



भारतीय प्रतिभूति
और विनिमय बोर्ड
**Securities and Exchange
Board of India**

SEBI/NRO/OW/P/2023/18537/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE
Tel: 011-69012998/58, recoverynro@sebi.gov.in

Order Releasing Bank, Demat Accounts and Mutual Funds Folios of Mr. Adil Shamsi in the matter of Unregistered Investment Advisor.

Attachment Proceeding No. 10173 & 10174 of 2023
Certificate No. 6479 of 2023

The Principal Officer/Chairman/MD/CEO,
All Banks in India
All Mutual Funds of India

NSDL/CDSL, Mumbai

1. Whereas Certificate No. **6479 of 2023** dated **May 01, 2023** was drawn against **Mr. Adil Shamsi** [Defaulter] **PAN: AVSPS7209L** in the matter of **Unregistered Investment Advisor** and a sum **Rs. 1,28,000/- (Rupees One Lakh Twenty Eight Thousand)** was due under the said certificate.
2. And whereas a Notice of Attachment dated **May 22, 2023** under the above proceedings was issued attaching bank, demat accounts/lockers and mutual fund folios of the entity.
3. And whereas an amount **Rs. 1,28,000/- (Rupees One Lakh Twenty Eight Thousand)** has been recovered towards full and final settlement of the dues from the defaulter under the said certificate.
4. In view of the above, you are hereby directed to release bank, demat accounts/lockers and Mutual Fund Folio etc. of against **Mr. Adil Shamsi** [Defaulter] **PAN: AVSPS7209L** attached, if any, pursuant to the above notice of attachment with immediate effect.
5. This is without prejudice to any other order of attachment or freeze by the competent authority under any law.

Given under my hand and seal at New Delhi this 29th day of May, 2023.

SEAL

Copy to:

Mr. Adil Shamsi
Shamsi Book House,
32, Wajid Nagar, Moradabad,
Uttar Pradesh-244001



Rajeev Rastogi
RECOVERY OFFICER
राजीव रस्तोगी / RAJEEV RASTOGI
वरसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंज़िल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

Head Office : SEBI Bhavan, Plot No. C-4A, G-Block, Bandra Kurla Complex, Bandra (E) Mumbai - 400051 Web. : www.sebi.gov.in