpur
Delhi-110068

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. Letters no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 and NRO/IMD/V/OW/11068/1/2020 on the captioned subject (copies attached) have been sent at the last available correspondence address and mail of the captioned entity, however the said letters have returned undelivered. We have also not received any response to the mails written on the captioned subject (copies of mail attached).

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter/mails on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, also, provide explanations for the delay in attending complaints and for no response in respect of the correspondences from SEBI as mentioned in point 2 above, failing which appropriate actions will be initiated against you.

Yours faithfully,

Vinayak

Enclosed: a/a

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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/ 11630/1
July 10, 2020

Nitin Sharma, Partner, Equity Mantra
D-45, Ramprastha, Ghaziabad
Uttar Pradesh-201011

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. Letters no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 and NRO/IMD/V/OW/11068/1/2020 on the captioned subject (copies attached) have been sent at the last available correspondence address and mail of the captioned entity, however the said letters have returned undelivered. We have also not received any response to the mails written on the captioned subject (copies of mail attached).

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter/mails on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, also, provide explanations for the delay in attending complaints and for no response in respect of the correspondences from SEBI as mentioned in point 2 above, failing which appropriate actions will be initiated against you.

Yours faithfully,

Vinayak

Enclosed: a/a

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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/11629/1
July 10, 2020

Vijay Kumar, Partner, Equity Mantra
Village Kalgram, PO Sehli, Teh Sadar
Mandi, Himachal Pradesh-175052

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. Letters no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 and NRO/IMD/V/OW/11068/1/2020 on the captioned subject (copies attached) have been sent at the last available correspondence address and mail of the captioned entity, however the said letters have returned undelivered. We have also not received any response to the mails written on the captioned subject (copies of mail attached).

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter/mails on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, also, provide explanations for the delay in attending complaints and for no response in respect of the correspondences from SEBI as mentioned in point 2 above, failing which appropriate actions will be initiated against you.

Yours faithfully,

Vinayak

Enclosed: a/a

(25)

(Report on service of Letter by way of affixture)
(To be filled in by the Department/Division)

Name of the entity:	**Equity Mantra**

Name of the premises where Letter is affixed:	**Equity Mantra, 135, First Floor, F.I.E. Patparganj, Delhi-110092**

(To be filled in by the person affixing the Letter)

Name of the person affixing the Letter: _____

Date: 26/6/2020 **Time:** 05.04 P.M

This is to state that the undersigned has affixed a copy of the Letter reference no._____**dated**_____**addressed to**_____**, at the above mentioned premises.**

Name, signature and address of the person affixing the Letter:

Sign: _____
Name: Sunil Kumar
Address: Sebi Delhi

Further, that the affixing of Letter at the above premises have been witnessed by the following person(s):

Name, signature/thumb impression and address of the witnesses:

Witness - 1	Witness - 2
Sign/ Thumb Impression:	**Sign/ Thumb Impression:** Heel Kamal
Name: Parkash Singh	**Name:** Heel Kamal
Address H.No 349 Tikona Par Kotla Kotla Vihar - II, Nazafgarh Rd Nangloi, N.D - 43	**Address** Brij Chhajlani UP 201102

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भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/11068/1/2020
June 25, 2020

Shri Vijay Kumar
Equity Mantra
135, First Floor,
F.I.E. Patparganj, Delhi-110092

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. A letter no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 on the captioned subject (copy attached) has been sent to you, however the said letter has returned undelivered.

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, failing which appropriate action will be initiated against you.

Yours faithfully,

Vinayak

Enclosed: a/a

By Hand Delivery

(23)

Vinayak

From: Vinayak
Sent: 25/06/2020 4:57 PM
To: vijayrana2103@gmail.com; Enquiry@equitymantra.in; support@equitymantra.in
Subject: FW: FW: Pending complaint in SCORES
Attachments: Equity Mantra.pdf

Sir,

Please find attached a letter on captioned matter and trailing mails.

Regards
IMD-NRO

---------- Forwarded message ----------
From: GAFRANA ANJUM <gafranaa@sebi.gov.in>
Date: 25 Feb 2020 3:30 pm
Subject: FW: Pending complaint in SCORES
To: vijayrana2103@gmail.com
Cc: DINESH JOSHI <dineshj@sebi.gov.in>,support@equitymantra.in,enquiry@equitymantra.in

Sir,

This has reference to the complaint mentioned in the trailing emaiil. It is observed that in addition to the mentioned complaint, the following complaints are also pending with you in SCORES:

1. SEBIE/DH20/0000530/1
2. SEBIE/DH20/0000448/1
3. SEBIE/DH20/0000364/1
4. SEBIE/DH20/0000312/1
5. SEBIE/DH20/0000294/1
6. SEBIE/DH20/0000293/1

You are advised to immediately redress the above mentioned complaints and submit action taken report within 7 days, **failing which appropriate action will be taken against you.**

Regards

Gafrana Roshan Anju

AG

SEBI-NRO

011-23451020

(21)

FW: FW: Pending complaint in SCORES

Vinayak

Sent: 25 June 2020 16:57:17
To: vijayrana2103@gmail.com; Enquiry@equitymantra.in; support@equitymantra.in
Attachments: Equity Mantra.pdf (155 KB)

Sir,

Please find attached a letter on captioned matter and trailing mails.

Regards
IMD-NRO

---------- Forwarded message ----------
From: GAFRANA ANJUM <gafranaa@sebi.gov.in>
Date: 25 Feb 2020 3:30 pm
Subject: FW: Pending complaint in SCORES
To: vijayrana2103@gmail.com
Cc: DINESH JOSHI <dineshj@sebi.gov.in>,support@equitymantra.in,enquiry@equitymantra.in

Sir,

This has reference to the complaint mentioned in the trailing emaiil. It is observed that in addition to the mentioned complaint, the following complaints are also pending with you in SCORES:

1. SEBIE/DH20/0000530/1
2. SEBIE/DH20/0000448/1
3. SEBIE/DH20/0000364/1
4. SEBIE/DH20/0000312/1
5. SEBIE/DH20/0000294/1
6. SEBIE/DH20/0000293/1

You are advised to immediately redress the above mentioned complaints and submit action taken report within 7 days, **failing which appropriate action will be taken against you.**

Regards
Gafrana Roshan Anjum
AGM
SEBI, NRO

From: GAFRANA ANJUM
Sent: 14/01/2020 02:53 P **To:** 'vijayrana2103@gmail.com' <vijayrana2103@gmail.com>
Cc: DINESH JOSHI <dineshj@sebi.gov.in>
Subject: FW: Pending complaint in SCORES

Dear Sir,
This has reference to the pending complaint bearing SCORES registration no. SEBIE/DH19/0001250/1 against Equity Mantra, Investmnt Adviser. In this regard, it is observed that you have not responded to the complaint even after being reinded. You are, therefore, advised to immediately resolve the above mntioned complaint in SCORES, and submit action taken report, at the earliest.

Regards

Gafrana

(20)

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

ASSISTANT MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/V/OW/11068/1/2020
June 25, 2020

Shri Vijay Kumar
Equity Mantra
135, First Floor,
F.I.E. Patparganj, Delhi-110092

Sub: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Sir,

1. This has reference to your registration with SEBI as an Investment Adviser with registration no. **INA100006676**.

2. A letter no. NRO/IMD/GRA/OW/7249/2020 dated February 25, 2020 on the captioned subject (copy attached) has been sent to you, however the said letter has returned undelivered.

3. You are, therefore, advised to redress the complaints mentioned in the aforementioned letter on an urgent basis and submit the action taken reports in SCORES within 7 days of receipts of this letter, failing which appropriate action will be initiated against you.

Yours faithfully,

Sharma

Vinayak

Enclosed: a/a

By Hand Delivery

19

(Dial 1800 266 6888)

भारतीय डाक

India Post

RD347454170IN (VR:B268347454IN)
RL CONNAUGHT PLACE SO (110001)
Counter No:4,25/02/2020,17:41
To:VIJAY KUMAR ,.
PIN:110092, Laxmi Nagar SO East Delhi
From:SEBI,ND
Wt:20gms
Amt:25.00(Cash)
(Track on www.indiapost.gov.in)

प्रति
बोर्ड
d Exchange
a

ASSISTANT GENERAL MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/GRA/OW/ 7249 **/2020**
February 25, 2020

Shri Vijay Kumar
135, First Floor,
F.I.E. Patparganj,
Delhi- 110092

Subject: Pending complaints in SCORES against Equity Mantra, Investment Advisor

Dear Sir,

1. This has reference to your registration with SEBI as an Investment Advisor bearing registration no. **INA100006676**.

2. In this regard, it is observed that the below mentioned complaints, received in SCORES, have not been redressed by you even after repeated reminder send in SCORES and through emails:

Sr.no.	SCORES registration no.	Name of the Complainant	Date of receipt
1	SEBIE/DH20/0000530/1	SURAJIT JOARDAR	20-Feb-2020
2	SEBIE/DH20/0000448/1	pradeep sitaram verma	10-Feb-2020
3	SEBIE/DH20/0000364/1	Sanjay Suman	31-Jan-2020
4	SEBIE/DH20/0000312/1	Abbasuddin molla	24-Jan-2020
5	SEBIE/DH20/0000293/1	Nasiruddin	21-Jan-2020
6	SEBIE/DH20/0000294/1	Nasiruddin	21-Jan-2020
7	SEBIE/DH19/0001250/1	Sandeep Bhingle	03-Nov-2019

3. You are, therefore, advised to redress the above mentioned complaints on an urgent basis and submit an action taken report, within 7 days of receipt of this letter, failing which appropriate action will be initiated against you.

Yours sincerely,

Gaffrana Roshan Anjum

भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

R.P

Shri Vijay Kumar
135, First Floor,
F.I.E. Patparganj,
Delhi- 110092

RD347454170IN

Subject: Pending complaints in SCORES

Grievances

पाँचवा तल, बैंक ऑफ बड़ौदा भवन, 16, संसद मार्ग, नई दिल्ली - 110001
5TH FLOOR, BANK OF BARODA BUILDING, 16, SANSAD MARG, NEW DELHI - 110001

(Dial 1800 266 6868)

भारतीय डाक
India Post

RD347454170IN IVR:826834745417
NL CONNAUGHT PLACE SO (110001)
Counter No:4,25/02/2020,17:41
To:VIJAY KUMAR ,,
PIN:110092, Laxmi Nagar SO East Delhi
From:SEBI,MD
Wt:20gms
Amt:25.00(Cash)
<Track on www.indiapost.gov.in>

ASSISTANT GENERAL MANAGER
NORTHERN REGIONAL OFFICE

NRO/IMD/GRA/OW/[illegible] /2020
February 25, 2020

Shri Vijay Kumar
135, First Floor,
F.I.E. Patparganj,
Delhi- 110092

Subject: Pending complaints in SCORES against Equity Mantra, Investment Advisor

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5	SEBIE/DH20/0000293/1	Nasiruddin	21-Jan-2020
6	SEBIE/DH20/0000294/1	Nasiruddin	21-Jan-2020
7	SEBIE/DH19/0001250/1	Sandeep Bhingle	03-Nov-2019

3. You are, therefore, advised to redress the above mentioned complaints on an urgent basis and submit an action taken report, within 7 days of receipt of this letter, failing which appropriate action will be initiated against you.

Yours sincerely,

Gafrana Roshan Anjum

(16)

FORM A

Securities and Exchange Board of India

(Investment Advisers) Regulations, 2013

Application for Grant of Certificate of Registration/Renewal as investment adviser		
	INFORMATION ASKED FOR	**ANSWERS**
1	GENERAL INFORMATION	
	(a) Name, address of the registered office, address for correspondence and principal place of business, telephone number(s), fax number(s), e-mail address of the applicant.	Equity Mantra #135,Ist Floor,F.I.E. Patparganj, Delhi-110092. Mobile No. 7738680096 Email. Vijayrana2103@gmail.com
	(b) Whether application is for registration/ renewal. Provide registration number if the application is for renewal of certificate.	New Registration.
	(c) Name, direct line number, mobile number and e-mail of the contact person(s).	Name – Vijay Kumar Mobile Number – 7738680096 Email. – vijayrana21032gmail.com
	(d) Legal structure of applicant - Whether the applicant is an individual, body corporate (including company), partnership firm or limited liability partnership.	Partnership Firm
	(e) Whether the applicant is a bank /NBFC.	No
	(f) Date and place of incorporation/ establishment, if any. If the applicant is incorporated outside India, details of such incorporation.	Partnership came into effect from 7th june 2016 in Delhi. No incorporation outside India.
	(g) Whether the applicant is engaged in investment advisory	No.

	services prior to making application under these regulations.	
	(h) Whether the applicant is registered with SEBI, RBI, IRDA or PFRDA in any capacity. If so, details of such registration.	No.
	(i) Write-up on the activities of the applicant. *(For renewal application, provide details of existing investment advisory services including number and type of clients, assets under advice, revenue, profitability, products/ securities on which investment advice was provided, etc.)*	a. *To provide investment ideas as well as equity, derivatives, mutual funds to customers through telephonic and web based platforms.* b. *Investment ideas would be as per the risk profile of the customer.* c. *Holistic advise as per customer needs.*
2.	DETAILS OF APPLICANT	
	1. Names and Beneficial ownership pattern of the partners engaged/proposed to engage in investment advice (Enclose identity proof and address proof of the partners).	Name of the partner engaged in investment advice: -Mr Vijay Kumar. Beneficial Ownership of partner engaged in investment advice: 25% (equal proportion with respect to all other partner) Identity and Address Proof of Partner engaged in investment advice – Copy of Passport is attached herewith attachment number 1.
	2. Whether the aforesaid partners have: a. A professional qualification or post-graduate degree or post graduate diploma in finance, accountancy, business management, commerce, economics, capital market, banking, insurance or actuarial science from a university or an institution recognized by the Central Government or any State Government or a recognised foreign university or institution or association; or	Yes, the qualification of the partner (Name-Vijay Kumar) are as follows and the self-certified copy of the documents are attached having Attachments number 2,3,4,5,6 and 7. PG in Securities Market from NISM, Mumbai. MBA Degree from Bangalore University. Certified IA(1 &2)levels of NISM.

(14)

	b. A graduate in any discipline with an experience of at least five years in activities relating to advice in financial products or securities or fund or asset or portfolio management *(Provide self certified copies of supporting documents).*	
	3. Copy of certification obtained by the aforesaid partners in accordance with regulation 7(2). *(If the applicant is an existing investment adviser applying for fresh registration, then provide a declaration stating that all the partners engaged in investment advice shall obtain such certification within two years from the date of commencement of these regulations and after all such partners obtain the certification, a declaration to that effect shall be submitted to SEBI.)*	The copies of following certificates are attached as attachment number 2,3,4,5,6,7 respectively. a. MBA degree from Bangalore University. b. PG in Securities Market from NISM d. NISM-Series –X-A: Investment Adviser. e. NISM-Series –X-B: Investment Adviser. f. NISM-Series-VIII: Equity Derivatives. g. NISM-Series-V-A:Mutual Fund Distributors.
	3. Declaration that the aforesaid partners shall obtain fresh certification before expiry of the validity of the existing certification to ensure continuity in compliance with certification requirements.	We hereby declare that the firm will obtain fresh certificate before expiry of the same. FOR EQUITY MANTRA Partner
	4. Copy of assets and liabilities statement and certification of net tangible assets of the partnership firm certified by a chartered accountant (not more than six months old at the time of filing of application). Please note that membership number of the chartered accountant must be included in the certificate.	Assets and Liabilities statement and certification of net tangible assets of the partnership firm certified by a chartered accountant is attached with this application form in attachment number 8 and 9 respectively.
3	BUSINESS PLAN	

	1. Proposed business plan & means of achieving the same.	To build a financial plan for the customer according to their risk profile and also give the equity and derivatives or mutual fund recommendations if they are interested and these services will be provided over the telephonic or web enabled applications.
	2. The type of products/ securities on which investment advice is proposed to be rendered.	1. Equity and Derivatives 2. Mutual fund 3. Insurance advises and needs 4. Overall financial needs of the customer
	3. Process for risk profiling of the client and for assessing suitability of advice.	Risk Profile of the client will be create through Questionnaire and will be accessible through web/excel or documents. Copy of risk profile is also attached in attachment number 10.
4	DETAILS OF INFRASTRUCTURE	
	1. Details of office space, office equipment, furniture and fixtures, communication facilities, research capacity, research software for undertaking investment advisory services.	Space – 8 to10 employees space. Equipment – Laptops, Tables, Chairs, internet facilities. Research will be based on technical's and fundamentals of the companies. We will use companies websites, nse-bse websites and other sites from where we will get more and accurate information's about the stocks.
	2. Declaration that the applicant has the necessary infrastructure to effectively discharge the activities of an investment adviser.	We hereby declare that Equity Mantra have necessary infrastructure to effectively discharge the activities of an investment adviser. For EQUITY MANTRA Partner
5	EXECUTION SERVICES	
	1. If the applicant is a body corporate, whether the applicant proposes to offer distribution or execution services to its clients.	Not applicable as Equity mantra is a partnership firm
	2. If yes, provide a declaration that the services are being offered through a subsidiary/ separately identifiable department or division.	Not Applicable
6	OTHER INFORMATION/DECLARATIONS/ REGULATORY ACTIONS	
	(a) Details of all settled and pending disputes in the last 5 years.	Not applicable as no disputes are there.

(12)

	(b) Whether any previous application for grant of certificate made by any person directly or indirectly connected with the applicant has been rejected by the Board; If yes, provide details of the same.	Not applicable
	(c) Whether any disciplinary action has been taken by the Board or any other regulatory authority against any person directly or indirectly connected with the applicant under the Act or the regulations made there under in the last 5 years. If yes, provide details of the action.	No.
	(d) Whether the applicant/directors/promoters/ partners have been indicted/involved in any economic offence in the last 5 years. If yes, provide details of the same.	No.
	(e) A credit report/ score from CIBIL for the applicant.*(For applicants other than financial institutions & banking companies)*	Since the applicant is the partnership firm, which is constituted on 7th June 2016 and there is no credit history of this firm. CIBIL report is attached as Attachment number 11.
	(f) Declaration that the applicant, its representatives and partners, if any, are fit and proper persons based on the criteria as specified in Schedule II of the Securities and Exchange Board of India (Intermediaries) Regulations, 2008;	We hereby declare that all the partners are fit and proper persons based on the criteria as specified in Schedule II of the Securities and Exchange Board of India (Intermediaries) Regulations, 2008. For EQUITY MANTRA Partner
	(g) Declaration that the applicant shall not obtain any consideration by way of remuneration or compensation or any other form whatsoever, from any person other than the client being advised, in respect of the underlying products or securities for which advice is provided to the client.	We herby declaration that the applicant shall not obtain any consideration by way of remuneration or compensation or any other form whatsoever, from any person other than the client being advised, in respect of the underlying products or securities for which advice is provided to the client. For EQUITY MANTRA Partner
	(h) Any other information considered relevant to the nature of services to be rendered by the applicant.	Not as such

7. **DECLARATION STATEMENT**

I/We hereby agree and declare that the information supplied in the application, including the attachment sheets, is complete and true.

AND I/ we further agree that, I/we shall notify the Securities and Exchange Board of India immediately any change in the information provided in the application.

(11)

I/ We further agree that I/ we shall comply with, and be bound by the Securities and Exchange Board of India Act, 1992, and the Securities and Exchange Board of India (Investment Advisers) Regulations, 2013, guidelines/instructions as may be announced by the Securities and Exchange Board of India from time to time.

I/ We further agree that as a condition of registration, I/ we shall abide by such operational instructions/directives as may be issued by the Securities and Exchange Board of India from time to time

For and on behalf of _____**Equity Mantra**_____

(Name of the applicant)

For EQUITY MANTRA

Vijay Kumar

Authorized signatory/ Applicant

Partner

(Signature)

(Date and Place)

05/07/2016 - Delhi

A/C PAYEE ONLY

ICICI Bank

Drawee Branch

(1198)MUMBAI - NAVY NAGAR

DD No. 1762

VALID FOR THREE MONTHS ONLY

DATE 0 5 0 7 2 0 1 6

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SBF(M) / CTS - 2010

ON DEMAND PAY ***THE SECURITIES AND EXCHANGE BOARD OF INDIA********** OR ORDER

RUPEES FIVE THOUSAND Only

₹ **********5,000.00

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TT/5/4 Not Above 5,000.00

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DELHI - CHHATTARPUR
Issuing Branch

Authorised Signatory

Authorised Signatory

Please sign above

⑈001762⑈ 000229000⑆ 003543⑈ 16

Recognised Intermediaries

Search any Intermediaries across all types ▼

Search by Member Name, Trade Name * | Or | Search by registration no. * | --All Intermediaries--

Name	EQUITY MANTRA
Registration No.	INA100006676
Type	Investment Adviser
E-mail	vijayrana2103@gmail.com
Address	135, First floor, F.I.E. Patparganj, DELHI, NATIONAL CAPITAL TERRITORY OF DELHI, 110092
Contact Person	Vijay Kumar
Correspondence Address	135, First floor, F.I.E. Patparganj, DELHI, NATIONAL CAPITAL TERRITORY OF DELHI, 110092
Validity	Nov 08, 2016 - Nov 07, 2021