



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

Completion of Recovery Certificate No. 6890 of 2023 dated July 17, 2023 issued against Ashu Garg in the matter of Dealings in Illiquid Stock Options at the BSE.

Recovery Certificate No. **6890 of 2023** dated **July 17, 2023** was drawn against **Ashu Garg [Defaulter] PAN: AKPPG1694M** for sum of **Rs. 5,86,000/- (Rupees Five Lakh Eighty Six Thousand only)** along with further interest, all costs, charges and expenses incurred in respect of all the proceedings taken for recovery of the said sum, for non-payment of penalty imposed by Adjudication Officer vide Order No. **Order/BM/LD/2021-22/15501** dated **March 22, 2022** in the matter of **Dealings in Illiquid Stock Options at the BSE.**

2. In view of the payment of the amount of **Rs. 6,01,000/- (Rupees Six Lakh One Thousand Only)** due under the certificate, the said certificate is hereby completed in exercise of powers under sub-section (1) of section 28A of the Securities and Exchange Board of India Act, 1992 read with section 224 and rule 12 of the Second Schedule to the Income Tax Act, 1961.

13.10.2023
New Delhi



Recovery Officer

क्षमा प्र. वाघेरकर / Kshama P. Wagherkar
वसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरा प्रादेशिक कार्यालय / Northern Regional Office
नई दिल्ली / New Delhi