



भारतीय प्रतिभूति  
और विनिमय बोर्ड  
Securities and Exchange  
Board of India

RECOVERY DIVISION

NORTHERN REGIONAL OFFICE

Tel: 011-69012998/36, [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in)

Attachment Proceeding No. 13906 & 13907 of 2025

Recovery Certificate No. 7162 of 2023

The Principal Officer / Chairman & Managing Director / CEO

All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 13906 & 13907 of 2025

1. It may be recalled that the Recovery Officer vide the subject attachment proceedings in Recovery Certificate No. 7162 of 2023 dated September 15, 2023, had directed attachment of Bank accounts of **Ram Mohan Gupta** [Defaulter] PAN: AGDPG7175M in the matter of **Unickon Securities Private Limited** against the total due of **Rs. 17,11,000/- (Rupees Seventeen Lakh Eleven Thousand Only)** with further interest, all costs, charges and expenses, etc.
2. Whereas Notice of Demand dated September 15, 2023 has been sent to Defaulter and copy of Notice of Attachment of Bank Account and Mutual Funds dated January 29, 2025 has been issued to you.
3. Whereas the current liability/dues from the Defaulter as on date is an amount of **Rs. 19,96,000/- (Rupees Nineteen Lakh Ninety-Six Thousand Only)**
4. Accordingly, You are hereby directed to remit amount to the extent as mentioned in Para 3 above lying in the account of the Defaulter(s) with your Bank, forthwith to SEBI by way of direct credit through EFT/NEFT/RTGS to A/c No. SEBIRRDPEN7162 of ICICI Bank, IFS code - ICIC0000106, by immediately and intimate these remittance details by email to [kshamac@sebi.gov.in](mailto:kshamac@sebi.gov.in) / [deepshikhaL@sebi.gov.in](mailto:deepshikhaL@sebi.gov.in) / [recoverynro@sebi.gov.in](mailto:recoverynro@sebi.gov.in) in the format given below:



“हम हिन्दी में पत्राचार को स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किववई नगर, नई दिल्ली - 110023  
Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000  
Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : [www.sebi.gov.in](http://www.sebi.gov.in)



Continuation Sheet

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|                                                |  |
|------------------------------------------------|--|
| 1. Case Name and Recovery Certificate Number : |  |
| 2. Name of Payee :                             |  |
| 3. Date of Payment:                            |  |
| 4. Amount Paid :                               |  |
| 5. Transaction No. :                           |  |
| 6. Bank Details from which payment is made :   |  |

*Note: In the absence of confirmation of e-payment as per the above format, the credits made will not be accounted towards the dues*

5. This direction is issued in exercise of powers conferred under **sections 28A(1) and 11(2)(ia) of the SEBI Act, 1992 read with section 226 of the Income Tax Act, 1961 and the Second Schedule to the said Act.**

Given under my hand and seal at New Delhi this 22<sup>nd</sup> day of May, 2025.



RECOVERY OFFICER

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar  
वसूली अधिकारी एवं महाप्रबंधक  
Recovery Officer & General Manager  
भारतीय प्रतिभूति और विनिमय बोर्ड  
Securities and Exchange Board of India  
उत्तरी प्रादेशिक कार्यालय / Northern Regional Officer  
नई दिल्ली / New Delhi