



भारतीय प्रतिभूति
और विनिमय बोर्ड
Securities and Exchange
Board of India

SEBI/NRO/OW/P/2023/6383/1

RECOVERY DIVISION
NORTHERN REGIONAL OFFICE

Tel: 011-69012998/58, recoverynro@sebi.gov.in

Attachment Proceeding No. 9649 & 9650 of 2023
Recovery Certificate No. 6035 of 2022

The Principal Officer / Chairman & Managing Director / CEO
All Banks and Mutual Funds in India

Remittance of attached amount under Attachment proceeding no. 9649 & 9650 of 2023

- Whereas the Recovery Officer, vide Attachment proceeding nos. 9649 & 9650 dated January 12, 2023 in execution of Recovery Certificate No. 6035 of 2022 dated November 15, 2022 has directed attachment of bank accounts/ demat accounts/ mutual fund folios of **Ms. Monika [Defaulter] PAN: BOXPM5309C** in the matter of trading activities in the scrip of **Swan Energy Limited** for recovery of a sum of **Rs. 5,41,000/- (Rupees Five Lakh Forty One Thousand)** along with further interest, all costs, charges and expenses etc.; and
- Whereas the outstanding dues from the defaulter as on date amount to **Rs. 5,56,000/- (Rupees Five Lakh Fifty Six Thousand)**.
- Accordingly, you are hereby directed to remit the amount to the extent of dues mentioned in para 2 above lying in the account of the Defaulter with your Bank / redeem the units in the folios held by the Defaulter with your Mutual Fund to the extent of dues mentioned in para 2 above and remit the amount forthwith to SEBI by way of EFT/NEFT/RTGS to **"SEBI Recovery Proceeds" Account payable at Mumbai (or) EFT/NEFT/RTGS to A/c No. SEBIRDPEN6035 of ICICI Bank, IFSC code – ICIC0000106) by February 22, 2023** and intimate these remittance details by email to pallavia@sebi.gov.in / recoverynro@sebi.gov.in in the format given below:

1. Case Name and Recovery Certificate Number :	
2. Name of Payee :	
3. Date of Payment:	
4. Amount Paid :	
5. Transaction No. :	
6. Bank Details from which payment is made :	

- This direction is issued in exercise of powers conferred under sections 28A(1) and 11(2)(ia) of the SEBI Act, 1992 read with section 226 of the Income Tax Act, 1961 and the Second Schedule to the said Act.

Given under my hand and seal at New Delhi this 15th day of February, 2023.

SEAL



Rajeev Rastogi

RECOVERY OFFICER

राजीव रस्तोगी / RAJEEV RASTOGI
चसूली अधिकारी एवं उप महाप्रबंधक
Recovery Officer & Deputy General Manager
भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board
उत्तरी प्रादेशिक कार्यालय/Northern Regional Office
नई दिल्ली/New Delhi

Copy to:

Ms. Monika
WZ-225, Near Sabzi Mandi,
Naraina Village, New Delhi-110028

“हम हिन्दी पत्राचार का स्वागत करते हैं।”

उत्तरी प्रादेशिक कार्यालय : प्लेट-बी, आठवीं मंजिल, ऑफिस टॉवर-1, एन बी सी सी कॉम्प्लेक्स, पूर्व किदवाई नगर, नई दिल्ली - 110023

Northern Regional Office : Plate-B, 8th Floor, Office Tower-1, NBCC Complex, East Kidwai Nagar, New Delhi-110023 दूरभाष (Phone) : 011 - 69012998

प्रधान कार्यालय : सेबी भवन, प्लॉट सं. सी-4 'अ', जी-ब्लॉक, बान्द्रा कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व) मुंबई - 400051 दूरभाष (Phone) : 022 - 26449000

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